

### §3. Automorphisms and derivations of $\mathbb{C}(B, \mu)$

The automorphisms of a Cayley algebra  $\mathbb{C}(B, \mu)$  which fix the quaternion algebra  $B$  are obtained by shifting by an element of norm 1, and all such automorphisms are inner. Analogously, the derivations of  $\mathbb{C}(B, \mu)$  killing  $B$  are obtained by shifting by an element of trace zero, and all such derivations are inner.

#### Automorphisms

We begin by characterizing the subgroup  $\text{Aut}_B(\mathbb{C})$  of automorphisms of a Cayley algebra  $\mathbb{C}(B, \mu)$  over a field  $\mathbb{Q}$  which fix the quaternion algebra  $B$ .

3.1 (Quaternion Dilation Theorem) The automorphisms of a Cayley algebra  $\mathbb{C}(B, \mu)$  which fix the quaternion subalgebra  $B$  element-wise are precisely the quaternion dilations

$$T_{B,u}: a+bl \rightarrow a+(ub)\ell \quad (n(u)=1)$$

by elements  $u$  of norm 1. Thus  $\text{Aut}_B(\mathbb{C})$  is isomorphic to the multiplicative group of elements of norm 1 in  $B$ .

Proof. All maps  $T = T_{B,u}$  are automorphisms by direct calculation: from the multiplication formula I.1.8  $T(x_1 x_2)$   
 $= T(\{a_1 a_2 + \mu \bar{b}_2 b_1\} + \{b_2 a_1 + b_1 \bar{a}_2\} \ell) = \{a_1 a_2 + \mu \bar{b}_2 b_1\}$   
 $+ \{u(b_2 a_1 + b_1 \bar{a}_2)\} \ell = \{a_1 a_2 + \mu (\bar{u} b_2)(u b_1)\} + \{(u b_2) a_1 + (u b_1) \bar{a}_2\} \ell$   
 (since  $\bar{u} u = n(u)1 = 1$  and  $B$  is associative)  $= \{a_1 + (u b_1) \ell\} \cdot$   
 $\{a_2 + (u b_2) \ell\} = T(x_1) T(x_2)$ , and  $T$  is clearly a bijective linear map since  $b \rightarrow ub$  is bijective on  $B$ . In fact,  $T_{B,u}^{-1} = T_{B,u}^{-1}$  since in general  $T_{B,u_1} T_{B,u_2} = T_{B,u_1 u_2}$  and  $T_{B,1} = I$ . Thus the quaternion dilations  $T_{B,u}$  form a group isomorphic to the

multiplicative group of quaternions  $u$  of norm 1.

These dilations are precisely all automorphisms which fix  $B$ . Any  $T$  which fixes  $B$  must have the form  $T(x) = T(a+b\ell)$   
 $= T(a) + T(b)T(\ell) = a + bT(\ell)$  ( $a, b \in B$  are fixed). We need  
 only show  $T(\ell) = u\ell$  for some  $u$  with  $n(u) = 1$ , since then  
 $b(u\ell) = (ub)\ell$  and  $T = T_{B,u}$  as claimed. If we write  $T(\ell)$   
 $= v + u\ell$  then  $va + (u\bar{a})\ell = T(\ell)a = T(\ell a) = T(\bar{a}\ell) = \bar{a}T(\ell)$   
 $= \bar{a}v + (u\bar{a})\ell$  implies  $va = \bar{a}v$  for all  $a \in B$ , therefore  
 $[a,b]v = (ab)v - b(av) = v(\overline{ab}) - bva = v\bar{b}\bar{a} - v\bar{b}\bar{a} = 0$  and  
 consequently  $v = 0$  since there are invertible commutators  
 $[a,b]$  in a quaternion algebra  $B$ . ( $B$  is either a noncommutative  
 division ring or  $M_2(\mathbb{C})$ ; in the first case any  $[x,y] \neq 0$   
 is invertible, in the second  $[e_{12}, e_{21}] = e_{11} - e_{22}$  is invertible).  
 Then  $T(\ell) = u\ell$  where  $u\ell = T(u\ell) = T(\ell^2) = T(\ell)^2 = (u\ell)^2$   
 $= u\bar{u} = n(u)1$  implies  $n(u) = 1$ . (Alternately, one can show  
 $T$  is an isometry relative to the norm form  $n$ , hence  $T(\ell) \in T(B^{\perp})$   
 $\subset B^{\perp} = B\ell$ , so  $T(\ell) = u\ell$  where  $n(\ell) = n(T\ell) = n(u\ell)$   
 $= n(u)n(\ell)$  forces  $n(u) = 1$ ). ■

Next we show these quaternion dilations are inner.

3.2 Proposition. If  $u$  is a product of commutators  $[[xy]]$  in  $B$   
 then the quaternion dilation  $T_{B,u}$  is inner.

Proof. Since  $T_{B,u} = T_{B,u_1} \dots T_{B,u_n}$  if  $u = u_1 \dots u_n$  for  $u_i$   
 $= [[x_i y_i]]$ , where automatically  $n([[xy]]) = n(x)n(y)n(x)^{-1}n(y)^{-1} = 1$ ,  
 it suffices if each  $T_{B,u_i}$  is inner. Thus we may assume  
 $u = [[x,y]]$ . We claim

$$T_{B,[[x,y]]} = L_{(xy)\ell, \ell} L_{x^{-1}\ell, y^{-1}\ell}$$

From our general formula (1.4) for the action of  $L_{a\ell, \bar{b}\ell}$  we see

$$\begin{aligned}
 L_{(xy)\ell, \ell} L_{x^{-1}\ell, \bar{y}^{-1}\ell}(a+b\ell) &= L_{(xy)\ell, \ell} \{ (y^{-1}x^{-1})^{-1} a (y^{-1}x^{-1}) + \\
 & (x^{-1}y^{-1}) b (y^{-1}x^{-1})^{-1} \ell \} = L_{(xy)\ell, \ell} \{ (xy) a (xy)^{-1} + (yx)^{-1} b (xy) \cdot \ell \} \\
 &= (xy)^{-1} \{ (xy) a (xy)^{-1} \} (xy) + (xy) \{ (yx)^{-1} b (xy) \} (xy)^{-1} \cdot \ell \\
 &= a + \{ (xy) (yx)^{-1} b \} \ell = a + \{ [[xy]] b \} \ell = T_{B, [[xy]]} (a + b\ell) \text{ as claimed. } \blacksquare
 \end{aligned}$$

It is known to group theorists that the derived group of the quaternions of norm  $\neq 0$  is the group of quaternions of norm = 1 (for the split quaternion algebra  $M_2(\Phi)$  this means the derived group of the general linear group  $GL(2, \Phi)$  of matrices of determinant  $\neq 0$  is the special linear group  $SL(2, \Phi)$  of matrices of determinant 1), except when  $\Phi = \mathbb{Z}_2$ . We will give a direct proof that when  $\Phi \neq \mathbb{Z}_2$  every quaternion of norm 1 actually is a commutator (and not merely a product of commutators).

