

Steinberg Groups for Jordan Pairs

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References:

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- ② O. Loos and E. Neher, *Steinberg groups for Jordan pairs*, Progress in Mathematics, Birkhäuser, to appear
- ③ E. Neher, *Steinberg groups for Jordan pairs - an introduction with open problems*, arXiv:1901.01313

Universal central extensions

G abstract group

Definition (Schur)

- **Central extension** (=ce) $p: E \twoheadrightarrow G$ surjective group homomorphism, $\text{Ker}(p)$ central
- **universal central extension** (= uce)
 - 1 $\hat{p}: \hat{G} \twoheadrightarrow G$ is ce, and
 - 2 for $p: E \twoheadrightarrow G$ ce there exists unique $f: \hat{G} \rightarrow E$, $p \circ f = \hat{p}$:

$$\begin{array}{ccc} \hat{G} & \xrightarrow{\exists! f} & E \\ & \searrow \hat{p} & \swarrow p \\ & G & \end{array}$$

- G **centrally closed** if $\text{Id}: G \rightarrow G$ is uce, i.e., $E \xrightleftharpoons{\text{ce}} G$

Schur: projective representations of finite groups

uce facts

Steinberg, Yale notes

G group: $[g, h] = ghg^{-1}h^{-1}$, $[G, G] = \langle [g, h] : g, h \in G \rangle$

- ① uce unique, up to unique isomorphism
- ② G has a uce $\iff G = [G, G]$, i.e., G perfect
- ③ $\hat{p}: X \rightarrow G$ is a uce \iff
 - (i) X is centrally closed (no condition on G !)
 - (ii) $p: X \rightarrow G$ is a ce

Corollary of (3): Strategy to find uce

uce example

F field

$$\mathrm{SL}_n(F) = \{X \in \mathrm{Mat}_n(F) : \det(X) = 1\} = \langle e_{ij}(a) : 1 \leq i \neq j \leq n, a \in F \rangle$$
$$e_{ij}(a) = \mathbf{1}_n + aE_{ij}$$

linear Steinberg group $\mathrm{St}_n(F)$ presented by
generators $x_{ij}(a)$, $1 \leq i \neq j \leq n$, $a \in F$,
relations $(a, b \in F)$

$$\begin{aligned}x_{ij}(a) x_{ij}(b) &= x_{ij}(a + b) \\[x_{ij}(a), x_{kl}(b)] &= 1 \quad \text{if } j \neq k \text{ and } l \neq i, \\[x_{ij}(a), x_{jl}(b)] &= x_{il}(ab) \quad \text{if } i, j, l \neq.\end{aligned}$$

Theorem (Steinberg 1962)

If $n \geq 4$, then $\mathrm{St}_n(F) \rightarrow \mathrm{SL}_n(F)$, $x_{ij}(a) \mapsto e_{ij}(a)$ is a uce.

In general $\mathrm{St}_n(F) \rightarrow \mathrm{SL}_n(F)$ is not an isomorphism!

Generalizations

Recall Steinberg's Theorem: $\text{St}_n(F) \rightarrow \text{SL}_n(F)$, $n \geq 4$, is a uce

Generalizations

This theorem holds **grosso modo** in more generality:

- (Steinberg 1962) replace $\text{SL}_n(F)$ by any Chevalley group, rephrase relations in terms of root systems
- (Stein 1972) replace $\text{SL}_n(F)$ by Chevalley groups over commutative rings
- (Deodhar 1978) replace $\text{SL}_n(F)$ by F -points of a quasi-split algebraic group
- (Kervaire-Milnor-Steinberg 1967/1971) in $\text{St}_n(F)$ replace F by any ring,
- (Bak 1981) elementary unitary groups: rings with involutions (form rings), types B, C, D

