

Predicting the potential impact of a cytotoxic T-lymphocyte HIV vaccine:
How often should you vaccinate and
how strong should the vaccine be?



Robert Smith?

The University of Ottawa

HIV vaccines

- HIV now infects 33 million adults worldwide



HIV vaccines

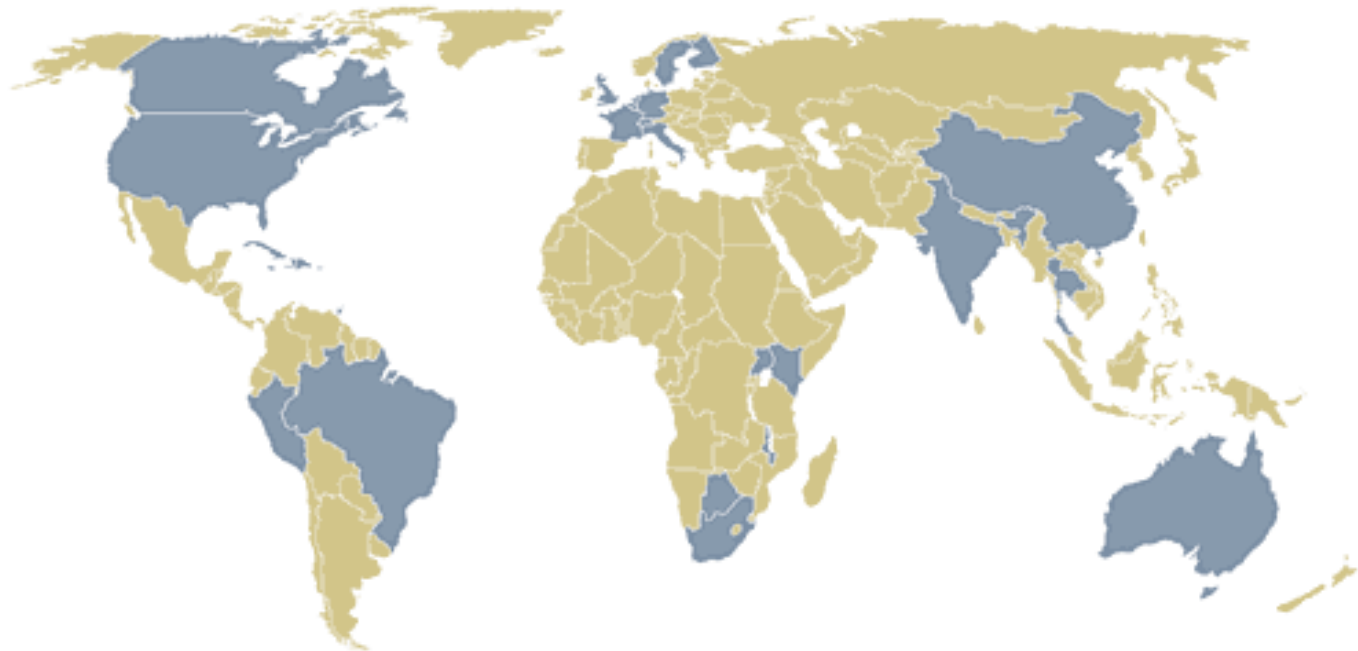
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HIV vaccines

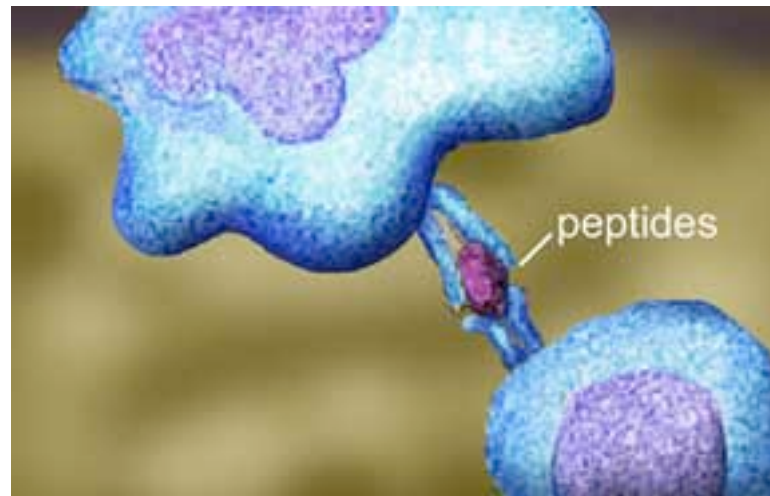
- HIV now infects 33 million adults worldwide
- An HIV vaccine represents the best hope of controlling the disease
- \$682 million is spent on HIV vaccine research annually.

COUNTRIES WITH ONGOING OR COMPLETED HIV VACCINE TRIALS



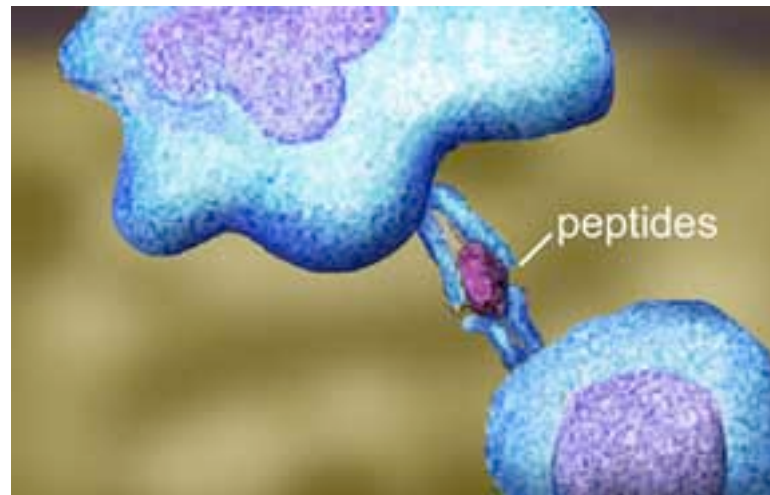
Cytotoxic T Lymphocytes (CTLs)

- Cells with the ability to identify and destroy virally infected cells in the body



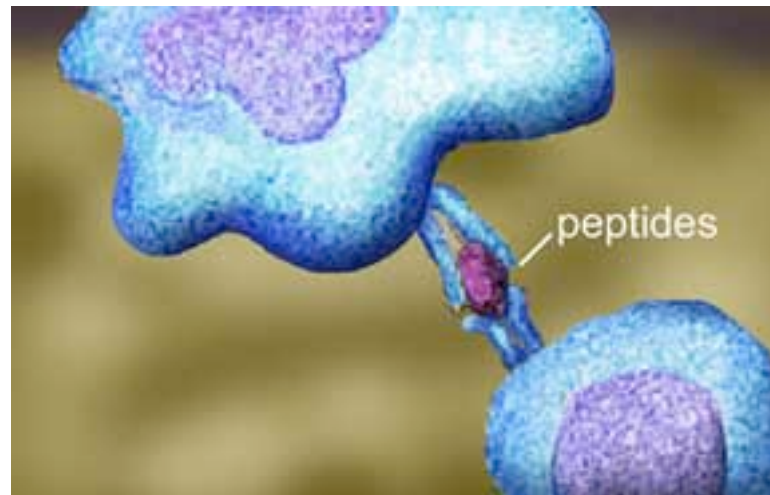
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Cytotoxic T Lymphocytes (CTLs)

- Cells with the ability to identify and destroy virally infected cells in the body
- Activated via specific recognition of viral fragments
- One of the body's best natural defence mechanisms.



CTL vaccines

- If CTLs can be boosted at regular intervals, they can attack infected T-helper cells



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- A vaccine that stimulates the CTL response has been described as the best hope for control of HIV



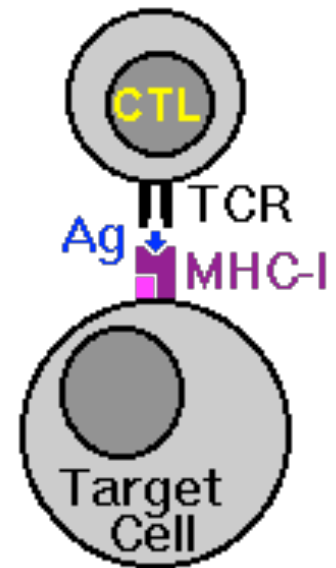
CTL vaccines

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- A vaccine that stimulates the CTL response has been described as the best hope for control of HIV
- Such a post-infection “vaccine” would be administered regularly and indefinitely.



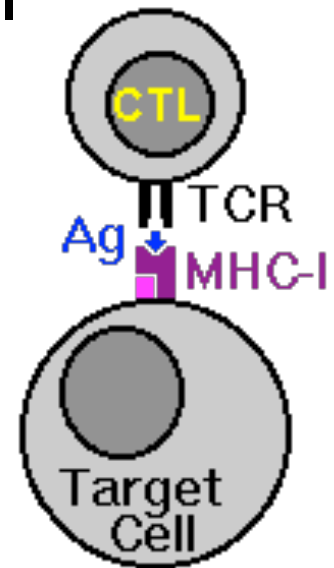
Infected CD4⁺ T cells

- Die at death rate d



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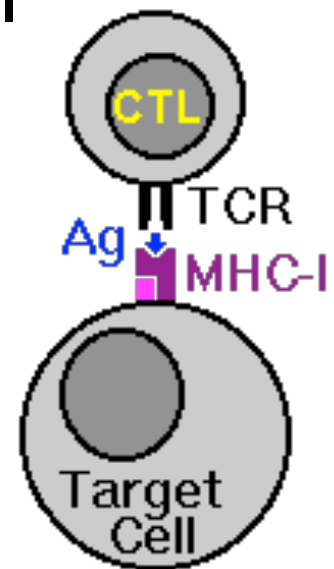
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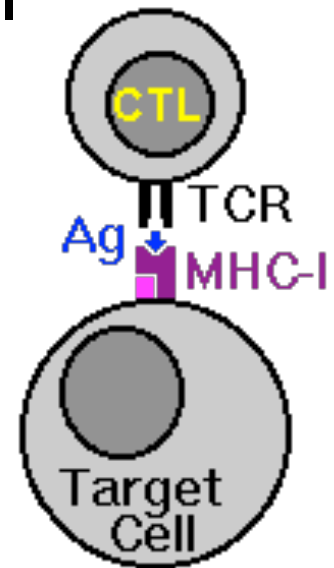


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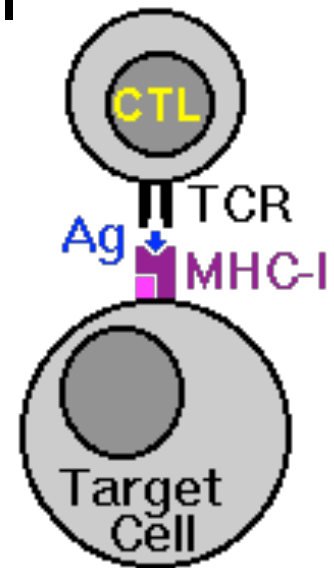


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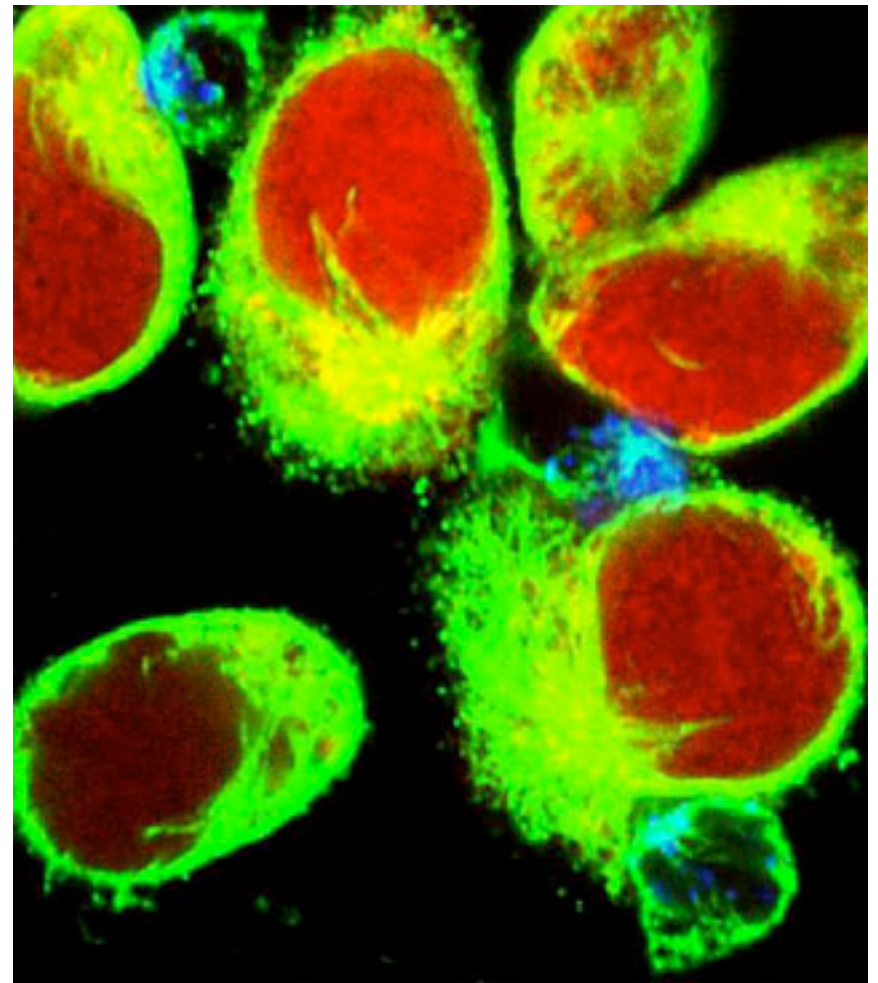
Key approximation:

- We assume the production rate of infected cells is constant, π
(thus we use a steady-state viral load approximation when estimating parameters).