3.3 (Norm 1 Quaternion Lemma) Every element of norm 1 in a quaternion algebra  $B$  is a commutator

$$u = [[xy]]$$

except when  $B \cong M_2(\mathbb{Z}_2)$ ,  $u \cong \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

Proof. Any central  $u = \alpha \varepsilon \phi 1$  is easily seen to be a commutator:  $u(u) = \alpha^2 = 1$  implies  $\alpha = \pm 1$ ,  $u = \pm 1$ , where 1 is trivially a commutator, hence so is  $-1$  in characteristic 2, whereas in characteristic  $\neq 2$  the quaternion algebra has basis  $1, i, j, k$  with  $ij = -ji$  so  $[[ij]] = (ij)(ji)^{-1} = -(ji)(ji)^{-1} = -1$

Another case where we can explicitly represent  $u$  as a commutator is when  $t(u) = -2$ , with the exception indicated in the proposition. If  $u = -1$  this follows from the previous paragraph, so assume  $t(u) = -2$ ,  $n(u) = 1$ ,  $u \neq -1$ . If we set  $z = u+1$  then  $t(z) = t(u) + 2 = 0$ ,  $n(z) = 1+t(u) + 1 = 0$ , yet  $z \neq 0$ . Thus the quaternion algebra  $B$  is not a division algebra, consequently  $B \cong M_2(\Phi)$  is split. Since  $u$  has minimum polynomial  $\lambda^2 - t(u)\lambda + n(u) = \lambda^2 + 2\lambda + 1 = (\lambda + 1)^2$  with characteristic roots in  $\Phi$ , by Jordan canonical form we can choose the isomorphism  $B \cong M_2(\Phi)$  so  $u \cong \begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$ . But this matrix is a commutator in  $M_2(\Phi)$  for any field  $\Phi \neq \mathbb{Z}_2$ :  $u = a^{-1}b^{-1}ab$  for  $a = \begin{pmatrix} 1 & 0 \\ 2\lambda & \lambda-1 \end{pmatrix}$ ,  $b = \begin{pmatrix} 1 & -\lambda^{-1} \\ 0 & -1 \end{pmatrix}$  for any  $\lambda \in \Phi$  with  $\lambda \neq 0, 1$  since

$$ab = \begin{pmatrix} 1 & 0 \\ 2\lambda & \lambda-1 \end{pmatrix} \begin{pmatrix} 1 & -\lambda^{-1} \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & -\lambda^{-1} \\ 2\lambda & -2-\lambda+1 \end{pmatrix}$$

$$bau = \begin{pmatrix} 1 & -\lambda^{-1} \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 2\lambda & \lambda-1 \end{pmatrix} \begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & -\lambda^{-1} \\ 0 & -1 \end{pmatrix} \begin{pmatrix} -1 & 1 \\ -2\lambda & \lambda+1 \end{pmatrix} = \begin{pmatrix} -1+2 & 1-(\lambda+1)\lambda^{-1} \\ 2\lambda & -\lambda-1 \end{pmatrix}$$

where  $1-(\lambda+1)\lambda^{-1} = \{\lambda-(\lambda+1)\}\lambda^{-1} = -\lambda^{-1}$ . Therefore  $u$  is a commutator unless  $B \cong M_2(\Phi)$ ,  $u \cong \begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$ ,  $\Phi = \{0, 1\} = \mathbb{Z}_2$ .

From now on assume  $u \notin \Phi 1$  and  $t(u) \neq -2$ . From  $t(u) \neq -2$  we see  $x = 1+u$  is invertible:  $n(x) = n(1+u) = 1 + t(u) + n(u) = 2 + t(u) \neq 0$ . Furthermore, from  $x \notin \Phi 1$  we can find an invertible commutator  $y = [u, v]$ , because if the quadratic function  $f(x) = n([u, x])$  vanished identically on  $B$  it would vanish on the split quaternion algebra  $B_{\Omega} \cong M_2(\Omega)$  ( $\Omega$  the algebraic closure of  $\Phi$ ; see I.2.4), and in the split case if  $\det[u, e_{12}] = \det[u, e_{21}] = \det[u, e_{12} + e_{21}] = 0$  then

$$\begin{aligned}
u &= \sum_{i,j=1}^2 \alpha_{ij} e_{ij} \text{ is a scalar: if } 0 = \det[u, e_{12}] \\
&= \det(\alpha_{11} e_{11} e_{12} + \alpha_{21} e_{21} e_{12} - \alpha_{21} e_{12} e_{21} - \alpha_{22} e_{12} e_{22}) \\
&= \det \begin{pmatrix} -\alpha_{21} & \alpha_{11} & -\alpha_{22} \\ 0 & \alpha_{21} & 0 \end{pmatrix} = -\alpha_{21}^2 \text{ then } \alpha_{21} = 0, \text{ similarly } \alpha_{12} = 0 \text{ if} \\
0 &= \det[u, e_{21}], \text{ and then if } 0 = \det[u, e_{12} + e_{21}] \\
&= \det[\alpha_{11} e_{11} + \alpha_{22} e_{22}, e_{12} + e_{21}] = \det \begin{pmatrix} 0 & \alpha_{11} & -\alpha_{22} \\ \alpha_{22} & -\alpha_{11} & 0 \end{pmatrix} \\
&= -(\alpha_{11} - \alpha_{22})^2 \text{ we would have } \alpha_{11} = \alpha_{22} = \alpha \text{ and } u = \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix} = \alpha 1.
\end{aligned}$$

Anytime  $n(u) = 1$  we have  $u[u, v](1+u) = (1+u)[u, v]$  for all  $v$ , since  $-u[\bar{u}, v](1+u) = -u\bar{u}v(1+u) + uv\bar{u}(1+u) = -u\bar{u}v - vu + uv\bar{u} + uv$  (using  $u\bar{u} = \bar{u}u = 1$ )  $= [u, v] - u[\bar{u}, v] = (1+u)[u, v]$ . Thus  $u$  is a commutator  $(1+u)[u, v](1+u)^{-1}[u, v]^{-1} = [[xy]]$  long as  $x = 1+u$  is invertible and some  $y = [u, v]$  is invertible. By our previous results this shows any  $u \notin \Phi 1$  with  $t(u) \neq -2$  is a commutator, and all  $u$  with  $n(u) = 1$  are commutators except for the case  $u = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  in  $B = M_2(\mathbb{Z}_2)$ . ■

Even though in the exceptional case  $u$  is not a commutator, the dilation  $T_{B,u}$  is still inner. Indeed, in  $B = M_2(\mathbb{Z}_2)$  the element  $u = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  can be written as  $1 + \mu xy$  where  $yx = 0$  for  $x = \mu^{-1} e_{11}$ ,  $y = e_{12}$ . Therefore  $T_{B,u}$  is inner by the general

3.4 Proposition. If  $yx = 0$  for  $x, y \in B$  then the quaternion dilation by  $u = 1 + \mu xy$  is inner in  $\mathbb{C}(B, \mu)$ ,

$$T_{B, 1 + \mu xy} = L_{1 + x\ell}, 1 + \bar{y}\ell.$$

Proof. Here  $(1 + x\ell)(1 + \bar{y}\ell) = 1 + x\ell + \bar{y}\ell + \mu yx = 1 + (x + \bar{y})\ell$  if  $yx = 0$ . One can compute directly that  $L_{1 + (x + \bar{y})\ell} T_{B,u} = L_{1 + x\ell} L_{1 + \bar{y}\ell}$  on  $a + b\ell$ , hence  $T_{B,u} = L_{1 + (x + \bar{y})\ell}^{-1} L_{1 + \bar{y}\ell} L_{1 + \bar{y}\ell}$