Kervaire-Milnor-Steinberg Theorem

A ring, define $\text{St}_n(A)$ by presentation of $\text{St}_n(F)$, F field:

generators $x_{ij}(a)$, $1 \leq i \neq j \leq n$, $a \in A$,

relations ($a, b \in A$)

$$x_{ij}(a) x_{ij}(b) = x_{ij}(a + b)$$

$$[x_{ij}(a), x_{kl}(b)] = 1 \quad \text{if } j \neq k \text{ and } l \neq i,$$

$$[x_{ij}(a), x_{jl}(b)] = x_{il}(ab) \quad \text{if } i, j, l \neq.$$

$E_n(A) = \langle e_{ij}(a) = \mathbf{1}_n + aE_{ij}, a \in A, 1 \leq i \neq j \leq n \rangle$, elementary linear group

Recall $\hat{p}: X \rightarrow G$ is a uce \iff (i) X is centrally closed (= its own uce) and (ii) $\hat{p}: X \rightarrow G$ is a ce.

Theorem (KMS)

A arbitrary ring,

(a) $\text{St}_n(A)$, $n \geq 5$, is centrally closed.

(b) If $\text{St}_n(A) \rightarrow E_n(A)$, $x_{ij}(a) \mapsto e_{ij}(a)$, is a ce, then it is a uce.

This is so in the “stable” case:

$$1 \rightarrow K_2(A) \rightarrow \varinjlim \text{St}_n(A) \rightarrow \varinjlim E_n(A) \rightarrow 1$$

$\text{St}_n(A) \rightarrow E_n(A)$ is not a central extension in general

Main result of [Loos-N.]

Theorem

Let

- (i) (R, R_1) be a locally finite irreducible 3-graded root system of rank ≥ 5 ,
- (ii) V a Jordan pair with a fully idempotent root grading \mathfrak{R} .

Then

- (a) the Steinberg group $\text{St}(V, \mathfrak{R})$ is centrally closed.
- (b) If the canonical map $\text{St}(V, \mathfrak{R}) \twoheadrightarrow \text{PE}(V)$ (= projective elementary group of V) is a ce, then it is a uce. This is so if $\text{rank } R = \infty$

Some advantages:

- ① unified approach to linear and unitary Steinberg groups
- ② new techniques, less relations
- ③ covers all known results, except split groups of type G_2, F_4, E_8 , applies to new groups.

holds more generally: some rank 4, replace "fully"

Locally finite root systems = lfrs

lfrs = \varinjlim (finite root systems $+0$) with respect to embeddings

Equivalent definition (à la Bourbaki): replace “finite” by “locally finite”: $R \subset X$ such that $|R \cap Y| < \infty$ for every finite-dimensional subspace of X .

Rank of $R = \dim X$

irreducible lfrs = \varinjlim (irreducible finite root systems)

Equivalent: R is not a direct sum of two non-zero root systems

Examples: Infinite rank generalizations of classical root systems, e.g.,

$$A_I = \{\epsilon_i - \epsilon_j : i, j \in \hat{I}\}, \hat{I} = I \dot{\cup} \{\star\}$$

$$B_I = \{\pm \epsilon_i : i \in I\} \cup \{\pm(\epsilon_i + \epsilon_j) : i, j \in I, i \neq j\} \cup \{\epsilon_i - \epsilon_j : i, j \in I\}$$

Classification (Kaplansky-Kibler 1973/75) irreducible lfrs = finite irreducible root system or isomorphic to $A_I, B_I, C_I, D_I, BC_I, |I| = \infty$.

3-graded locally finite root systems

3-grading = \mathbb{Z} -grading of lfrs R with support $\pm 1, 0$.

Precise definition: decomposition $R = R_1 \dot{\cup} R_0 \dot{\cup} R_{-1}$ satisfying

$$R_{-1} = -R_1, \quad (R_i + R_j) \cap R \subset R_{i+j}, \quad R_0 = (R_1 + R_{-1}) \cap R.$$

Notation (R, R_1)

Example 3-gradings of $R = A_{n-1} = \{\epsilon_i - \epsilon_j : 1 \leq i, j \leq n\}$, $p + q = n$
 $R_1 = \{\epsilon_1 - \epsilon_{p+j} : 1 \leq i \leq p, 1 \leq j \leq q\}$

Facts:

- ① 3-gradings of R respect decomposition of R into irreducible components
- ② An irreducible lfrs R has a 3-grading $\iff R$ is reduced ($\neq BC_I$) and not of type G_2, F_4, E_8 .
- ③ R finite irreducible: ω minuscule coweight, $R_1 = \{\alpha \in R : \omega(\alpha) = 1\}$; every 3-grading of R of this type.