CTLs

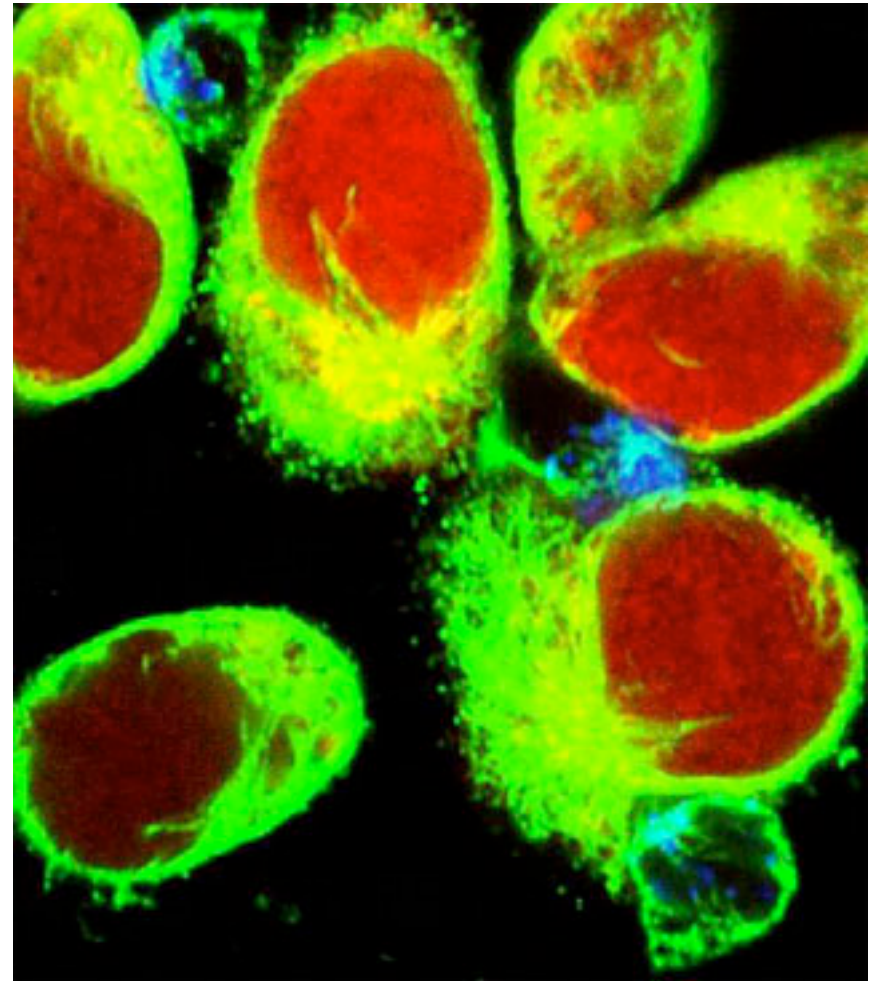
- Proliferate at rate α , proportional to density of both CTLs and infected T cells



Three CTLs (blue) annihilate target cells (red)

CTLs

- Proliferate at rate α , proportional to density of both CTLs and infected T cells
- Die at death rate δ .



Three CTLs (blue) annihilate target cells (red)

The model without vaccination

- Thus the model (without vaccination) is

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$$\begin{aligned}\frac{dT}{dt} &= \pi - dT - pCT \\ \frac{dC}{dt} &= \alpha CT - \delta C.\end{aligned}$$

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Steady states

Two steady states:

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- trivial
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$$(\hat{T}, \hat{C}) = \left(\frac{\pi}{d}, 0 \right)$$

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Two steady states:

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$$(\hat{T}, \hat{C}) = \left(\frac{\pi}{d}, 0 \right)$$

- nontrivial
(coexistence)

$$(\bar{T}, \bar{C}) = \left(\frac{\delta}{\alpha}, \frac{\alpha\pi - \delta d}{p\delta} \right) .$$

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Stability

- For the trivial steady state, the Jacobian is

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- For the trivial steady state, the Jacobian is

$$J|_{(\hat{T}, \hat{C})} = \begin{bmatrix} -d & -\frac{p\pi}{d} \\ 0 & \frac{\alpha\pi}{d} - \delta \end{bmatrix}$$

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Thus unstable iff $\bar{C} = (\alpha\pi - \delta d)/p\delta > 0$

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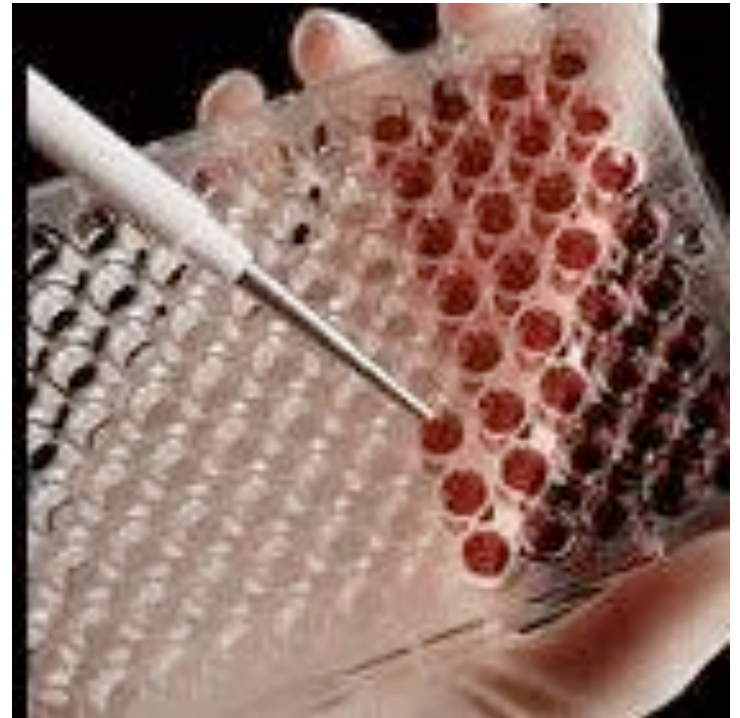
$$\det(J|_{(\bar{T}, \bar{C})} - \lambda I) = \lambda^2 + (d + p\bar{C})\lambda + p\delta\bar{C}/\alpha$$

Thus stable whenever $\bar{C} > 0$.

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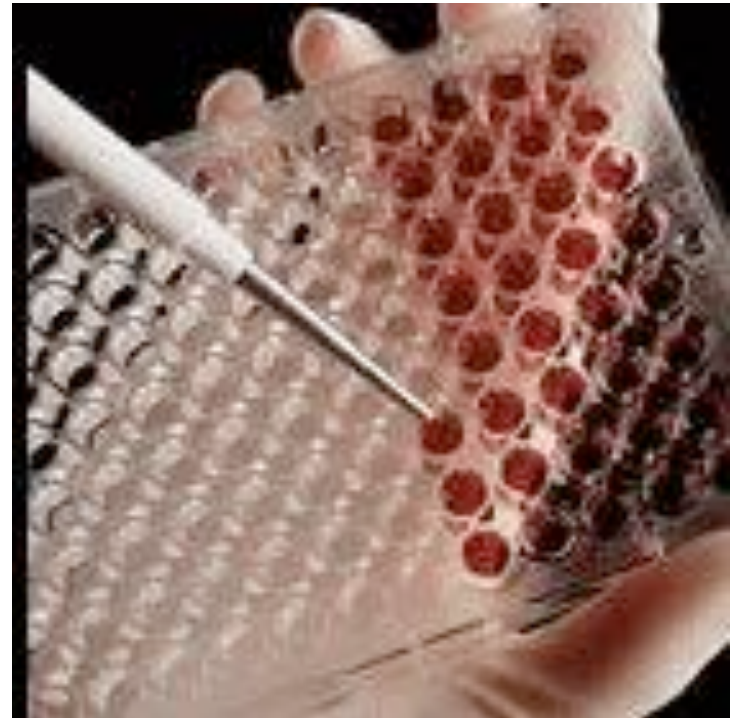
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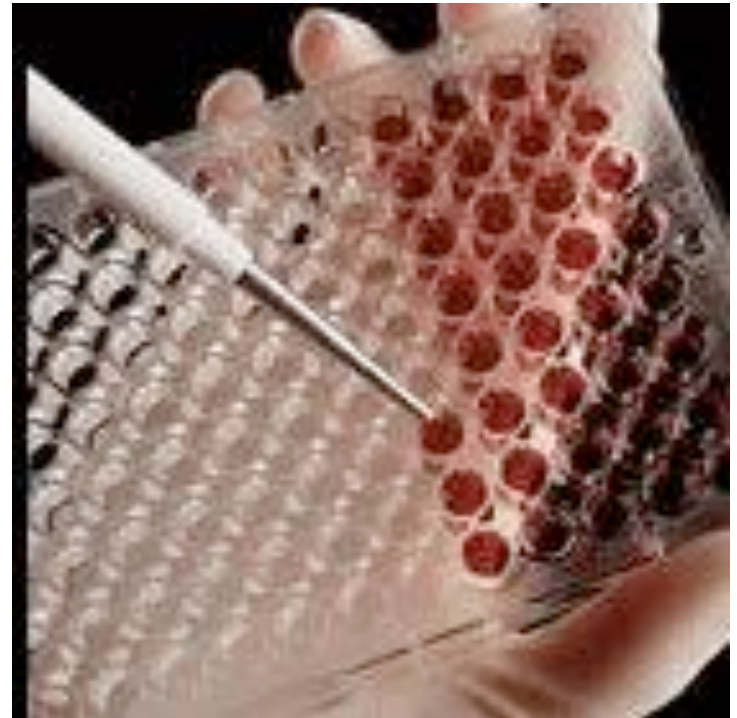
- the trivial steady state is unstable iff the nontrivial steady state exists in the positive plane



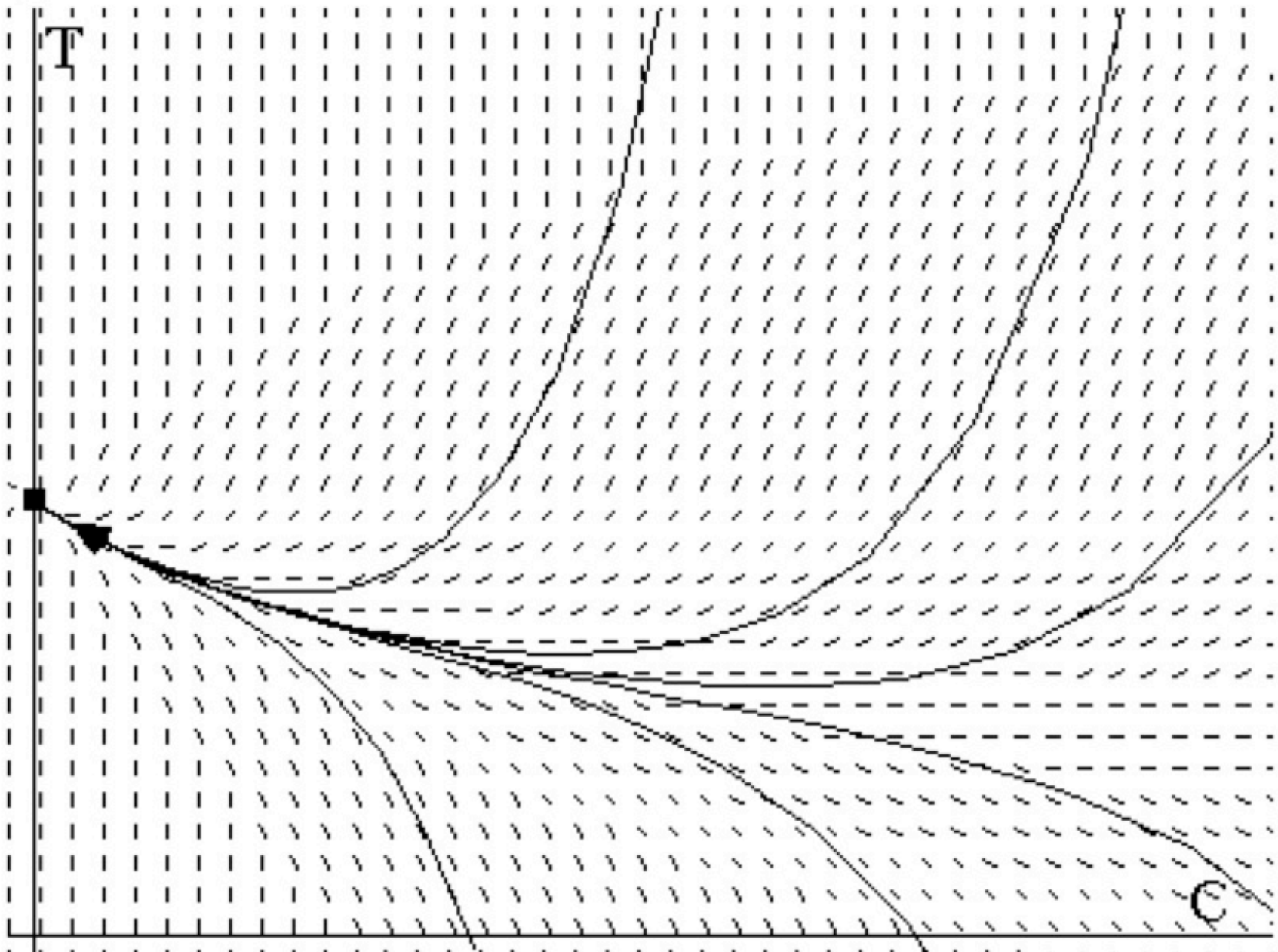
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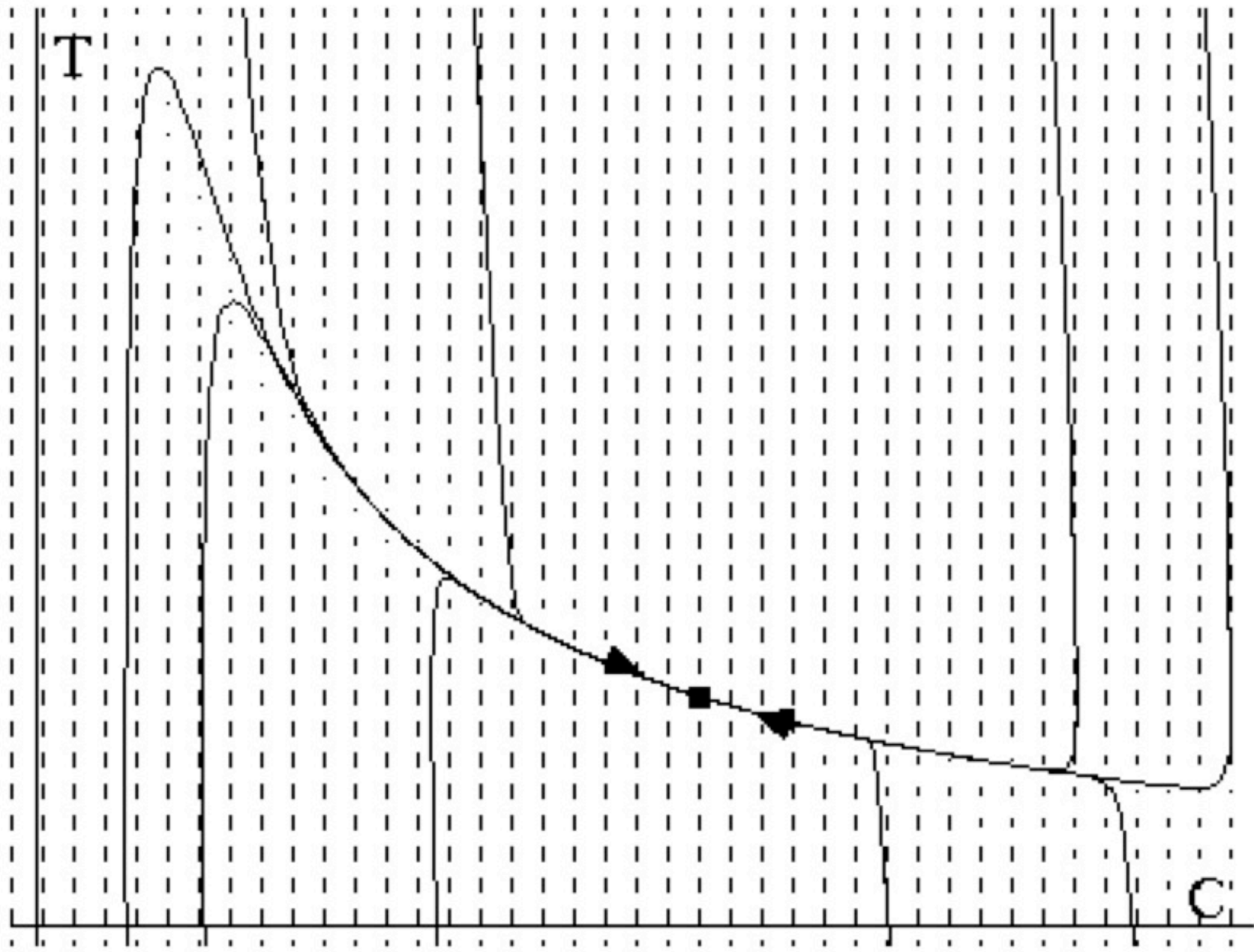
- the trivial steady state is unstable iff the nontrivial steady state exists in the positive plane
- the nontrivial steady state is asymptotically stable whenever it exists in the positive plane.