$= L_{1+x\ell}, 1+\bar{y}\ell$ . Alternately,  $L_{1+x\ell} L_{1+\bar{y}\ell} = \{I + L_{x\ell}\}\{I + L_{\bar{y}\ell}\}$   
 $= I + L_{x\ell} + L_{\bar{y}\ell} + L_{x\ell}L_{\bar{y}\ell}$  where  $L_{x\ell} L_{\bar{y}\ell} (a + b\ell) = L_{x\ell} (\bar{y}a)\ell + \mu\bar{y}$   
 $= \mu a y x + \mu(x y b)\ell = \mu(x y b)\ell$  (since  $yx = 0$ ) and therefore  
 $L_{(x+\bar{y})\ell} L_{x\ell} L_{\bar{y}\ell} (a + b\ell) = L_{(x+\bar{y})\ell} (\mu x y b)\ell = \mu^2 \bar{y}\bar{x}(x+\bar{y}) = 0$   
 (since  $\bar{x}\bar{y} = \bar{y}\bar{x} = 0$ , and  $yx = 0$  implies either  $y = 0$  and  $\bar{y}n(x) = 0$   
 or  $y \neq 0$  and  $x$  is not invertible and  $\bar{x}x = n(x)1 = 0$ ) so we can write  
 $L_{1+x\ell} L_{1+\bar{y}\ell} = I + L_{(x+\bar{y})\ell} + \{I + L_{(x+\bar{y})\ell}\}L_{x\ell}L_{\bar{y}\ell}$   
 $= \{I + L_{(x+\bar{y})\ell}\}\{I + L_{x\ell}L_{\bar{y}\ell}\}$ . Thus  $L_{1+x\ell}, 1+\bar{y}\ell$   
 $= (I + L_{(x+\bar{y})\ell})^{-1} L_{1+x\ell} L_{1+\bar{y}\ell} = I + L_{x\ell}L_{\bar{y}\ell}$  sends  $a + b\ell$  to  
 $a + b\ell + \mu(x y b)\ell = a + (ub)\ell$  and coincides with  $T_{B,u}$ . ■

Since  $T_{B,u}$  is inner for all commutators  $u$  by 3.2, hence for all  
 $u$  except  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  by 3.3, and since  $T_{B,u}$  is inner for  $u = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$   
 by 3.4, we see the quaternion dilations are always inner.

3.5 (Inner Dilation Theorem) All quaternion dilations  $T_{B,u}$  are inner  
 automorphisms of the Cayley algebra  $\mathbb{C}(B,\mu)$  (indeed, all are  
 products of associations). ■

3.6 Remark. In  $B = M_2(\mathbb{Z}_2)$  the element  $u = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  is neither a  
 commutator nor a product of commutators  $[[xy]]$ . In this  $B$  there  
 are 16 elements, only 6 of which are invertible:  $1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $u = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ,  
 $u' = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ ,  $u'' = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $v = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $v' = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$ . One easily  
 checks  $u^2 = v^3 = 1$ ,  $v' = v^2$ ,  $u' = vu$ ,  $u'' = uv = v^2u$  so the  
 multiplicative group  $G = \dot{B} = \{1, v, v^2, u, vu, uv\}$  is the semidirect  
 product  $G = K \times H$  of the normal subgroup  $K = \{1, v, v^2\} \cong \mathbb{Z}(3)$   
 and the subgroup  $H = \{1, u\} \cong \mathbb{Z}(2)$ , where the automorphism  
 $k \rightarrow uku^{-1}$  of  $K$  induced by  $H$  is  $uvu^{-1} = v^2$ . In this case  
 $G = \{x | n(x) \neq 0 \text{ in } \mathbb{Z}_2\} = \{x | n(x) = 1 \text{ in } \mathbb{Z}_2\}$ , but the commutator  
 subgroup is a proper subgroup. Indeed,  $[[G,G]]$  is generated by

$[[u,v]] = uvu^{-1}v^{-1} = v^2v^{-1} = v$  and its conjugate  $v^2$  (in general, if  $g_i$  generate  $G$  then the conjugates  $[[g_i, g_j]]^g$  generate  $[[G,G]]$ ), so  $[[G,G]] = \{1, v, v^2\} = K$ . ■

3.7 Example. In characteristic  $\neq 2$  the most important automorphisms are the quaternion reflections  $T_B = T_{B,-1}$  (being reflection  $a + b\ell \rightarrow a - b\ell$  in the quaternion subalgebra  $B$ ). Such  $T_B$  are actually associations,

$$T_B = L_{i,j} = L_{ij}^{-1} L_i L_j$$

since if  $1, i, j, k$  is a standard quaternion basis for  $B$  we have  $L_{i,j}(a + b\ell) = (ij)^{-1}ij a + \{bji(ij)^{-1}\}\ell = a + \{b(-ij)(ij)^{-1}\}\ell = a - b\ell = T_B(a + b\ell)$ . ■

#### Derivations

Now we turn to the Lie subalgebra  $\text{Der}_B(\mathbb{C})$  of derivations of a Cayley algebra  $\mathbb{C}(B, \mu)$  which annihilate  $B$ .

3.8 (Quaternion Translation Theorem) The derivations of  $\mathbb{C}(B, \mu)$  which annihilate  $B$  are precisely the quaternion translations

$$D_{B,u}: a + b\ell \rightarrow (ub)\ell \quad (t(u) = 0)$$

by elements  $u$  of trace zero. Thus  $\text{Der}_B(\mathbb{C})$  is isomorphic to the Lie algebra of elements of trace zero in  $B$ .

Proof. By direct calculation the quaternion translations

$$\begin{aligned} D = D_{B,u} \text{ are derivations: } D(x_1 x_2) &= D(\{a_1 a_2 + \mu \bar{b}_2 b_1\} + \{b_2 a_1 + b_1 \bar{a}_2\} \ell) \\ &= u\{b_2 a_1 + b_1 \bar{a}_2\} \cdot \ell = \{ub_2 a_1 + ub_1 \bar{a}_2\} \ell \text{ coincides with} \\ D(x_1)x_2 + x_1 D(x_2) &= \{(ub_1)\ell\}\{a_2 + b_2 \ell\} + \{a_1 + b_1 \ell\}\{(ub_2)\ell\} \\ &= \mu\{\bar{b}_2 ub_1 + \bar{b}_2 \bar{u} b_1\} + \{ub_1 \bar{a}_2 + ub_2 a_1\} \ell \text{ iff } u + \bar{u} = t(u) = 0. \end{aligned}$$

Clearly  $D_{B,u_1} D_{B,u_2} (a + b\ell) = (u_1 u_2 b)\ell$  so  $[D_{B,u_1}, D_{B,u_2}] = D_{B,[u_1, u_2]}$  and the quaternion translations form a Lie algebra isomorphic to the trace zero elements of  $B$ .