We now know assumption (i) of main theorem:

(R, R_1) is a locally finite irreducible 3-graded root system of rank ≥ 5 ,

Jordan pairs

k commutative ring

Jordan pair over k : $V = (V^+, V^-)$ pair of k -modules together with maps

$$Q^\sigma: V^\sigma \times V^{-\sigma} \rightarrow V^\sigma, \quad (x, y) \mapsto Q^\sigma(x)y = Q_x y, \quad (\sigma = \pm),$$

quadratic in x and linear in y , satisfying certain identities.

Linearize $Q(x)y$ in x gives $Q_{x,z}y = Q(x, z)y = Q_{x+z}y - Q_x y - Q_z y$,
define *Jordan triple product*

$$\{\cdots\}: V^\sigma \times V^{-\sigma} \times V^\sigma \rightarrow V^\sigma, \quad (x, y, z) \mapsto \{x y z\} = Q_{x,z}y.$$

so $\{x y x\} = 2Q_x y$.

Examples

- ① (Subpair) V Jordan pair, $S = (S^+, S^-) \subset V$ such that $Q(S^\sigma)S^{-\sigma} \subset S^\sigma$,
- ② A associative k -algebra, $V = (A, A)$, $Q_x y = xyx$, $\{x y z\} = xyz + zyx$,
- ③ Combine (1) and (2)

Jordan pair examples

Examples

A associative k -algebra, $\mathfrak{A} = \text{Mat}_n(A)$, so $(\mathfrak{A}, \mathfrak{A})$ Jordan pair with $Q_x y = xyx$.
Subpair $\mathbb{M}_{pq}(A) = (\text{Mat}_{pq}(A), \text{Mat}_{qp}(A))$,

$$\begin{pmatrix} 0 & \text{Mat}_{pq}(A) \\ \text{Mat}_{qp}(A) & 0 \end{pmatrix} \subset \text{Mat}_{p+q}(A)$$

since $(p \times q) \cdot (q \times p) \cdot (p \times q) = (p \times q)$.

other subpairs: symmetric, hermitian, alternating matrices

Root graded Jordan pairs

V Jordan pair, (R, R_1) 3-graded locally finite root system

Grosso modo: (R, R_1) -grading = grading by $\text{span}_{\mathbb{Z}} R \subset X$, support in $R_1 \cup R_{-1}$

(R, R_1) -grading of V is a decomposition $V^\sigma = \bigoplus_{\alpha \in R_1} V_\alpha^\sigma$, $\sigma = \pm$, satisfying (RG1) and (RG2):

$$Q(V_\alpha^\sigma) V_\beta^{-\sigma} \subset V_{2\alpha-\beta}^\sigma, \quad \{V_\alpha^\sigma V_\beta^{-\sigma} V_\gamma^\sigma\} \subset V_{\alpha-\beta+\gamma}^\sigma, \quad (\text{RG1})$$

$$\{V_\alpha^\sigma V_\beta^{-\sigma} V^\sigma\} = 0 \quad \text{if } \alpha \perp \beta. \quad (\text{RG2})$$

Notation $\mathfrak{R} = (V_\alpha)_{\alpha \in R_1}$

Root graded Jordan pairs II

Example (Idempotents)

V Jordan pair over ring k , $e = (e_+, e_-) \in V$ with $e = (Q_{e_+}(e_-), Q_{e_-}(e_+))$