Nontrivial eq^m absent \Leftrightarrow trivial eq^m stable



Nontrivial eq^m stable in the positive plane



Vaccination

- A fixed boost of CTLs, C^i



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- Given at regular times, t_k



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Vaccination

- A fixed boost of CTLs, C^i
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...this results in a series of *impulsive differential equations*.



Impulsive effect

- According to impulsive theory, we can describe the nature of the impulse at time r_k via the difference equation

Impulsive effect

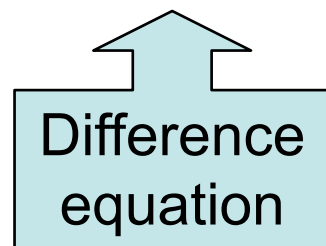
- According to impulsive theory, we can describe the nature of the impulse at time r_k via the difference equation

$$\Delta y \equiv y(r_k^+) - y(r_k^-) = f(r_k, y(r_k^-))$$

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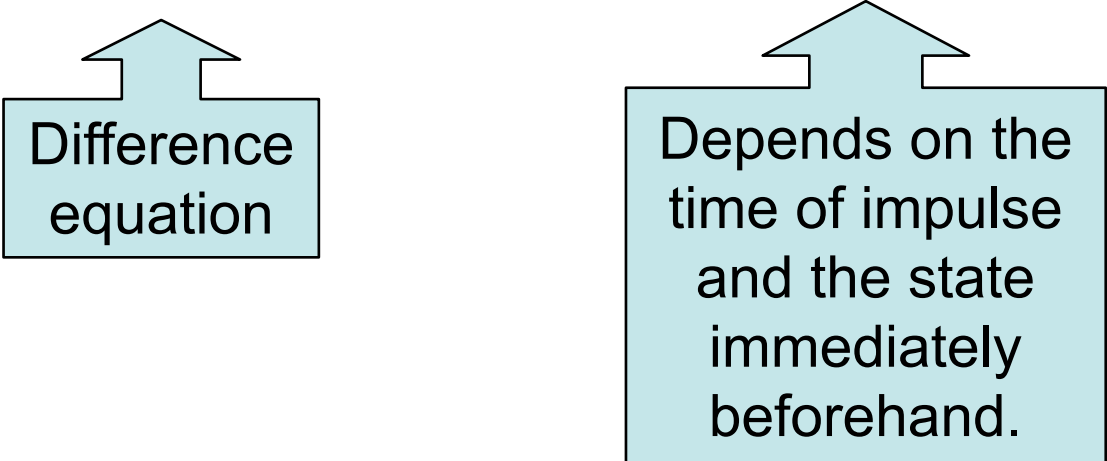
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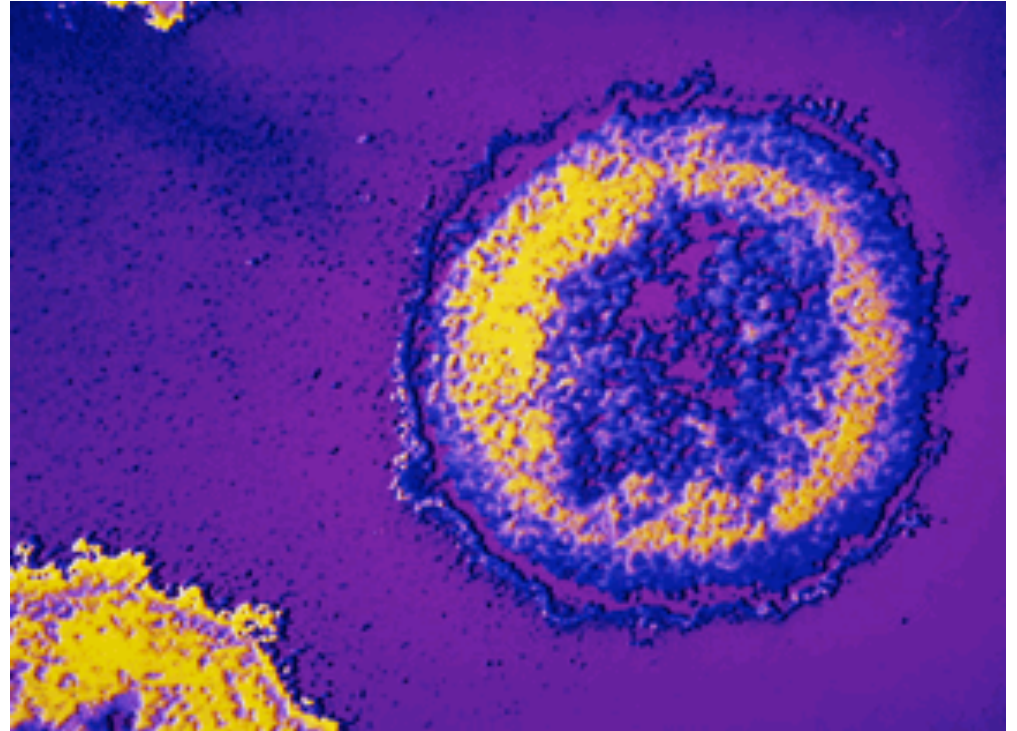


Difference
equation

Depends on the
time of impulse
and the state
immediately
beforehand.

Impulsive DEs

- Solutions are continuous for $t \neq r_k$

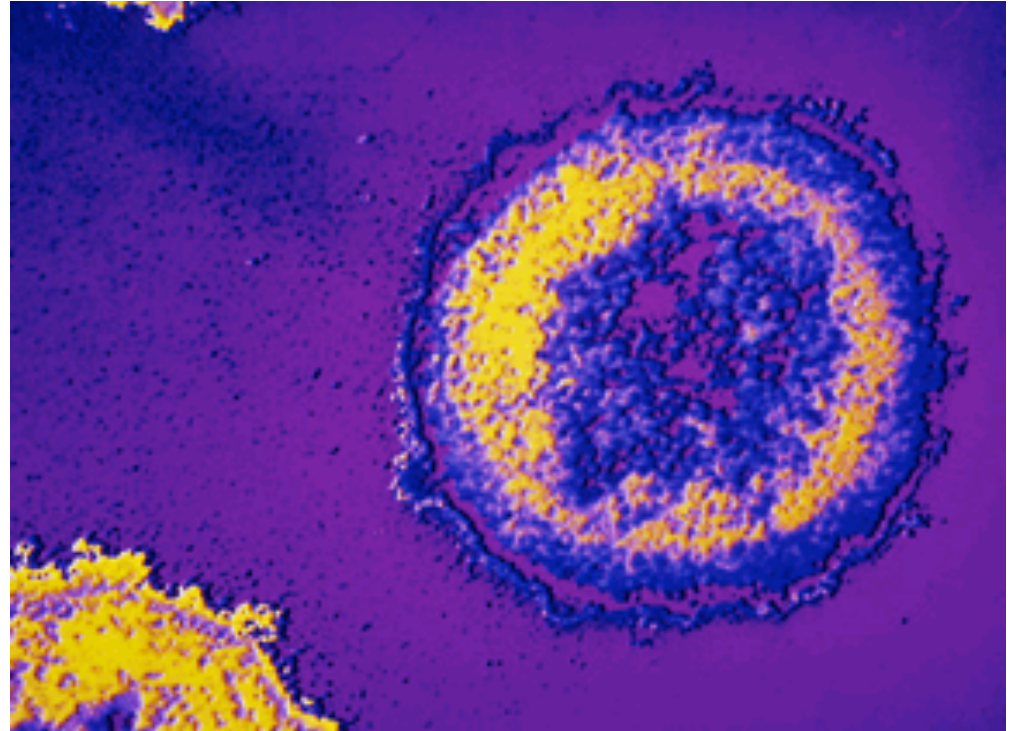


Thousands of HIV particles emerging from an infected T-cell

r_k =impulse time

Impulsive DEs

- Solutions are continuous for $t \neq r_k$
- Solutions undergo an instantaneous change in state when $t = r_k$.



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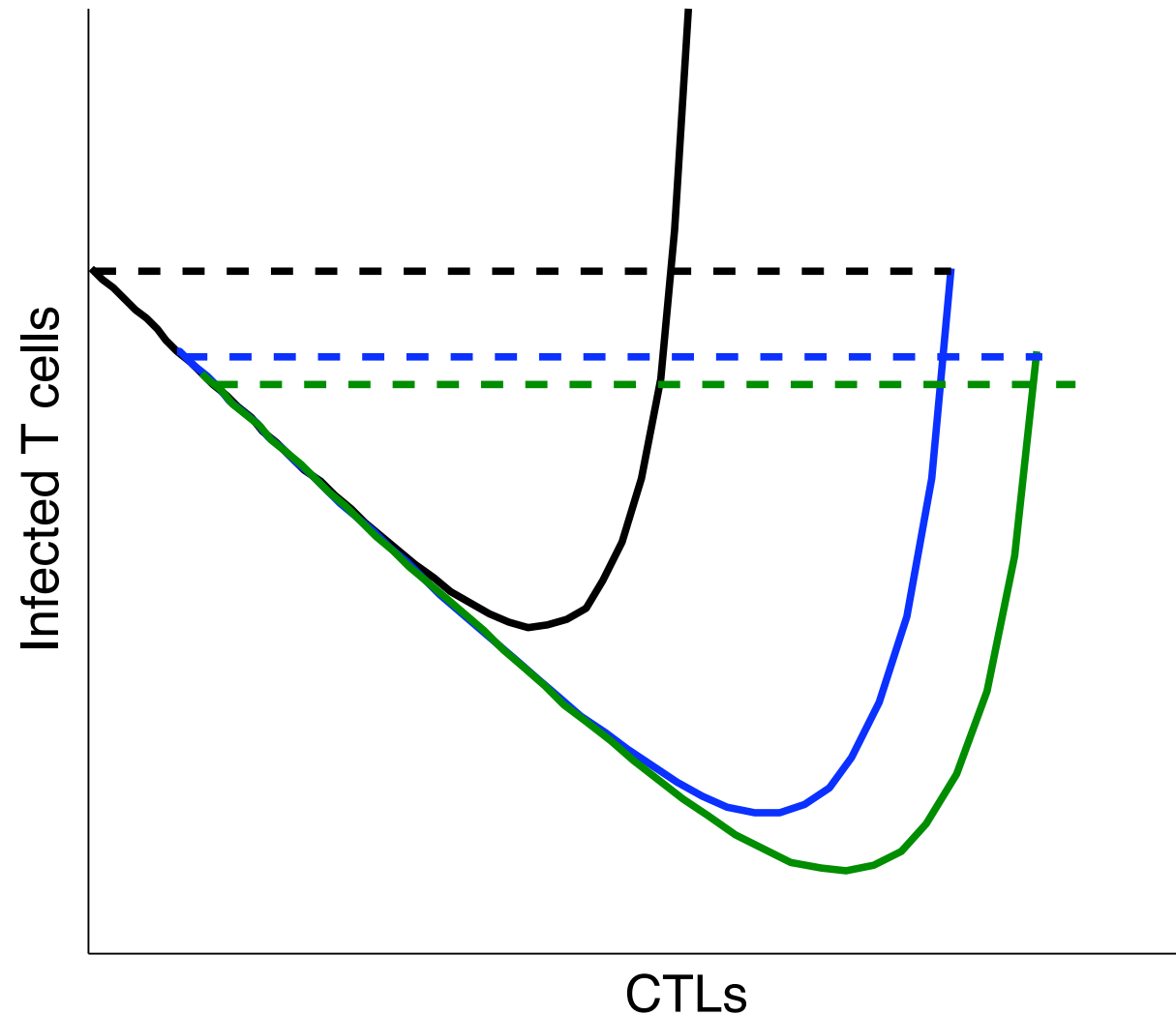
Putting it together

- The model thus consists of a system of ODEs (infected T cells and CTLs) together with a difference equation (CTL boost).