These translations are precisely all the derivations which kill  $B$ . Indeed, any derivation  $D$  killing  $B$  has the form  $D(a + b\ell) = D(a) + D(b)\ell + bD(\ell) = bD(\ell)$ . We need only show  $D(\ell) = u\ell$  for  $t(u) = 0$ , since then  $D(a + b\ell) = b(u\ell) = (ub)\ell = D_{B,u}(a + b\ell)$ . If we write  $D(\ell) = v + u\ell$  then  $av + (ua)\ell = aD(\ell) = D(a\ell) = D(\ell\bar{a}) = D(\ell)\bar{a} = v\bar{a} + (ua)\ell$ , and once more  $av = v\bar{a}$  for all  $a \in B$  forces  $v = 0$ . Thus  $D(\ell) = u\ell$ , where  $0 = D(u1) = D(\ell^2) = \ell o D(\ell) = \ell o u\ell = \mu(\bar{u}+u) = \mu t(u)1$  forces  $t(u) = 0$ . (Alternately, one can show  $D$  is alternating relative to the norm form,  $n(Dx, x) = 0$ , so  $D(\ell) \in D(B^\perp) \subset B^\perp = B\ell$  by  $n(D\ell, B) = -n(\ell, D(B)) = 0$ , and if  $D(\ell) = u\ell$  then  $0 = n(D(\ell), \ell) = n(u\ell, \ell) = t(u)n(\ell)$  implies  $t(u) = 0$ ). ■

In showing these  $D_{B,u}$  are inner we will need to express  $u$  in terms of (algebra) commutators  $[x, y]$ . It is well known to Lie algebraists that all trace zero quaternions are sums of commutators (for the split quaternion algebra  $B \cong M_2(\Phi)$  this means the derived algebra of the Lie algebra  $\mathfrak{gl}(2, \Phi) = M_2(\Phi)^\perp$  is the algebra  $\mathfrak{sl}(2, \Phi)$  of trace zero elements). This is easy to see in the split case, since in  $B = M_2(\Phi)$   $\text{Ker } t$  is a 3-dimensional subspace containing all commutators (since  $t(xy) = t(yx)$  implies  $t([x, y]) = 0$ ), yet the commutators  $e_{12} = [e_{11}, e_{12}]$ ,  $e_{21} = [e_{22}, e_{21}]$ ,  $e_{11} - e_{22} = [e_{12}, e_{21}]$  already span a 3-dimensional subspace, so all trace zero elements are sums of

commutators. In the unsplit case  $\text{Ker } t$  is still 3-dimensional, as is the derived algebra (over the algebraic closure  $\Omega$   $[B, B]_{\Omega} = [B_{\Omega}, B_{\Omega}] = \text{Ker } t_{\Omega}$  is 3-dimensional over  $\Omega$ , hence  $[B, B]$  is 3-dimensional over  $\Phi$ ), so again  $\text{Ker } t = [B, B]$ .

Actually we have a stronger

3.9 (Trace Zero Quaternion Lemma) Every quaternion  $u$  of trace zero is a commutator,  $u = [x, y]$ .

Proof. Whenever  $a, b \in B$  with  $t(b) = 0$  we have  $[a\bar{b}, b] = a n(b) - b a \bar{b} = n(b)a - b\{n(a, b) - b\bar{a}\}$   
 $= n(b)a - n(a, b)b + \{t(b)b - n(b)1\}\bar{a} = n(b)\{a - \bar{a}\} - n(a, b)b$ , or  
 (3.10)  $[a\bar{b}, b] = 2n(b)a - n(b)t(a)1 - n(a, b)b \quad (t(b) = 0)$ .

First consider the characteristic 2 case, where the first of the three terms drops out. If  $u$  is independent of 1 we can take  $b = u$  (remember  $t(u) = 0!$ ) and find  $a \in B$  with  $n(a, 1) = 0$ ,  $n(a, u) = -1$  (because the bilinear norm form  $n(x, y)$  is nondegenerate on a quaternion algebra, and therefore the linear functionals  $n(a, \cdot)$  separate independent vectors); then in (3.10)  $2 = t(a) = 0$  and  $n(a, u) = -1$  imply  $[a\bar{u}, u] = u$  is a commutator. On the other hand, when  $u = \alpha 1$  is dependent on 1 we can find  $b \in B$  independent of 1 with  $t(b) = 0$ ,  $n(b) \neq 0$  (there exists  $z$  independent of 1 in  $\text{Ker } t$ ; if  $n(z) \neq 0$  then  $b = z$  will do, while if  $n(z) = 0$  then  $b = 1 + z$  has  $t(b) = 2 + t(z) = 0$ ,  $n(b) = 1 + t(z) + n(z) = 1$ ) and then by nondegeneracy again find  $a$  with  $n(a, b) = 0$ ,  $n(a, 1) = -\alpha n(b)^{-1}$ , so that by (3.10)  $[a\bar{b}, b] = 0 + n(b)\alpha n(b)^{-1} 1 = \alpha 1 = u$  is a commutator in this case as well.

Now assume the characteristic  $\neq 2$ . If  $t(u) = n(u) = 0$  we can by nondegeneracy find  $a \in B$  with  $n(a, u) = -1$ ; setting  $b = u$  in (3.10) we see  $[a\bar{u}, u] = 0 - 0 + u = u$  is a commutator. If  $n(u) \neq 0$  then  $U = \phi 1 + \phi u$  is a nondegenerate subspace of  $B$  under  $n(x, y)$ , so  $B = U \oplus U^\perp$  where  $U^\perp$  must also be nondegenerate if  $n(x, y)$  is to be nondegenerate on all of  $B$ . In particular, we can find  $b \in U^\perp$  with  $n(b) \neq 0$ . If we set  $a = \{2n(b)\}^{-1}u$  (remember characteristic  $\neq 2$ !) then  $t(u) = n(b, 1) = n(b, u) = 0$  imply  $t(a) = t(b) = n(b, a) = 0$  and in (3.10)  $[a\bar{b}, b] = u - 0 - 0 = u$ . Thus in all cases a traceless element  $u$  is a commutator  $u = [a\bar{b}, b]$ . ■

We can now show all quaternion translations are inner.

3.11 (Inner Translation Theorem) Every quaternion translation  $D_{B, u}$  of a Cayley algebra  $\mathbb{C}(B, \mu)$  is strictly inner with indicator zero:

$$D_{B, [x, y]} = \frac{1}{\mu} \{A_{y\bar{\ell}, \bar{x}\ell} - A_{(xy)\ell, \ell}\}$$

Proof. By the previous lemma we can write the trace zero element  $u$  as  $u = [x, y]$  for some  $x, y \in B$ . Now from our formula (2.14) we have  $A_{c\bar{\ell}, \bar{d}\ell} (a + b\ell) = \mu[dc, a] + \mu\{bdc - cdb\}\ell$  so

$$\begin{aligned} & \frac{1}{\mu} \{A_{y\bar{\ell}, \bar{x}\ell} - A_{(xy)\ell, \ell}\} (a + b\ell) \\ &= [x \cdot y - 1 \cdot xy, a] + \{b(xy - 1 \cdot xy) - (yx - xy \cdot 1)\}b\ell \\ &= \{[x, y]b\}\ell \\ &= D_{B, [x, y]} (a + b\ell) \end{aligned}$$

so  $D_{B, u}$  is strictly inner (even an associator derivation) with

indicator  $\frac{1}{\mu} \{[y\bar{\ell}, \bar{x}\ell] - [(xy)\ell, \ell]\} = (xy - \bar{y}x) - (xy - \bar{xy}) = 0$ . ■

We will see in Chapter VII that a Cayley algebra may be written as  $\mathbb{C}(B, \mu)$  for lots of different quaternion subalgebras  $B$ , so there are lots of quaternion dilations and translations. Indeed, we will see any automorphism is a product of quaternion dilations and any derivation a sum of quaternion translations, so all automorphisms and derivations are inner.