Peirce decomposition

$$\begin{aligned} V^\sigma &= V_2^\sigma(e) \oplus V_1^\sigma(e) \oplus V_0^\sigma(e), & \sigma = \pm, \\ V_i^\sigma(e) &= \{x \in V^\sigma : \{e^\sigma e^{-\sigma} x\} = ix\}, & i = 0, 1, 2 \quad (\text{if } 1/2 \in k). \end{aligned}$$

The $V_i^\pm = V_i^\pm(e)$ satisfy

$$\begin{aligned} Q(V_i^\sigma) V_j^{-\sigma} &\subset V_{2i-j}^\sigma, & \{V_i^\sigma V_j^{-\sigma} V_l^\sigma\} &\subset V_{i-j+l}^\sigma, \\ \{V_2^\sigma V_0^{-\sigma} V^\sigma\} &= 0 = \{V_0^\sigma V_2^{-\sigma} V^\sigma\}, \end{aligned}$$

where $i, j, l \in \{0, 1, 2\}$, $V_m^\sigma = 0$ if $m \notin \{0, 1, 2\}$.

Root-grading by $R = C_2 = \{\pm\epsilon_i \pm \epsilon_j : i, j \in \{0, 1\}\}$, $R_1 = \{\epsilon_i + \epsilon_j : i, j \in I\}$,

$$V_\alpha^\sigma = V_{i+j}^\sigma(e), \quad (\alpha = \epsilon_i + \epsilon_j \in R_1)$$

Fully idempotent root gradings

V Jordan pair, root grading $\mathfrak{R} = (V_\alpha)_{\alpha \in R_1}$ of type (R, R_1)

Recall $(\alpha, \beta \in R)$: $\langle \alpha, \beta^\vee \rangle = \beta^\vee(\alpha) \in \mathbb{Z}$, $\alpha, \beta \in R_1$: $\langle \alpha, \beta^\vee \rangle \in \{0, 1, 2\}$,

Fully idempotent root grading \mathfrak{R} : every V_α , $\alpha \in R_1$, contains idempotent e_α such that for all $\beta \in R_1$

$$V_\beta = \bigcap_{\alpha \in R_1} V_{\langle \beta, \alpha^\vee \rangle}(e_\alpha)$$

Classification: N 1987

Example

A associative k -algebra, $\mathbb{M}_{pq}(A) = (\text{Mat}_{pq}(A), \text{Mat}_{qp}(A))$, $Q_x y = xyx$,

$V^+ = \text{Mat}_{pq}(A) = \bigoplus_{1 \leq i \leq p, 1 \leq j \leq q} A E_{ij}$, E_{ij} = matrix units

$R = A_{p+q-1}$, $R_1 = \{\epsilon_i - \epsilon_{p+j} : 1 \leq i \leq p, 1 \leq j \leq q\}$

$\mathfrak{R} = (V_\alpha)_{\alpha \in R_1}$, $V_{\epsilon_i - \epsilon_{p+j}} = (A E_{ij}, A E_{ji})$ fully idempotent root grading

Recall

We now know the assumptions of

Theorem (Loos-N)

Assume

- (i) (R, R_1) be a locally finite irreducible 3-graded root system of rank ≥ 5 ,
- (ii) V a Jordan pair with a fully idempotent root grading \mathfrak{R} .

Then

- (a) the Steinberg group $\text{St}(V, \mathfrak{R})$ is centrally closed.

Steinberg group $\text{St}(V)$

Definition (Steinberg group $\text{St}(V, \mathfrak{R})$)

(R, R_1) 3-graded root system,

V Jordan pair with root grading $\mathfrak{R} = (V_\alpha)_{\alpha \in R_1}$, not necessarily idempotent.