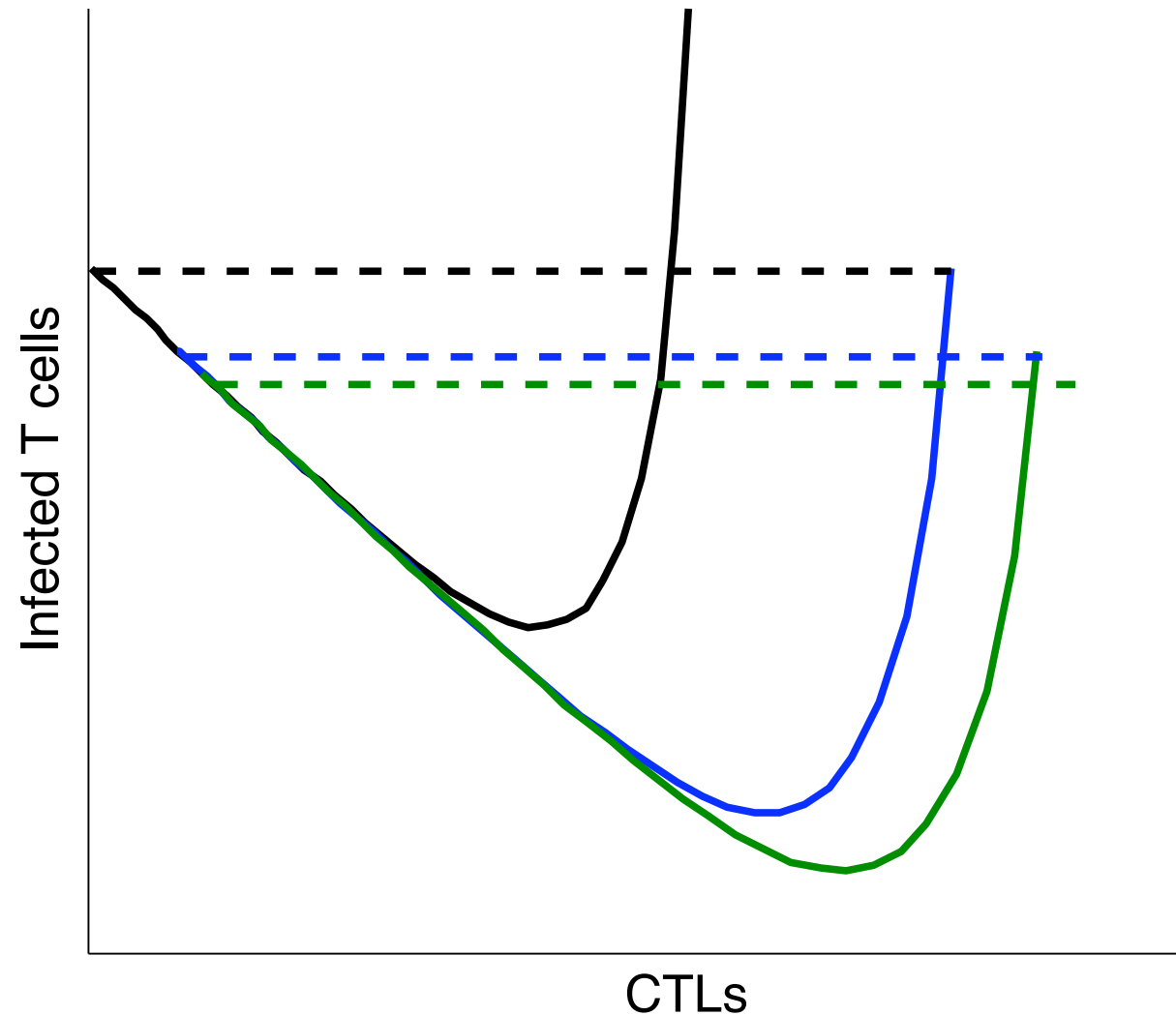
Impulsive interruption

- The impulsive effect “interrupts” the continuous trajectories



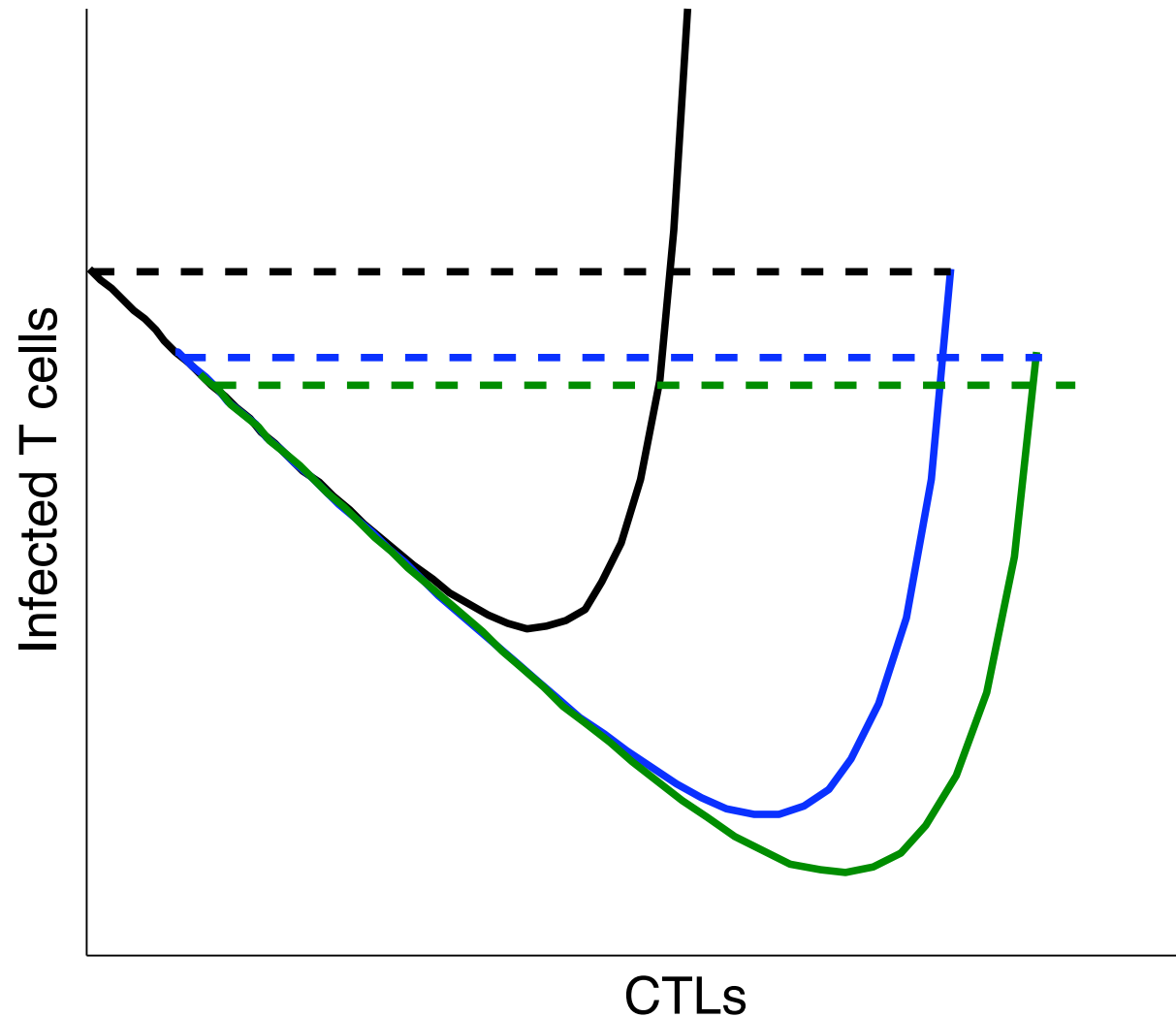
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Impulsive interruption

- The impulsive effect “interrupts” the continuous trajectories
- The cycle is restarted
- It continues until the next “interruption”.



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$$\begin{aligned}
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Implicit solution within a cycle

- Since

$$C' = C(\alpha T - \delta)$$

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$$\therefore C(t) = C(0)e^{\int_0^t (\alpha T(u) - \delta) du}.$$

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Defining T_{int}

- Define

where $\tau = t_{k+1} - t_k$ is the vaccine administration interval (assumed constant)

- T_{int} is a measure of the ratio of the number of CTLs at the end of an impulsive cycle to those at the beginning

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- T_{int} is a measure of the ratio of the number of CTLs at the end of an impulsive cycle to those at the beginning
- Thus, $T_{\text{int}} < 1$ is necessary for an impulsive orbit to exist.

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An impulsive periodic orbit

- In particular, if $C(0^+) = \frac{C^i}{1-T_{\text{int}}}$

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$$a(T, C) = 0$$

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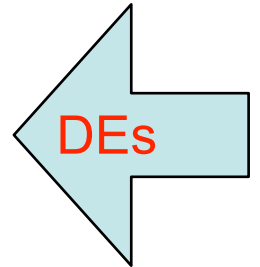
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Reworks impulse times as a smooth function.

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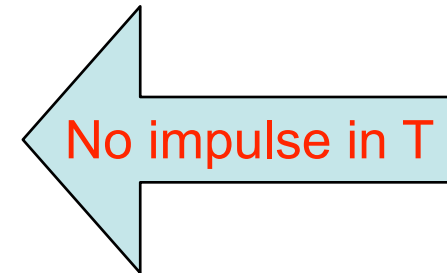
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Nontrivial 2D Floquet multiplier

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$(\xi, \eta) = (T, C)$ periodic orbit $t_k = \text{impulse times}$
 $P, Q = \text{differential equations}$ $a, b = \text{impulsive effects}$
 $\phi = \text{implicit impulse function}$

Nontrivial 2D Floquet multiplier

- The nontrivial Floquet multiplier is

$$\mu_2 = \Delta_1 \exp \left[\int_0^\tau \left(\frac{\partial P}{\partial T}(\xi(t), \eta(t)) + \frac{\partial Q}{\partial C}(\xi(t), \eta(t)) \right) dt \right]$$

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- where

(ξ, η) = (T, C) periodic orbit *t_k = impulse times*
P, Q = differential equations *a, b = impulsive effects*
 ϕ = implicit impulse function

Nontrivial 2D Floquet multiplier

- The nontrivial Floquet multiplier is

$$\mu_2 = \Delta_1 \exp \left[\int_0^\tau \left(\frac{\partial P}{\partial T}(\xi(t), \eta(t)) + \frac{\partial Q}{\partial C}(\xi(t), \eta(t)) \right) dt \right]$$

- where

$$\Delta_1 = \frac{P_+ \left(\frac{\partial b}{\partial C} \frac{\partial \phi}{\partial T} - \frac{\partial b}{\partial T} \frac{\partial \phi}{\partial C} + \frac{\partial \phi}{\partial T} \right) + Q_+ \left(\frac{\partial a}{\partial T} \frac{\partial \phi}{\partial C} - \frac{\partial a}{\partial C} \frac{\partial \phi}{\partial T} + \frac{\partial \phi}{\partial C} \right)}{P \frac{\partial \phi}{\partial T} + Q \frac{\partial \phi}{\partial C}};$$

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P , Q , $\frac{\partial a}{\partial T}$, $\frac{\partial b}{\partial T}$, $\frac{\partial a}{\partial C}$, $\frac{\partial b}{\partial C}$, $\frac{\partial \phi}{\partial T}$ and $\frac{\partial \phi}{\partial C}$ are computed at the point $(\xi(t_k^-), \eta(t_k^-))$ and $P_+ = P(\xi(t_k^+), \eta(t_k^+))$, $Q_+ = Q(\xi(t_k^+), \eta(t_k^+))$.

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where

$$\Delta_1 = \frac{P_+ \left(\overset{0}{\cancel{\frac{\partial a}{\partial C} \frac{\partial \phi}{\partial T}}} - \overset{0}{\cancel{\frac{\partial b}{\partial T} \frac{\partial \phi}{\partial C}}} + \frac{\partial \phi}{\partial T} \right) + Q_+ \left(\frac{\partial a}{\partial T} \frac{\partial \phi}{\partial C} - \frac{\partial a}{\partial C} \frac{\partial \phi}{\partial T} + \frac{\partial \phi}{\partial C} \right)}{P \frac{\partial \phi}{\partial T} + Q \frac{\partial \phi}{\partial C}};$$

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where

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P and Q explicitly

- Since $\xi(t_k^+) = \xi(t_k^-)$,

(ξ, η) = (T, C) periodic orbit t_k = impulse times
P, Q = differential equations
d, δ = death rates *α* = proliferation rate
 π = production rate *p* = production rate

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- Since $\xi(t_k^+) = \xi(t_k^-)$,

$$P = \pi - d\xi(t_k^-) - p\eta(t_k^-)\xi(t_k^-)$$

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- Since $\eta(t_k^-) = \eta(t_k^+)T_{\text{int}}$ and $T_{\text{int}} < 1$,

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 \Delta_1 &= \frac{P_+ \frac{\partial \phi}{\partial T} + Q_+ \frac{\partial \phi}{\partial C}}{P \frac{\partial \phi}{\partial T} + Q \frac{\partial \phi}{\partial C}} \\
 &< \frac{1}{T_{\text{int}}} .
 \end{aligned}$$

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Calculating the nontrivial Floquet multiplier

$$\mu_2 < \frac{1}{T_{\text{int}}} \exp \int_0^\tau \left(\frac{\partial P}{\partial T}(\xi(t), \eta(t)) + \frac{\partial Q}{\partial C}(\xi(t), \eta(t)) \right) dt$$

*(ξ, η)=(T,C) periodic orbit τ =vaccination frequency d=death rate
P,Q=differential equations π =production rate p=production rate*

Calculating the nontrivial Floquet multiplier

$$\begin{aligned}\mu_2 &< \frac{1}{T_{\text{int}}} \exp \int_0^\tau \left(\frac{\partial P}{\partial T}(\xi(t), \eta(t)) + \frac{\partial Q}{\partial C}(\xi(t), \eta(t)) \right) dt \\ &= \frac{1}{T_{\text{int}}} \exp \int_0^\tau (-d - p\eta(t) + \alpha\xi(t) - \delta) dt\end{aligned}$$

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$(\xi, \eta) = (T, C)$ periodic orbit τ = vaccination frequency d = death rate
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Orbital asymptotic stability

- Hence, the nontrivial impulsive Floquet multiplier lies inside the unit circle

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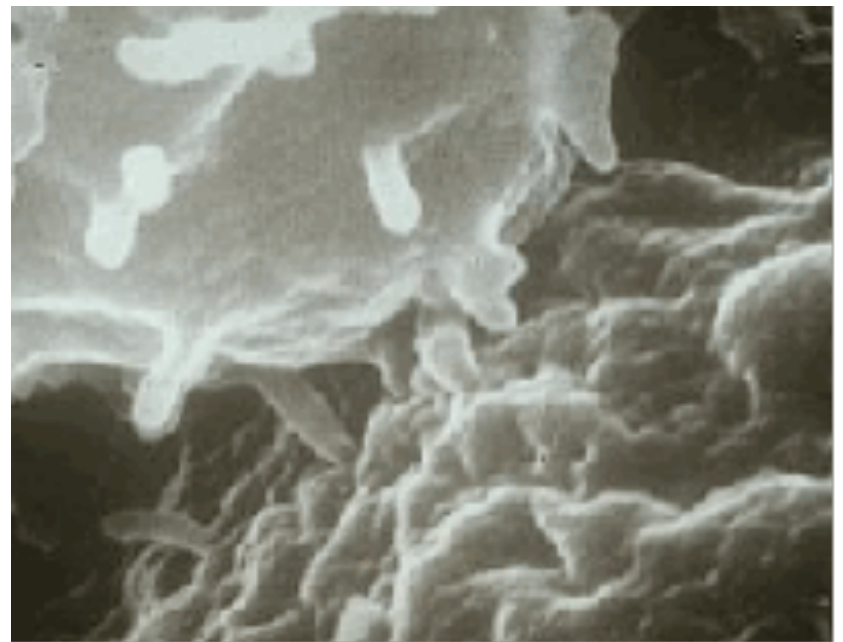
A caveat

- Although this orbit exists and is stable it might not be unique
- In particular, there might be impulsive orbits with more than one impulse per period
- However, this does not appear to be the case, for the parameter ranges under consideration.