For a split Cayley algebra we can explicitly show all derivations (into any bimodule) are inner. We turn now to a detailed investigation of derivations in the split case.

## Exercises IV.3

- 3.1 Show  $L_{(xy)\ell, \ell} L_{x^{-1}\ell, y^{-1}\ell}$  is an automorphism of  $\mathbb{C}(B, \mu)$  by computing its indicator  $z = \{R_{(xy)\ell, \ell}^{-1} R_{(xy)\ell, \ell} R_{\ell}\} \{R_{(x^{-1}\ell)(\bar{y}^{-1}\ell)}^{-1} R_{x^{-1}\ell} R_{\bar{y}^{-1}\ell}\} (1)$ .
- 3.2 Show  $L_{1 + (x+\bar{y})\ell} T_B, 1 + \mu xy = L_{1 + x\ell} L_{1 + \bar{y}\ell}$  by computing directly the actions on  $a + b\ell$  (when  $yx = 0$ ).
- 3.3 Use a dual-numbers argument to derive the Quaternion Translation Theorem 3.8 from the Quaternion Translation Theorem 3.1. Can you show the Trace Zero Quaternion Lemma 3.9 from the Norm One Quaternion Lemma 3.3?
- 3.4 Compute the action of  $L_{\ell, x}^2 L_{\ell, 1} L_{x\ell, \ell}$  on  $\mathbb{C}(B, \mu)$  ( $x \in B$  invertible) and deduce it is an automorphism.

#### §4. Derivations of split Cayley algebras

In this section we display in concrete form the structure of the derivation algebra  $\text{Der}(\mathbb{C})$  of a split Cayley algebra over an arbitrary  $\Phi$ . This turns out to be a split Lie algebra of type  $G_2$ . The fact that Cayley algebras give rise to exceptional Lie algebras is responsible for much of the attention given alternative algebras.

Our first job will be to prove all derivations are inner,

$$\text{Der}(\mathbb{C}) = \text{Innder}(\mathbb{C}) \quad \text{Outder}(\mathbb{C}) = 0.$$

Over a field  $\Phi$  this could be proved by proving that  $\text{Der}(\mathbb{C})$  is simple and  $\text{Innder}(\mathbb{C})$  is a nonzero ideal therefore,  $\text{Innder}(\mathbb{C})$  must be all of  $\text{Der}(\mathbb{C})$  (see 0.00).

There is a more constructive approach, which works for arbitrary  $\Phi$  and for arbitrary derivations into a bimodule.

4.1 (Split Inner Derivation Theorem) All derivations  $D$  of a split Cayley algebra  $\mathbb{C}$  into a unital bimodule  $M$  are strict inner derivations with indicator zero,

$$(4.2) \quad D = D_{e_1, m_2} + \sum_{i=1}^3 A_{e_{12}^{(i)}, m_{21}^{(i)}} \\ (m_2 = D(e_2), m_{21}^{(i)} = e_2 D(a_{21}^{(i)}) a_1, \sum_{i=1}^3 a_{12}^{(i)} m_{21}^{(i)} = \sum_{i=1}^3 m_{21}^{(i)} e_{12}^{(i)} = 0).$$

If  $1/3 \in \Phi$  all derivations are strictly standard,

$$(4.3) \quad D = D_{e_1, m_2} - 1/3 \sum_{i=1}^3 D_{e_{12}^{(i)}, m_{21}^{(i)}}.$$

Proof. The alternate expression (4.3) follows from the basic formula (4.2) by (2.20).

So we turn to (4.2). Our first step is to subtract off an inner derivation from  $D$  so that the resulting derivation  $\tilde{D}$  kills  $e_1$  and  $e_2$ . This can be done quite generally: it's not true that a derivation kills idempotents, the way it kills units, but it can be persuaded to after a little straightening-out.

4.4 (Idempotent-Killing Lemma) If  $D: A \rightarrow M$  is a derivation of an alternative algebra  $A$  into a bimodule  $M$ , where  $e_1, \dots, e_n$  are pairwise orthogonal idempotents in  $A$ , then

$$\tilde{D} = D + \sum_{i < j} D_{e_i, D(e_j)}$$

is a derivation  $\tilde{D}: A \rightarrow M$  which kills all  $e_i$ ,  $\tilde{D}(e_i) = 0$ , and consequently maps Peirce spaces  $\tilde{D}(A_{ij}) \subset M_{ij}$ .

Proof. To see  $\tilde{D}$  kills  $e_k$ , simply compute  $\tilde{D}(e_k)$

$$= D(e_k) + \sum_{i < j} D_{e_i, D(e_j)} e_k = D(e_k) + \sum_{i < j} [[e_i, D(e_j)], e_k]$$

+  $3 \sum_{i < j} [e_i, D(e_j), e_k]$ . Of the three parts to the expression for  $\tilde{D}(e_k)$ , the first is  $D(e_k)$ , the last vanishes since  $[e_i, M, e_j] = 0$ , and the middle is  $-D(e_k)$  since

$$\sum_{i < j} \{ e_i D(e_j) - D(e_j) e_i \} e_k - e_k \{ e_i D(e_j) - D(e_j) e_i \}$$

$$= \sum_{i < j} \{ e_i D(e_j) e_k + e_k D(e_j) e_i \} - \sum_{i < j} \{ D(e_j) e_i e_k + e_k e_i D(e_j) \}$$

(by the above associativity) =  $\sum_{i < j} \{ D(e_i e_j) - D(e_i) e_j \} e_k$

$$+ e_k \{ D(e_j e_i) - e_j D(e_i) \} - \sum_{i < j} \{ D(e_j) e_i e_k + e_k e_i D(e_j) \}$$
 (as  $D(xy) = D(x)y + xD(y)$ ) =  $\sum_i \{ D(e_i) e_k + e_k D(e_i) \}$ 

$$- \sum_{i < j=k} \{ D(e_i) e_k + e_k D(e_i) \} - \sum_{k=i < j} \{ D(e_j) e_k + e_k D(e_j) \}$$
 (by orthogonality of the  $e$ 's) =  $-\{ D(e_k) e_k + e_k D(e_k) \} = -D(e_k^2) = -D(e_k)$ .

Thus the three expressions for  $\tilde{D}(e_k)$  add up to zero.

Once  $\tilde{D}$  kills all  $e_k$  we have  $\tilde{D}(A_{ij}) = \tilde{D}(e_i A e_j)$   
 $= e_i \tilde{D}(A) e_j \subset e_i M e_j = M_{ij}$ . ■

Applying this to our situation we see

$\tilde{D} = D + D_{e_1, m_1} = D - D_{e_1, m_2}$  kills  $e_1$  (hence also  $e_2 = 1 - e_1$ )

for  $m_2 = D(e_2) = D(1 - e_1) = -D(e_1) = -m_1$ .