Steinberg group $\text{St}(V, \mathfrak{R})$ defined by presentation:

- generators $x_+(u)$, $x_-(v)$, $(u, v) \in (V^+, V^-)$;
- relations

$$x_\sigma(u + u') = x_\sigma(u) x_\sigma(u') \quad \text{for } u, u' \in V^\sigma, \quad (\text{St1})$$

$$[x_+(u), x_-(v)] = 1 \quad \text{for } (u, v) \in V_\alpha^+ \times V_\beta^-, \alpha \perp \beta, \quad (\text{St2})$$

$$\begin{cases} [b(u, v), x_+(z)] = x_+(-\{u v z\} + Q_u Q_v z), \\ [b(u, v)^{-1}, x_-(y)] = x_-(-\{v u y\} + Q_v Q_u y) \end{cases} \quad (\text{St3})$$

for all $(u, v) \in V_\alpha^+ \times V_\beta^-$ with $\alpha \neq \beta$ and all $(z, y) \in V$.

where for $\alpha \neq \beta \in R_1$, $(u, v) \in V_\alpha^+ \times V_\beta^-$ define Bergmann operators $b(u, v)$ by

$$x_+(u) x_-(v) = x_-(v + Q_v u) \, b(u, v) \, x_+(u + Q_u v)$$

Steinberg group example

$$R = A_{n-1}, \quad n = p + q \geq 5, \quad R_1 = \{\epsilon_i - \epsilon_{p+j} : 1 \leq i \leq p, 1 \leq j \leq q\}$$

$$V = \mathbb{M}_{pq}(A) = (\text{Mat}_{pq}(A), \text{Mat}_{qp}(A)) \text{ Jordan pair } \{u \, v \, z\} = uvz + zvu$$

$$\text{fully idempotent root grading } \mathfrak{R} \text{ with } V_{\epsilon_i - \epsilon_{p+j}} = (AE_{ij}, AE_{ji}),$$

The *Steinberg group* $\text{St}(V, \mathfrak{R})$ is the group presented by

- generators $x_+(u)$, $u \in V^+$, and $x_-(v)$, $v \in V^-$, and
- the relations

$$x_\sigma(u + u') = x_\sigma(u) x_\sigma(u') \quad \text{for } u, u' \in V^\sigma, \quad (\text{St1})$$

$$[x_+(u), x_-(v)] = 1 \quad \text{for } (u, v) \in V_\alpha^+ \times V_\beta^-, \alpha \perp \beta, \quad (\text{St2})$$

$$[[x_\sigma(u), x_{-\sigma}(v)], x_-(z)] = x_\sigma(-\{u \, v \, z\}) \quad (\text{St3})$$

$$\text{for } u_\alpha \in V_\alpha^\sigma, v \in V_\beta^{-\sigma}, z \in V^\sigma \text{ with } \langle \alpha, \beta^\vee \rangle = 1 = \langle \beta, \alpha^\vee \rangle.$$

Proposition

For (V, \mathfrak{R}) as above, $\text{St}(V, \mathfrak{R}) \cong \text{St}_n(A)$.

Hence $\text{St}_n(A)$ is centrally closed (Part (a) of Kervaire-Milnor-Steinberg Theorem)

Tits-Kantor-Koecher algebra

Recall part (b) of Loos-N-Theorem:

“If the canonical map $\text{St}(V, \mathfrak{R}) \rightarrow \text{PE}(V)$ is a central extension, then it is a universal central extension. This is so, if $\text{rank } R = \infty$.”

V Jordan pair over commutative ring k

Tits-Kantor-Koecher algebra of V is \mathbb{Z} -graded Lie k -algebra

$$\mathfrak{L}(V) = \mathfrak{L}(V)_1 \oplus \mathfrak{L}(V)_0 \oplus \mathfrak{L}(V)_{-1},$$

$$\mathfrak{L}(V)_0 = k\zeta + \text{span}_k\{\delta(x, y) : (x, y) \in V\}, \quad \zeta = (\text{Id}_{V^+}, \text{Id}_{V^-})$$

$$\delta(x, y) = (D(x, y), -D(y, x)) \in \text{End}(V^+) \times \text{End}(V^-), \quad D(x, y)z = \{x y z\}.$$

Lie algebra product of $\mathfrak{L}(V)$ determined by

$$\begin{aligned} \mathfrak{L}(V)_0 &= \text{subalgebra of } \mathfrak{gl}(V^+) \times \mathfrak{gl}(V^-), \\ [V^\sigma, V^\sigma] &= 0, \quad [D, z] = D_\sigma(z), \quad [x, y] = -\delta(x, y) \end{aligned}$$