Average # of infected T cells

- The average number of infected T cells during a single cycle of the impulsive periodic orbit is

$$T_{av} \equiv \frac{1}{\tau} \int_0^{\tau} T(u) du .$$

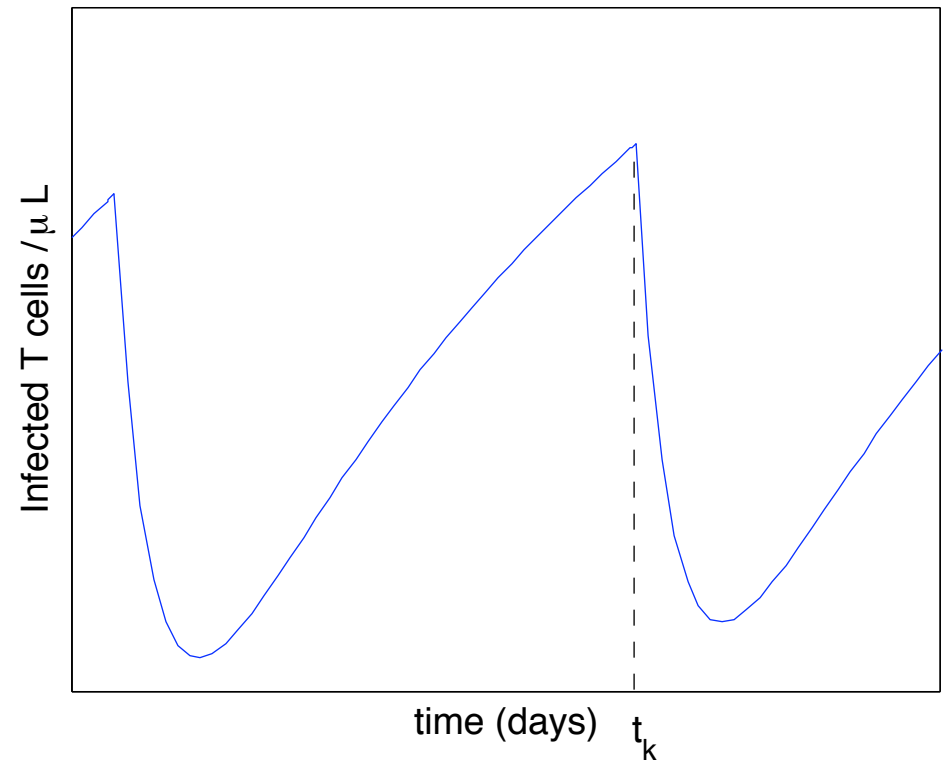


A CTL recognising a tumour

T=infected cells τ =vaccination period

Infected T cell minimum

- Easy to show:

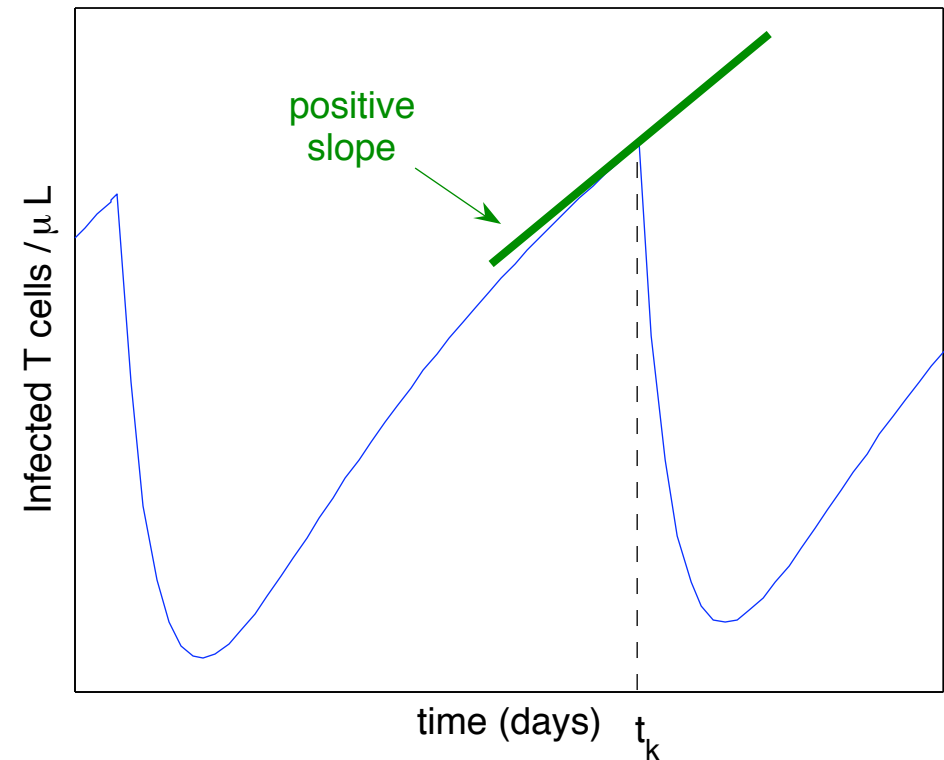


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- Easy to show:

$$\frac{dT}{dt}(t_k^+) < \frac{dT}{dt}(t_k^-)$$



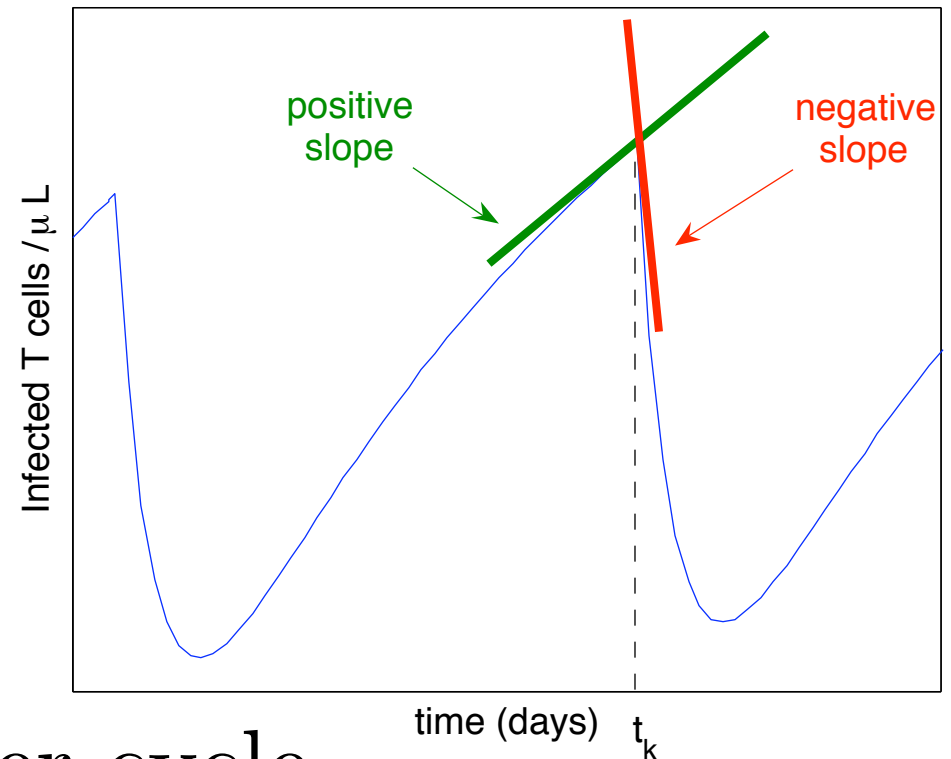
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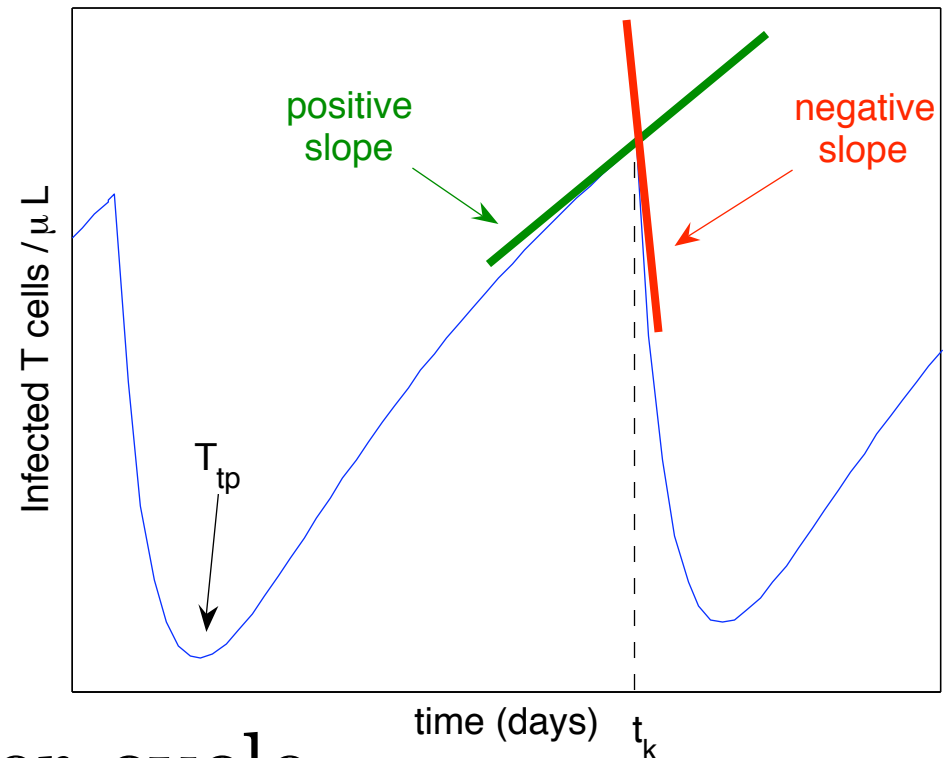
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- Denote this turning point by (T_{tp}, C_{tp})



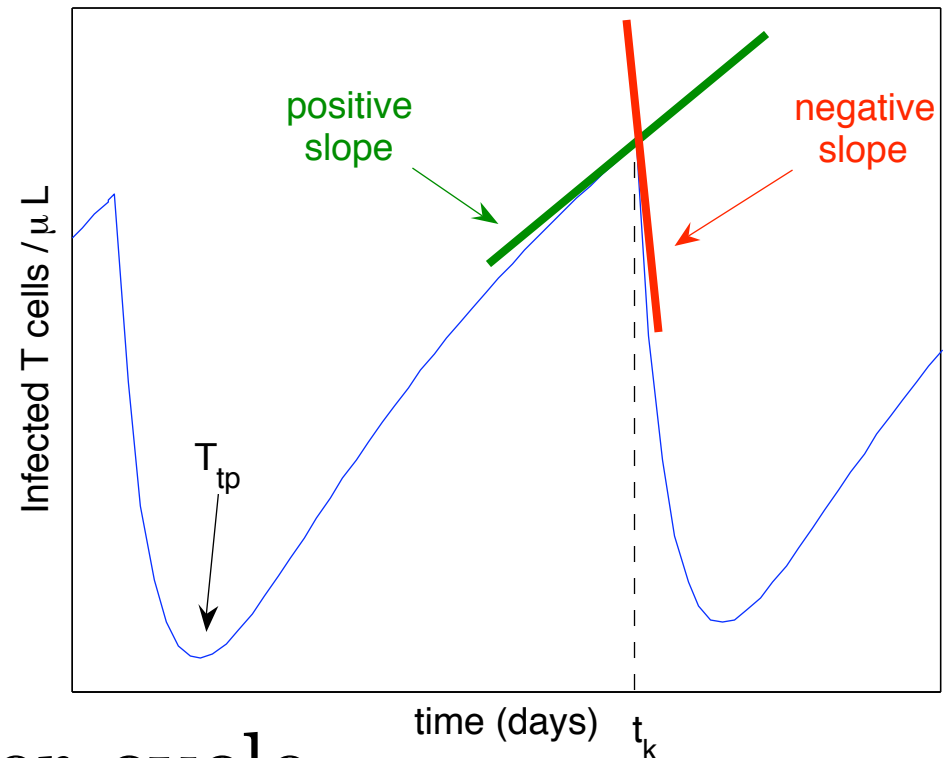
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- Denote this turning point by (T_{tp}, C_{tp})
- Clearly this turning point is a minimum.



T =infected T cells C =CTLs t_k =vaccination time

Estimating the vaccination period

Since $T_{tp} < T_{av}$, $C_{tp} > C(\tau^-)$ and $T_{int} = e^{\alpha\tau T_{av} - \delta\tau}$,

*T=infected T cells π =production rate δ =death rate C =vaccine strength T_{av} =av # cells
 C =CTLs α =proliferation rate p =clearance rate τ =vaccination period (T_{tp}, C_{tp}) =T-cell min*

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Since $T_{tp} < T_{av}$, $C_{tp} > C(\tau^-)$ and $T_{int} = e^{\alpha\tau T_{av} - \delta\tau}$,

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$$\lim_{T_{av} \rightarrow 0} \left[\frac{1}{\delta - \alpha T_{av}} \ln \left(1 + \frac{C^i T_{av}}{\pi - d T_{av}} \right) \right] = 0^+ .$$

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Thus, infection could theoretically be cleared...



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(ignoring latently infected cells and other reservoirs)

...for a sufficiently strong vaccine or sufficiently frequent vaccinations

(although the impulsive assumptions break down as the limit approaches zero).