The formula (4.2) thus reduces to  $\tilde{D} = \sum_{e_{12}, m_{21}} A_{e_{12}, m_{21}}^{(i)}$ . Let

us note at this point that

$$m_{21}^{(i)} = \tilde{D}(e_{21}^{(i)}).$$

Since  $m_{21}^{(i)} = e_2 D(e_{21}^{(i)}) e_1$  and  $\tilde{D}(e_{21}^{(i)}) = \tilde{D}(e_2 e_{21}^{(i)} e_1) = e_2 \tilde{D}(e_{21}^{(i)}) e_1$

if  $\tilde{D}$  kills  $e_1$  and  $e_2$ , this means  $D(e_{21}^{(i)})$  and  $\tilde{D}(e_{21}^{(i)})$  have the

same component in  $M_{21}$ , or that their difference  $D_{e_1, m_2}(e_{21}^{(i)})$

has zero component in  $M_{21}$ . But  $D_{e_1, m_2}(e_{21}^{(i)}) = [[e_1, m_2], e_{21}^{(i)}]$

$- 3[e_1, m_2, e_{21}^{(i)}]$  where (since  $m_2 = D(e_2) = e_2 \circ D(e_2) = e_2 \circ m_2$

implies  $m_2 = m_{12} + m_{21}$  for  $m_{ij} \in M_{ij}$ ) neither  $[[e_1, m_2], e_{21}^{(i)}]$

$= [m_{12} - m_{21}, e_{21}^{(i)}] \in M_{12} A_{21} + M_{21} A_{21} + A_{21} M_{21} + A_{21} M_{12} \subset M_{11} + M_{12} + M_{22}$

nor  $[m_2, e_1, e_{21}^{(i)}] = (m_2 e_1) e_{21}^{(i)} = m_{21} e_{21}^{(i)} \in M_{21} A_{21} \subset M_{12}$  has any  
 component in  $M_{21}$ .

Replacing  $D$  by  $\tilde{D}$ , we must show that if  $D$  kills  $e_1$  and  $e_2$   
 it has the form

$$(4.2') \quad D = \sum A_{e_{12}, m_{21}}^{(i)} (m_{21}^{(i)} = D(e_{21}^{(i)}), \sum e_{12}^{(i)} m_{21}^{(i)} \\ = \sum m_{21}^{(i)} e_{12}^{(i)} = 0).$$

We begin by showing orthogonality of  $e$ 's and  $m$ 's:

$$0 = D(e_1) = D(e_{12}^{(1)} (e_{12}^{(2)} e_{12}^{(3)})) \\ = D(e_{12}^{(1)}) \{e_{12}^{(2)} e_{12}^{(3)}\} + e_{12}^{(1)} \{D(e_{12}^{(2)}) e_{12}^{(3)}\} \\ + e_{12}^{(1)} \{e_{12}^{(2)} D(e_{12}^{(3)})\} = \sum_{i=1}^3 D(e_{12}^{(i)}) \{e_{12}^{(i+1)} e_{12}^{(i+2)}\}$$

(by Permuting Formula V.3.9)

$$\begin{aligned}
&= - \sum e_{12}^{(i)} D(e_{12}^{(i+1)} e_{12}^{(i+2)}) \quad (\text{as } D(e_{12}^{(i)} e_{12}^{(i+1)} e_{12}^{(i+2)}) \\
&\qquad\qquad\qquad = D(e_1) = 0) \\
&= - \sum e_{12}^{(i)} D(e_{21}^{(i)}) \\
&= - \sum e_{12}^{(i)} m_{21}^{(i)}.
\end{aligned}$$

Similarly  $D((e_{12}^{(1)} e_{12}^{(2)}) e_{12}^{(3)}) = 0$  leads to  $\sum m_{21}^{(i)} e_{12}^{(i)} = 0$ .

This orthogonality implies in particular  $\sum [e_{12}^{(i)}, m_{21}^{(i)}] = 0$

so  $D' = \sum A_{e_{12}^{(i)}, m_{21}^{(i)}}$  is a derivation by the Associator

Derivation Criterion 2.14. To finish (4.2') we need only show the derivations  $D$  and  $D'$  agree on the generators  $e_{21}^{(j)}$  ( $j = 1, 2, 3$ ) since then they agree everywhere. But

$$\begin{aligned}
D'(e_{21}^{(j)}) &= \sum_i [e_{12}^{(i)}, m_{21}^{(i)}, e_{21}^{(j)}] = \sum_i [e_{21}^{(j)}, e_{12}^{(i)}, m_{21}^{(i)}] \\
&= \sum_i (e_{21}^{(j)} e_{12}^{(i)}) m_{21}^{(i)} - e_{21}^{(j)} \sum_i e_{12}^{(i)} m_{21}^{(i)} \\
&= \sum_i \delta_{ji} e_2 m_{21}^{(i)} = m_{21}^{(j)} \quad (\text{since } m_{21}^{(i)} \in M_{21}) \\
&= D(e_{21}^{(j)}).
\end{aligned}$$

This completes (4.2') and the theorem. ■

Once we have this for the split case, we can use a standard field extension argument to get it for the general case.

4.5 (Inner Derivation Theorem) Any derivation of a Cayley algebra  $A$  over a field  $\Phi$  into a unital bimodule  $M$  is strictly inner,

$$(4.6) \quad D = \sum D_{x_i, m_i} + \sum A_{y_i, n_i} \quad (\sum y_i n_i = \sum n_i y_i = 0).$$

$$(4.7) \quad D = \sum D_{z_i, w_i} \quad (\text{if } \text{char. } \Phi \neq 3).$$

Proof. As we noted in I.24, we can choose an extension field  $\Omega \supset \Phi$  so that  $A_\Omega = \mathbb{C}(\Omega)$  is split Cayley over  $\Omega$ .  $D_\Omega = D \otimes 1$  remains a derivation  $A_\Omega = A \otimes \Omega \rightarrow M \otimes \Omega = M_\Omega$ , so by the split case  $D_\Omega$  is strictly inner:  $D_\Omega = \sum D_{x_i, m_i} + \sum A_{y_i, n_i}$  where  $\sum y_i n_i = \sum n_i y_i = 0$ . From the bilinearity of  $D_{x, m}$  and  $A_{y, n}$  and  $yx$  and  $ny$  we can (by expressing  $x, y \in A$  as  $\Omega$ -linear combinations of  $a, b \in A$  and moving the scalars to the  $m$ 's and  $n$ 's, eg.  $D_{\omega a, m} = D_{a, \omega m}$ ) assume all  $x_i, y_i$  belong to  $A$  and all  $m_i, n_i$  to  $M_\Omega$ . Choosing a basis  $\{\omega_\alpha\}$  for  $\Omega/\Phi$  with  $\omega_0 = 1$  and writing  $m_i = \sum m_{i\alpha} \otimes \omega_\alpha$ ,  $n_i = \sum n_{i\alpha} \otimes \omega_\alpha$  for  $m_{i\alpha}, n_{i\alpha} \in M$ , we can identify coefficients of  $\omega_0$  in  $D \otimes \omega_0 = D_\Omega$

$$= \sum \left\{ \sum_{\alpha} D_{x_i, m_{i\alpha}} + \sum_{i} A_{y_i, n_{i\alpha}} \right\} \otimes \omega_\alpha \text{ to get } D = \sum_i D_{x_i, m_{i0}} + \sum_i A_{y_i, n_{i0}}$$

Furthermore, identifying coefficients of  $\omega_0$  in  $0 = \sum y_i n_i$   
 $= \sum_{\alpha} \left\{ \sum_i y_i n_{i\alpha} \right\} \otimes \omega_\alpha$  shows  $\sum y_i n_{i0} = 0$ , and similarly  $\sum n_{i0} y_i = 0$ .  
 This establishes (4.6).

Once we have (4.6) we derive (4.7) as before. ■

We have similar results for quaternion algebras

4.8 (Split Inner Derivation Theorem) All derivations of a split quaternion algebra  $\mathcal{Q}$  into a unital bimodule are inner derivations,

$$(4.9) \quad D = D_{e_{11}, m} - D_{m_{11}} + A_{e_{12}, m_{21}} + A_{e_{21}, m_{12}}$$

$$(m = D(e_{22}), m_{ij} = e_{ii} D(e_{ij}) e_{jj}, m_{ii} = e_{ij} m_{ji} = -m_{ij} e_{ji}).$$

If  $M$  is a regular bimodule then

$$(4.9a) \quad D = D_{e_{11}, m} - D_{m_{11}}$$

while if  $M$  is a Cayley bimodule then

$$(4.9b) \quad D = D_{e_{11}, m} + A_{e_{12}, m_{21}} + A_{e_{21}, m_{12}} \quad (e_{ij} m_{ji} = m_{ij} e_{ji} = 0)$$

is strictly inner with indicator zero.

Proof. Just as in the Cayley case,  $\tilde{D} = D + D_{e_{11}, D(e_{11})}$   
 $= D - D_{e_{11}, m_2}$  ( $m_2 = -D(e_{11}) = D(e_{22})$ ) kills  $e_{11}$  and hence also

$e_{22} = 1 - e_{11}$ , and  $\tilde{D}(e_{ij}) = e_{ii} D(e_{ij}) e_{jj} = m_{ij}$ . Replacing  $D$   
 by  $\tilde{D}$ , it suffices to assume from the start  $D(e_{ii}) = 0$ .

In this case we claim  $D$  and  $D' = -D_{m_{11}} + A_{e_{12}, m_{21}} + A_{e_{21}, m_{12}}$   
 agree on the basis  $\{e_{11}, e_{12}, e_{21}, e_{22}\}$  for  $\mathcal{Q}$  and hence  $D = D'$ .

Note  $D'(e_{ij}) = -[m_{11}, e_{ij}] + [e_{12}, m_{21}, e_{ij}] + [e_{21}, m_{12}, e_{ij}]$ .

If  $i=j$  the associators vanish by Peirce Associativity V.3.6

(distinct Peirce spaces), and trivially the commutator  $[m_{11}, e_{11}]$  does  
 too, so  $D'(e_{ii}) = 0 = D(e_{ii})$ . If  $i \neq j$  the associators add

$$\text{up to } [e_{ij}, m_{ji}, e_{ij}] + [e_{ji}, m_{ij}, e_{ij}] = [e_{ji}, m_{ij}, e_{ij}]$$

$$= [e_{ij}, e_{ji}, m_{ij}] = (e_{ij} e_{ji}) m_{ij} - e_{ij} (e_{ji} m_{ij}) = e_{ii} m_{ij} + e_{ij} (m_{ji} e_{ij})$$

$$(\text{observe } 0 = D(e_{ji} e_{ij}) = D(e_{ji}) e_{ij} + e_{ji} D(e_{ij}) = m_{ji} e_{ij} + e_{ji} m_{ij})$$

$$= m_{ij} + e_{ij} m_{ji} e_{ij}, \text{ while for } (i, j) = (1, 2) \text{ the commutator}$$

$$\text{gives } -[m_{11}, e_{12}] = -m_{11} e_{12} = -e_{12} m_{21} e_{12} \text{ and for } (i, j) = (2, 1)$$

$$\text{gives } -[m_{11}, e_{21}] = +e_{21} m_{11} = -e_{21} m_{12} e_{21}; \text{ thus } D'(e_{ij})$$

$$= -e_{ij} m_{ji} e_{ij} + m_{ij} + e_{ij} m_{ji} e_{ij} = m_{ij} = D(e_{ij}). \text{ So } D = D'.$$

In a regular bimodule all associators vanish, and (4.9)  
 reduces to (4.9a). In a Cayley bimodule (see I.7.4) we have

$$m_{ii} = e_{ij} m_{ji} = -m_{ij} e_{ji} = (e_{ii} e_{ij}) (m_{ji} e_{ii}) \in e_{ii} M e_{ii} \text{ (Middle} \\
 \text{Moufang)} = e_{ii} (Q\ell) e_{ii} = (Qe_{ii} \bar{e}_{ii}) \ell = 0 \text{ so (4.9) reduces to (4.9b). } \blacksquare$$

WARNING: In contrast to the Cayley case, not all derivations of a quaternion algebra are strictly inner. The trouble comes with the regular bimodule; in (4.9a) we can't get rid of the

$D_{m_{11}}$ . In this case  $e_{12} \circ m_{21} = m_{11} - m_{22}$  is in the center (see Ex. 5.2), so  $D_{m_{11}} = D_{m_{11} - m_{22}} + D_{m_{11} + m_{22}} = D_{m_{11} + m_{22}} = D_{[e_{12}, m_{21}]}$

$= D_{e_{12}, m_{21}}$  by associativity, so in characteristic  $\neq 2$  we can replace (4.9a) by

$$(4.9a') \quad D = D_{e_{11}, m} - \frac{1}{2} D_{e_{12}, m_{21}}$$

in the split case, and

$$(4.9a'') \quad D = \sum D_{x_i, m_i}$$

in general. Thus D is strictly inner except in characteristic 2. ■

The same field-extension argument as before shows

4.10 (Inner Derivation Theorem) Any derivation of a quaternion algebra over a field  $\Phi$  into a unital bimodule is an inner derivation,

$$(4.11) \quad D = D_m + \sum A_{x_i, m_i} + \sum D_{y_i, n_i}$$

where  $m = \sum x_i m_i = \sum m_i x_i = 0$  (and D is strictly inner)

for a Cayley bimodule and  $m_i = 0$  for a regular bimodule. ■

#### Multiplication Tables

It will be useful to have the following formulas for standard derivations:

$$(4.12) \quad D_{1, x} = 0$$

$$(4.13) \quad D_{x, x} = 0, \quad D_{x, y} + D_{y, x} = 0$$

$$(4.14) \quad D_{xy, z} + D_{yz, x} + D_{zx, y} = 0.$$

Here (4.12) is trivial since  $[1,A] = [1,A,A] = 0$ , and (4.13) follows from alternativity  $[x,x] = [x,x,A] = 0$ , and from linearization. The only hard part is (4.14). On the one hand, since  $D_z$  is linear in the variable  $z$  and since by II.2.11  $[xy,z] + [yz,x] + [zx,y] = 3[x,y,z]$ , we have

$$D[xy,z] + D[yz,x] + D[zx,y] = 3D[x,y,z].$$

On the other hand  $[xy,z,a] + [yz,x,a] + [zx,y,a] = \{[xy,z,a] - [x,yz,a] + [x,y,za]\} - \{[x,y,za] + [a,y,zx]\}$  (by alternativity)  $= \{x[y,z,a] + [x,y,z]a\} - \{a[x,y,z] + x[a,y,z]\}$  (associator identity III.2.4 and left bumping)  $= [x,y,z]a - a[x,y,z]$  or

$$A_{xy,z} + A_{yz,x} + A_{zx,y} = D[x,y,z].$$

If we multiply this by 3 and subtract from the previous equation we get (4.14), recalling the definition  $D_{x,y} = D[x,y] - 3A_{x,y}$ .