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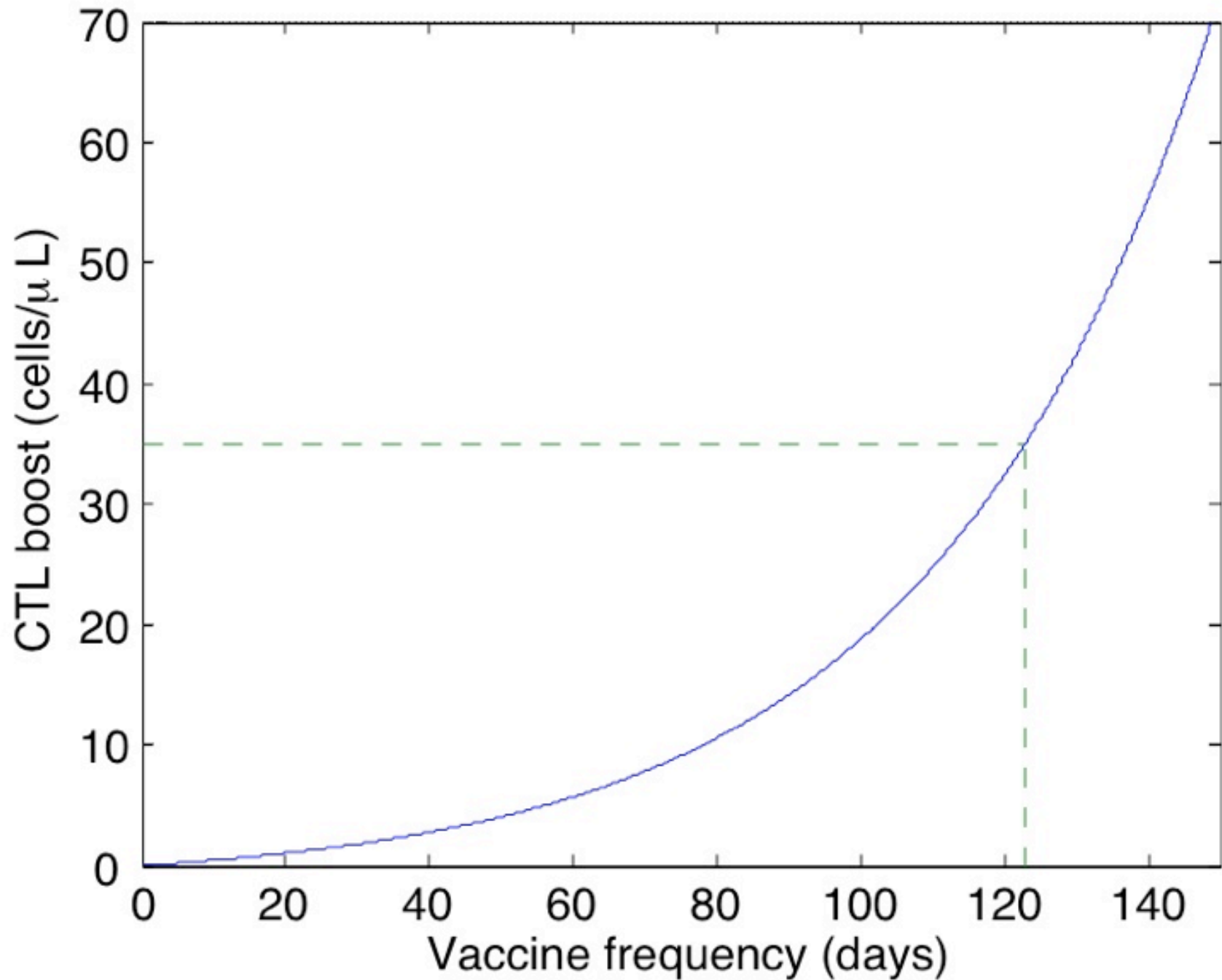
Parameters

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- Desired average: $T_{av} = 2.6$ cells μL^{-1}
(instead of 3 cells μL^{-1})
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Parameter	Value	Units	Reference
π	1.5	cells $\text{day}^{-1} \mu\text{L}^{-1}$	de Boer & Perelson (1998)
d	0.5	day^{-1}	Essunger & Perelson (1994)
p	0.05	$\mu\text{L cells}^{-1}\text{day}^{-1}$	Bonhoeffer <i>et al.</i> (2000)
α	0.067	$\mu\text{L cells}^{-1}\text{day}^{-1}$	de Boer & Perelson (1998)
δ	0.2	day^{-1}	de Boer & Perelson (1998)

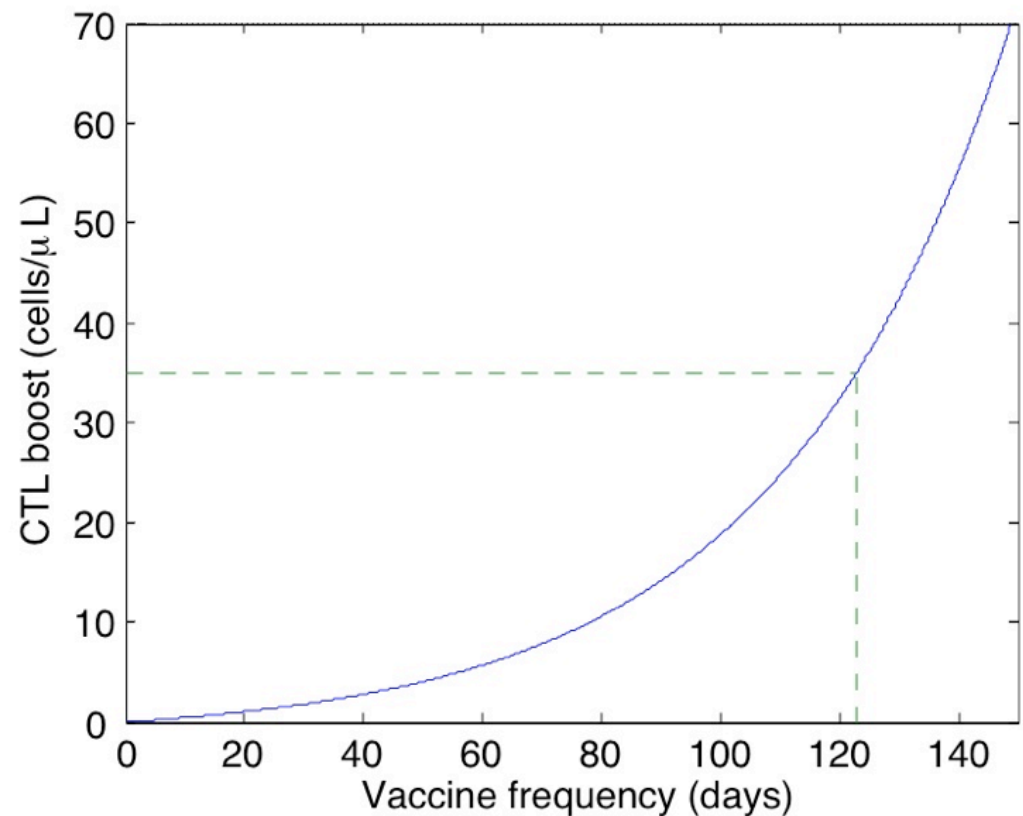
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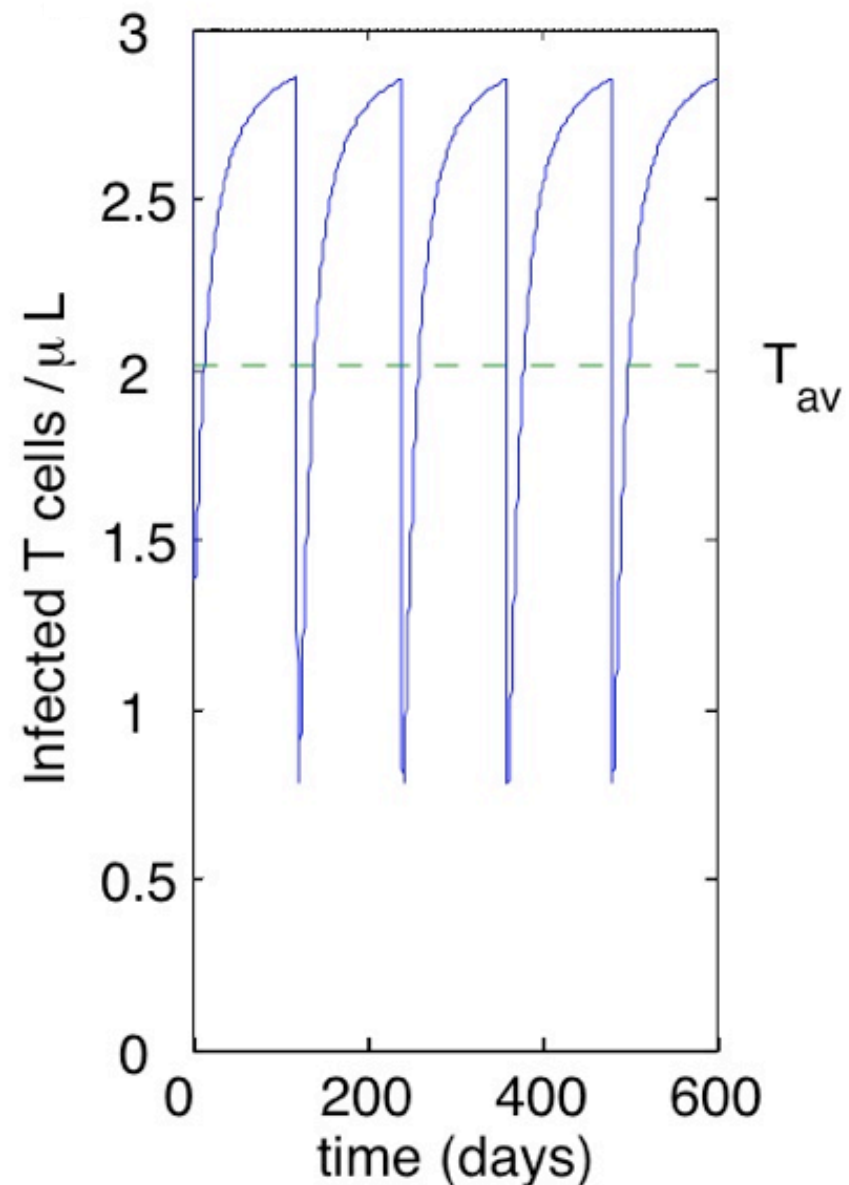
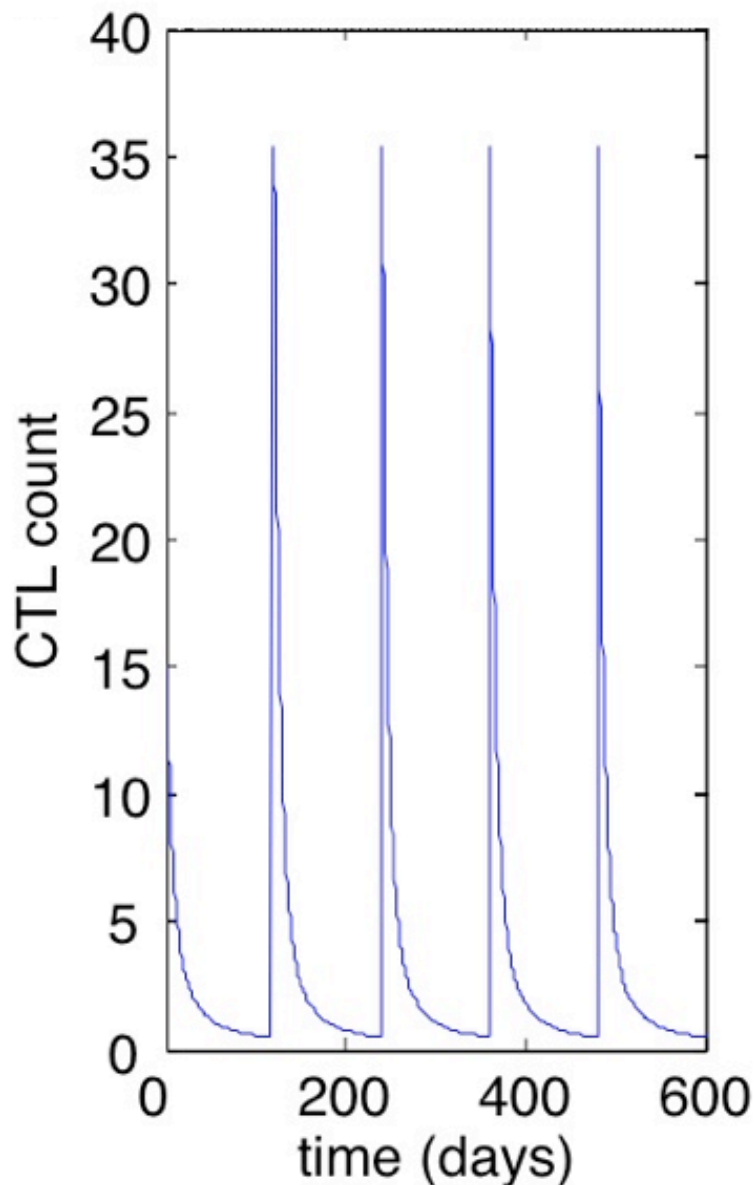


How strong and how often?

A CTL boost of 35 cells μL^{-1} that was applied every 122 days or fewer would ensure the average infected T cell count remained below 2.6 cells μL^{-1} .



$$C^i = 35 \text{ cells } \mu\text{L}^{-1}, \tau = 120 \text{ days}$$

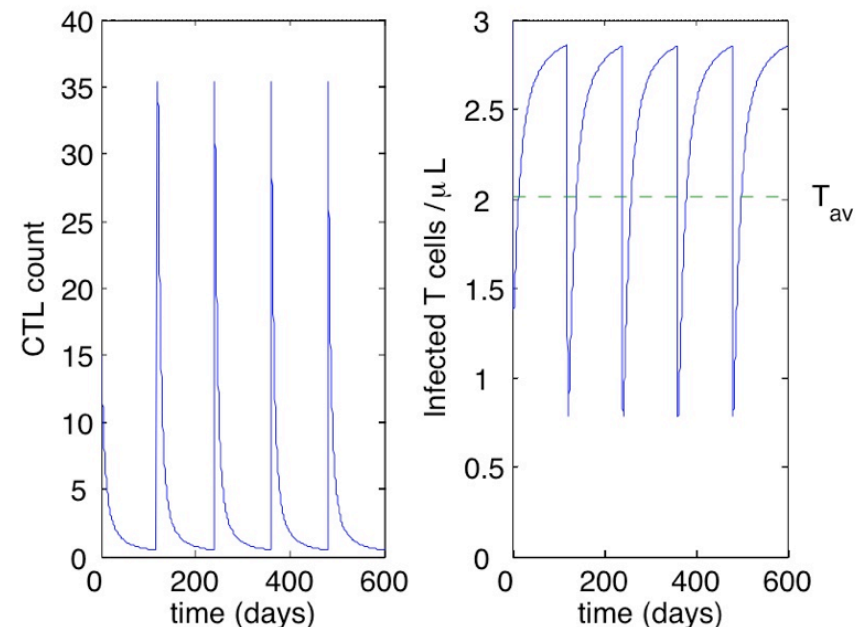


An overestimate

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An overestimate

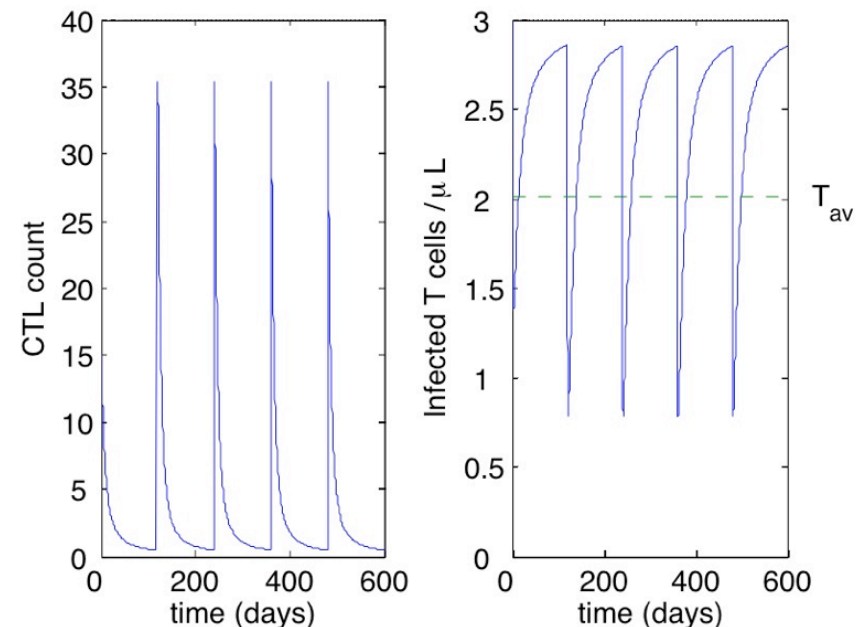
- The inequality is an overestimate
- A CTL boost of 35 cells administered every 120 days produced an actual average of 2.02 cells μL^{-1}



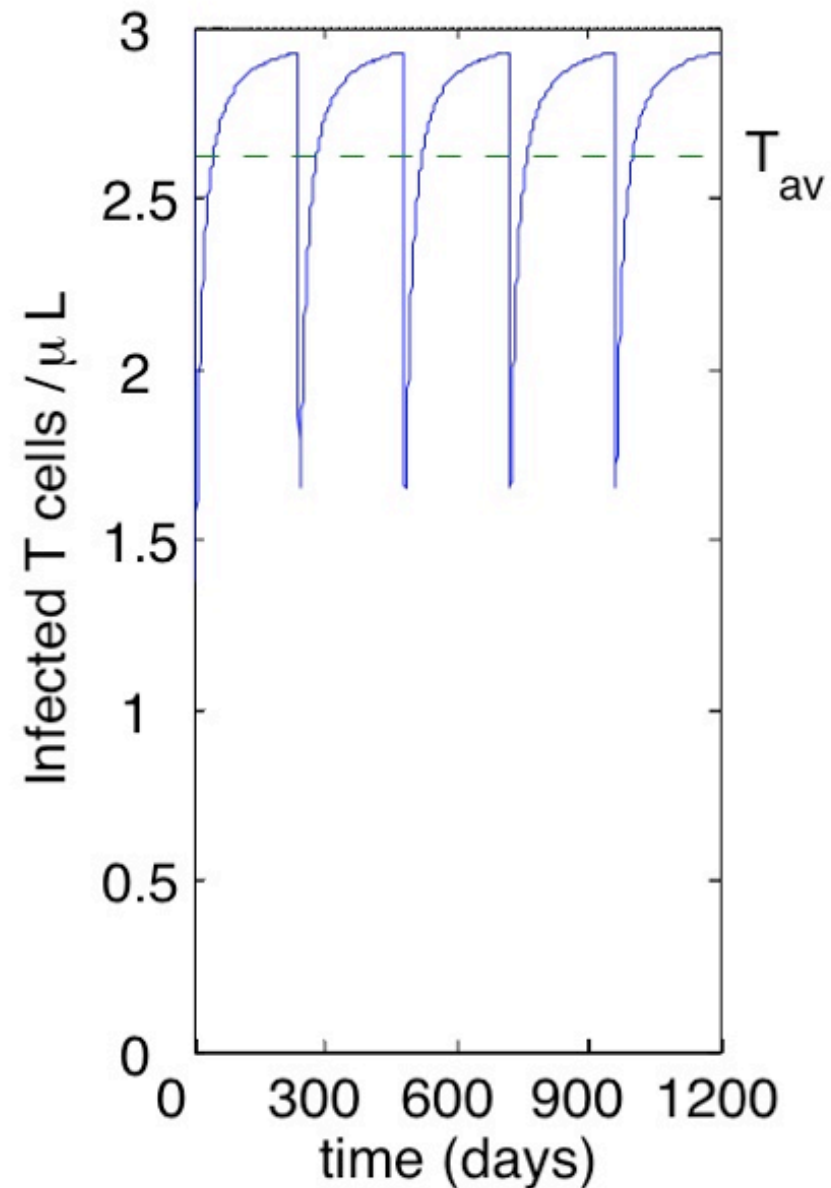
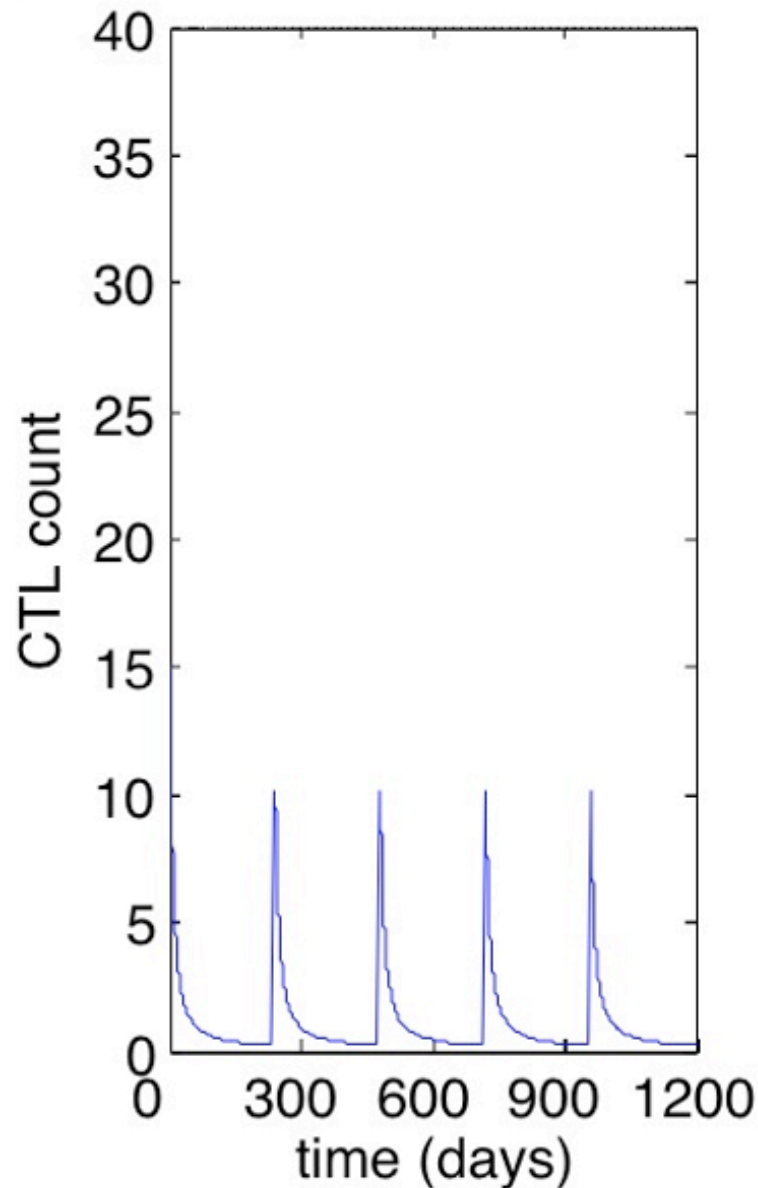
An overestimate

- The inequality is an overestimate
- A CTL boost of 35 cells administered every 120 days produced an actual average of 2.02 cells μL^{-1}

(better than the desired 2.6 cells μL^{-1}).



$$C^i = 10 \text{ cells } \mu\text{L}^{-1}, \tau = 240 \text{ days}$$

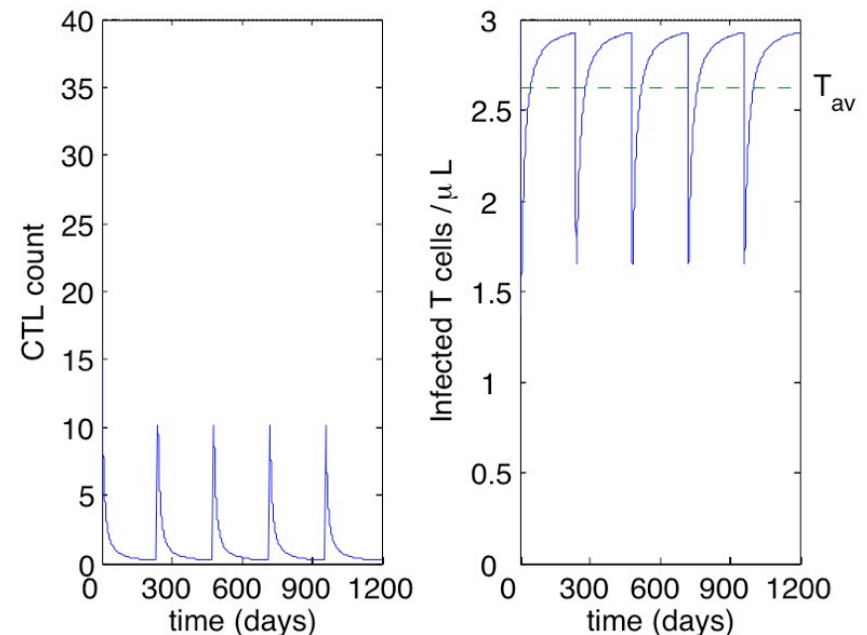


Infrequent vaccination

- Low or infrequent vaccination has minimal effect on the infected T cell counts

Infrequent vaccination

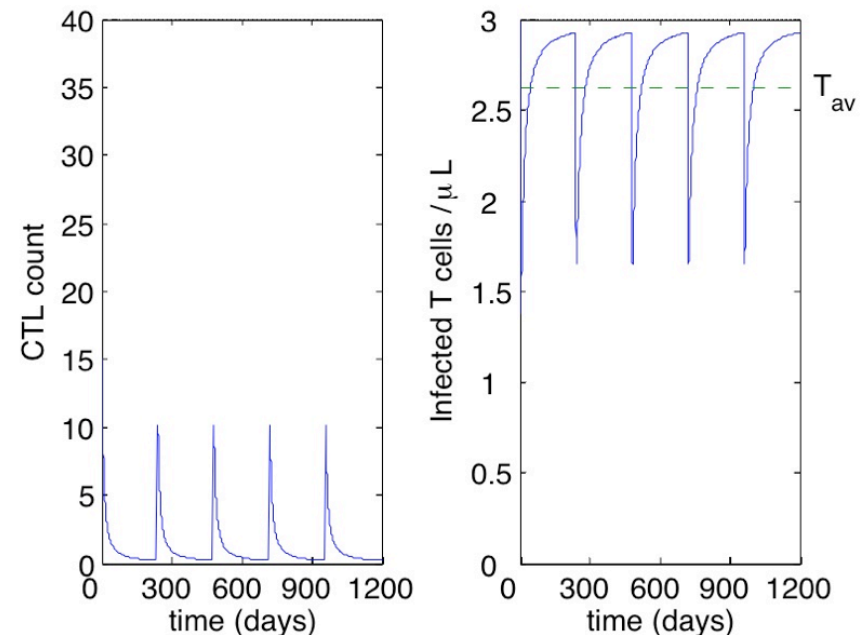
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- A CTL boost of 10 cells administered every 240 days produced an actual average of 2.65 cells μL^{-1}

(worse than the desired 2.6 cells μL^{-1}).



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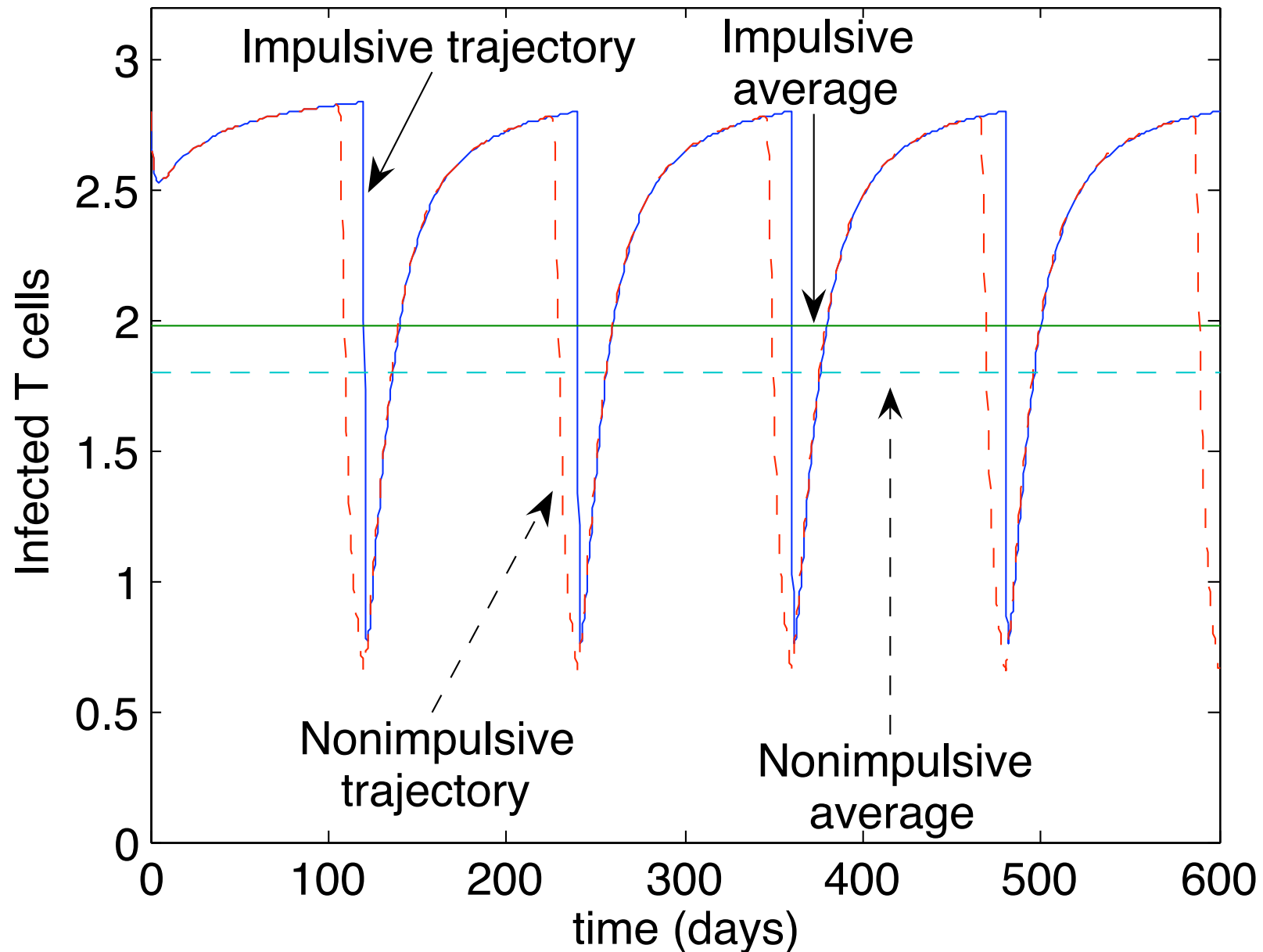
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- In reality, CTLs take ~14 days to reach peak values
- This might be too coarse for an impulsive approximation...
- ...so we ran numerical simulations to test the accuracy of the results.