4.15 Remark. The importance of these is in cutting down the number of standard derivations one has to consider. If  $A$  has dimension  $n$  with basis  $x_1, \dots, x_n$  there are  $n^2$  standard  $D_{x_i, y_i}$ ; (4.12) reduces this to  $(n-1)^2$ , (4.13) to  $\frac{(n-1)(n-2)}{2}$ , and (4.14) to  $\frac{2}{3} \frac{(n-1)(n-2)}{2} = \frac{(n-1)(n-2)}{3}$ . For example, in the Cayley algebra  $A = \mathbb{C}$  of dimension 8, instead of  $8^2 = 64$  derivations there are really only  $\frac{7 \cdot 6}{3} = 14$ . ■

In order to graphically illustrate the action of the derivation algebra  $\text{Der}(\mathbb{C})$  of a split Cayley algebra  $\mathbb{C}$  over an arbitrary  $\Phi$  we form the table below. Note

$$L_{e_2} = I - L_{e_1}, \quad R_{e_2} = I - R_{e_1}, \quad D_{e_2} = -D_{e_1}.$$

For typographical convenience we abbreviate  $e_{12}^{(1)}$  by  $f_1$  and  $e_{21}^{(1)}$  by  $g_1$  so  $e_1, e_2, f_1, f_2, f_3, g_1, g_2, g_3$  forms a basis for  $\mathbb{C}$  (see V.6 for the resulting multiplication table for  $\mathbb{C}$ ).

4.16 Action Table for  $L_x, R_x, D_x$ 

	$L(e_1)$	$R(e_1)$	$D(e_1)$	$L(f_i)$	$R(f_i)$	$D(f_i)$	$L(g_i)$	$R(g_i)$	$D(g_i)$
$e_1$	$e_1$	$e_1$	0	0	$f_i$	$-f_i$	$g_i$	0	$g_i$
$e_2$	0	0	0	$f_i$	0	$f_i$	0	$g_i$	$-g_i$
$f_i$	$f_i$	0	$f_i$	0	0	0	$e_2$	$e_1$	$e_2 - e_1$
$f_{i+1}$	$f_{i+1}$	0	$f_{i+1}$	$g_{i+2}$	$-g_{i+2}$	$2g_{i+2}$	0	0	0
$f_{i+2}$	$f_{i+2}$	0	$f_{i+2}$	$-g_{i+1}$	$g_{i+1}$	$-2g_{i+1}$	0	0	0
$g_i$	0	$g_i$	$-g_i$	$e_1$	$e_2$	$e_1 - e_2$	0	0	0
$g_{i+1}$	0	$g_{i+1}$	$-g_{i+1}$	0	0	0	$-f_{i+2}$	$f_{i+2}$	$-2f_{i+2}$
$g_{i+2}$	0	$g_{i+2}$	$-g_{i+2}$	0	0	0	$f_{i+1}$	$-f_{i+1}$	$2f_{i+1}$

From this we compute the  $A_{x,y} = L_{xy} - L_x L_y = [L_x, R_y]$ . Note

$$A_{e_2, x} = -A_{e_1, x}, A_{x, x} = 0, A_{x, y} = -A_{y, x}.$$

4.17 Action Table for  $A_{x,y}$ 

	$A(e_1, f_i)$	$A(e_1, g_i)$	$A(f_i, f_{i+1})$	$A(g_i, g_{i+1})$	$A(f_i, g_i)$	$A(f_i, g_{i+1})$	$A(f_i, g_{i+2})$
$e_1$	0	0	$g_{i+2}$	$f_{i+2}$	0	0	0
$e_2$	0	0	$-g_{i+2}$	$-f_{i+2}$	0	0	0
$f_i$	0	0	0	$-g_{i+1}$	0	0	0
$f_{i+1}$	$g_{i+2}$	0	0	$g_i$	$f_{i+1}$	$-f_i$	0
$f_{i+2}$	$-g_{i+1}$	0	$e_2 - e_1$	0	$f_{i+2}$	0	$-f_i$
$g_i$	0	0	$-f_{i+1}$	0	0	$g_{i+1}$	$g_{i+1}$
$g_{i+1}$	0	$f_{i+2}$	$f_i$	0	$-g_{i+1}$	0	0
$g_{i+2}$	0	$-f_{i+1}$	0	$e_2 - e_1$	$-g_{i+2}$	0	0

Since  $D_{x,y} = \text{Ad}_{[x,y]} - JA_{x,y}$  we can put the two tables together to get one for standard inner derivations. Note

$$[e_1, f_i] = f_i, [e_1, g_i] = -g_i, [f_i, g_i] = \delta_{ij}(e_1 - e_2),$$

$$D_{e_2, x} = -D_{e_1, x}, D_{x_{12}, y_{12}} = D_{e_1, x_{12} y_{12}}, D_{x_{12}, y_{21}} = D_{e_2, x_{21} y_{21}}$$

$$\text{since } D_{1,x} = 0 \text{ and } 0 = D_{e_1, x_{12}, y_{12}} + D_{x_{12}, y_{12}, e_1} + D_{y_{12}, e_1, x_{12}}$$

$$(\text{by (4.14)}) = D_{x_{12}, y_{12}} - D_{e_1, x_{12} y_{12}} \text{ and similarly } D_{x_{21}, y_{21}}$$

$$= D_{e_{21}, x_{21} y_{21}}$$

4.18 Action Table for  $D_{x,y}$ 

	$D(e_1, f_i)$	$D(e_2, g_i)$	$D(f_i, g_i)$	$D(f_i, g_{i+1})$	$D(f_i, g_{i+2})$
$e_1$	$-f_i$	$g_i$	0	0	0
$e_2$	$f_i$	$-g_i$	0	0	0
$f_i$	0	$e_2 - e_1$	$2f_i$	0	0
$f_{i+1}$	$-f_{i+2}$	0	$-f_{i+1}$	$3f_i$	0
$f_{i+2}$	$g_{i+1}$	0	$-f_{i+2}$	0	$3f_i$
$g_i$	$e_1 - e_2$	0	$-2g_i$	$-3g_{i+1}$	$-3g_{i+2}$
$g_{i+1}$	0	$f_{i+2}$	$g_{i+1}$	0	0
$g_{i+2}$	0	$-f_{i+1}$	$g_{i+2}$	0	0