A reasonable approximation



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- Thus, the impulsive approximation overestimates the average number of infected cells

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- It follows that the actual average will be lower if our recommendations are implemented.

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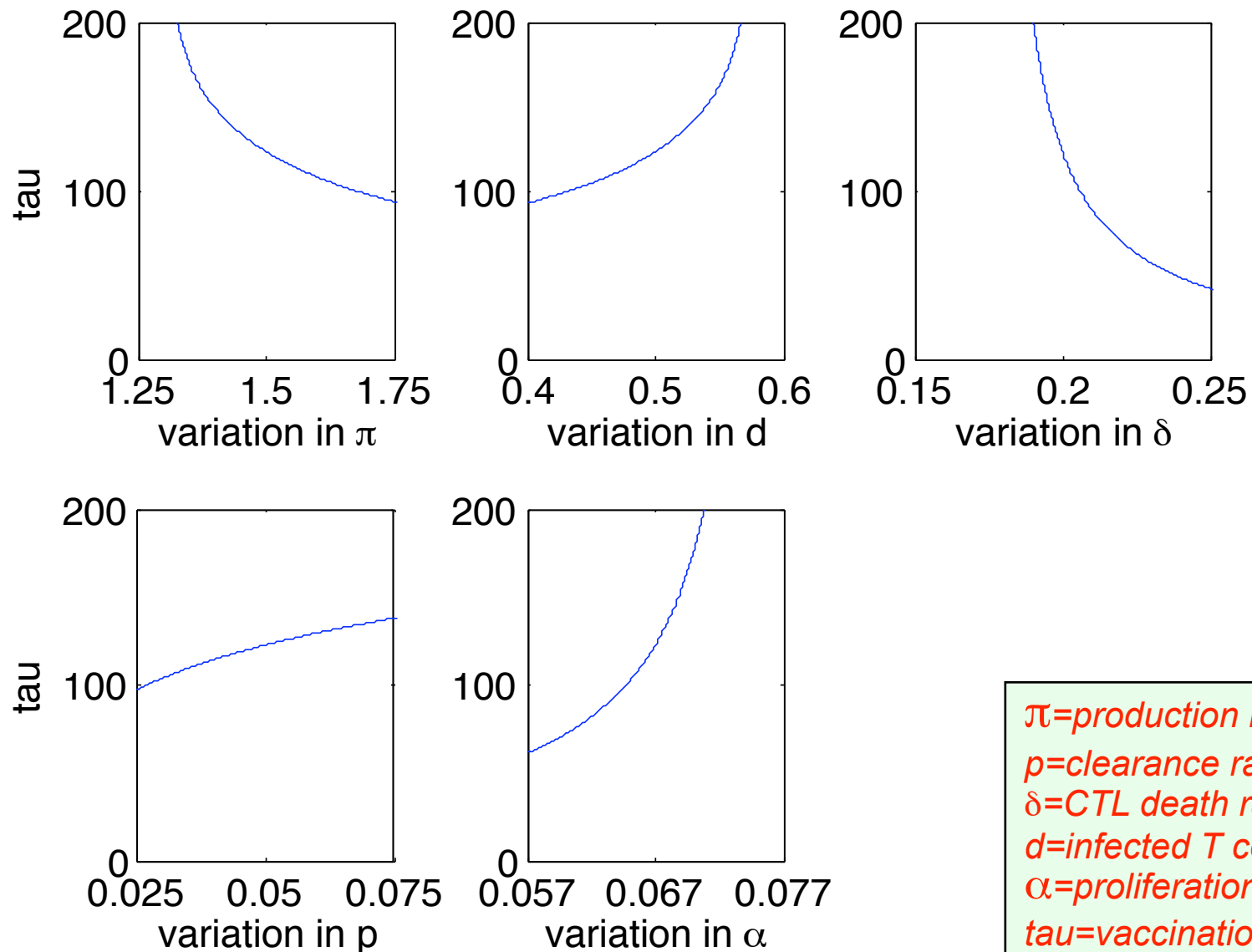
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- Our output parameter is the vaccination frequency.

Sensitivity of parameters



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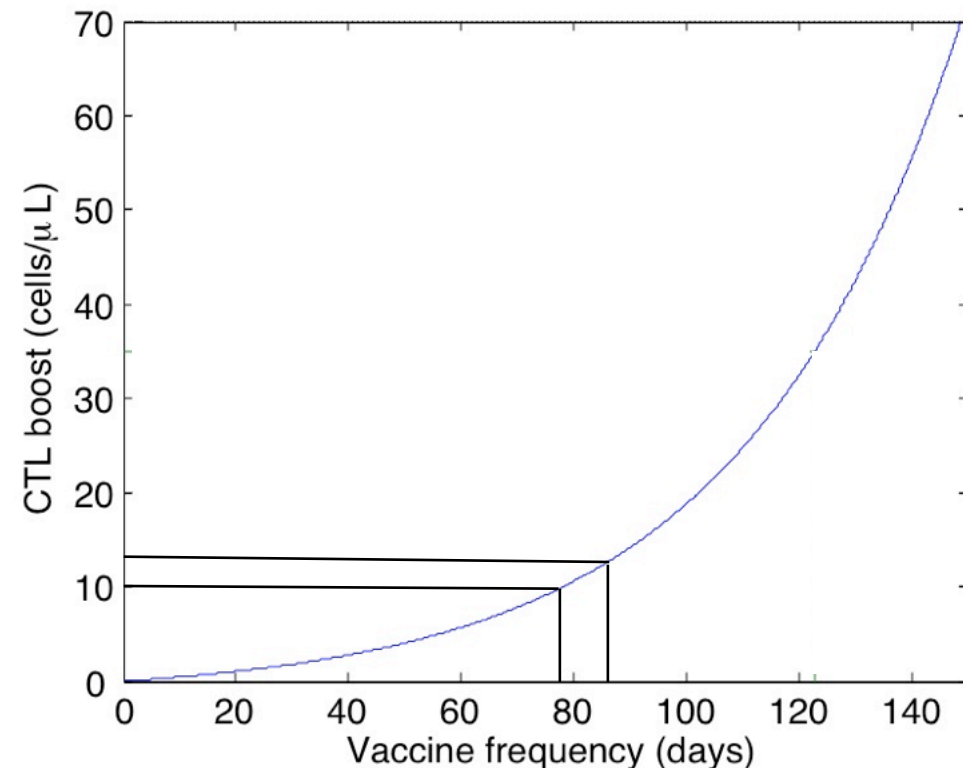
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- There may be higher order impulsive orbits
- Estimates are only reasonable during the asymptomatic phase of infection
- Results may be sensitive to parameter variation
- Impulsive Floquet theory is not easily extendable to higher-order models.

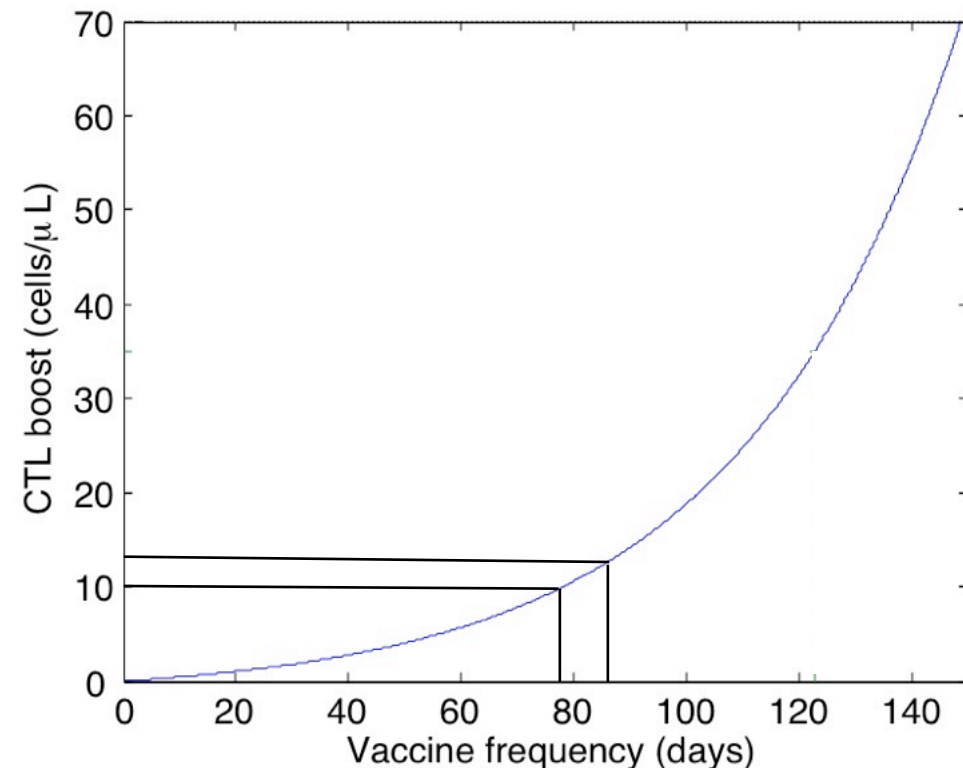
Implications for weak vaccines

- A small increase in the vaccine strength may result in a significantly larger range of possible vaccination intervals when the boost is low



Implications for weak vaccines

- A small increase in the vaccine strength may result in a significantly larger range of possible vaccination intervals when the boost is low
- Thus, CTL vaccines whose strength is too low would be less desirable, even if the frequency could be tolerated.



Attractiveness of such vaccines

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- Such drugs have harsh side effects, lead to drug resistance and require frequent daily administration



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- Such drugs have harsh side effects, lead to drug resistance and require frequent daily administration
- A CTL vaccine would offset the daily pill burden.



Potential drawbacks

- Logistical difficulties in administering regular vaccines to large populations



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- Logistical difficulties in administering regular vaccines to large populations
- The consequences of missing a single vaccination are more severe than missing a single drug dose.



Future work

- Adherence to a regular CTL vaccine



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- Adherence to a regular CTL vaccine
- The effects of fluctuations in the vaccination time, even if administered quasi-regularly



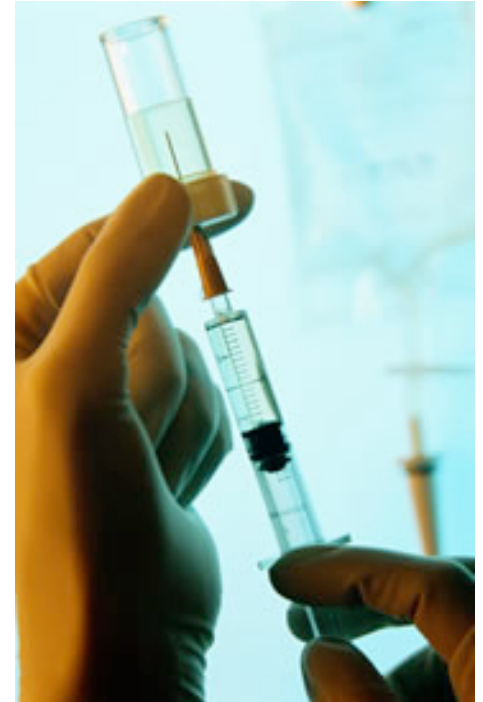
Future work

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- The effects of fluctuations in the vaccination time, even if administered quasi-regularly
- Consequences of vaccine “resistance”.



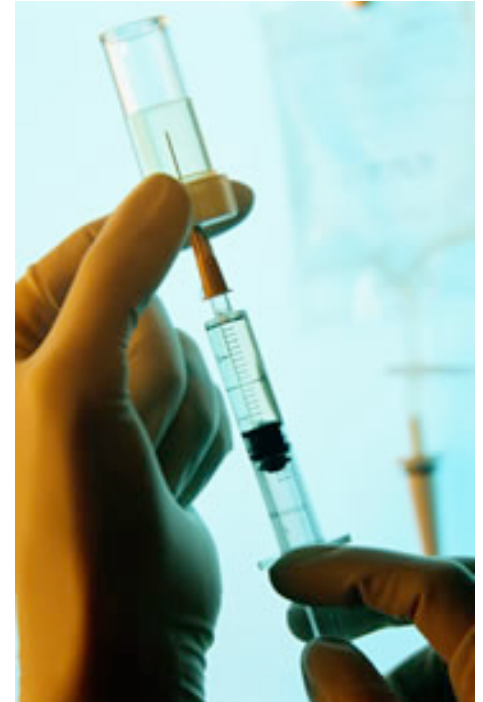
Summary

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- A CTL vaccine pulsed at regular intervals can keep the average number of infected $CD4^+$ T cells arbitrarily low, by choosing appropriate vaccination intervals and strength of the vaccine
- The estimate is overconservative, so this will actually result in a lower average number of infected T cells than theoretically predicted.



Conclusions

- A post-infection CTL vaccine would be highly desirable, assuming perfect patient adherence



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- A post-infection CTL vaccine would be highly desirable, assuming perfect patient adherence
- Such a vaccine would offer a realistic alternative to the daily pill burden of antiretroviral drug therapy
- We recommend that such a vaccine should be available for self-administration by patients.



Key reference

- R.J. Smith? and E.J. Schwartz, Predicting the potential impact of a cytotoxic T-lymphocyte HIV vaccine: how often should you vaccinate and how strong should the vaccine be? (*Mathematical Biosciences* 2008, 212:180-187)