Example $V = \mathbb{M}_{pq}(A)$

$$\begin{aligned} V &= \mathbb{M}_{pq}(A) = (\text{Mat}_{pq}(A), \text{Mat}_{qp}(A)) \\ \text{Mat}_{nn}(A) &= \begin{pmatrix} \text{Mat}_{pp}(A) & \text{Mat}_{pq}(A) \\ \text{Mat}_{qp}(A) & \text{Mat}_{qq}(A) \end{pmatrix} \\ e_1 &= \begin{pmatrix} \mathbf{1}_p & 0 \\ 0 & 0 \end{pmatrix}, \quad e_2 = \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{1}_q \end{pmatrix} \end{aligned}$$

$\text{Mat}_{nn}(A)^{(-)}$ associated Lie algebra: $[x, y] = xy - yx$

\mathfrak{e} = subalgebra of $\text{Mat}_{nn}(A)^{(-)}$ generated by e_1, e_2 and V , $\mathfrak{z}(\mathfrak{e})$ = centre of \mathfrak{e}

$$\mathfrak{e}/\mathfrak{z}(\mathfrak{e}) \cong \mathcal{L}(V)$$

Example

$A = K$ field of characteristic 0, $\mathfrak{e} = \mathfrak{gl}_n(K)$, $\mathcal{L}(V) \cong \mathfrak{sl}_n(K)$

Projective elementary group $\text{PE}(V)$

Recall: Jordan pair $V, Q_x y$

Tits-Kantor-Koecher $\mathfrak{L}(V) = \mathfrak{L}(V)_1 \oplus \mathfrak{L}(V)_0 \oplus \mathfrak{L}(V)_{-1}$

For $(x, y) \in V$: $(\text{ad } x)^3 = 0$, so

$$\exp_+(x) = \text{Id} + \text{ad } x + \frac{1}{2}(\text{ad } x)^2 = \begin{pmatrix} 1 & \text{ad } x & Q_x \\ 0 & 1 & \text{ad } x \\ 0 & 0 & 1 \end{pmatrix},$$

$$\exp_-(y) = \text{Id} + \text{ad } y + \frac{1}{2}(\text{ad } y)^2 = \begin{pmatrix} 1 & 0 & 0 \\ \text{ad } y & 1 & 0 \\ Q_y & \text{ad } y & 1 \end{pmatrix}.$$

Define

$$\text{PE}(V) = \langle \exp_+(x), \exp_-(y) : (x, y) \in V \rangle \subset \text{Aut}(\mathfrak{L}(V))$$

Example

V finite-dimension Jordan pair over $k = \bar{k}$ algebraically closed field:
 $\text{PE}(V)$ simple algebraic group of adjoint type and root system $\neq G_2, F_4, E_8$,
and conversely . . . (scheme version available too).

Example $V = \mathbb{M}_{pq}(A) = (\text{Mat}_{pq}(A), \text{Mat}_{qp}(A))$

Elementary group $E(V)$ and projective elementary group $PE(V)$ of V :

$$E(V) = \left\langle \begin{pmatrix} \mathbf{1}_p & \text{Mat}_{pq}(A) \\ 0 & \mathbf{1}_q \end{pmatrix} \cup \begin{pmatrix} \mathbf{1}_p & 0 \\ \text{Mat}_{qp}(A) & \mathbf{1}_q \end{pmatrix} \right\rangle \subset \text{GL}_n(A)$$
$$PE(V) \cong E(V) / Z(E(V))$$

Part (b) of Loos-N-Theorem:

If the canonical map $\text{St}_n(A) \twoheadrightarrow PE(V)$ is a central extension, then it is a universal central extension. This is so in the stable case.

Equivalent to part (b) of Kervaire-Milnor-Steinberg Theorem

Example

$A = K = \bar{K}$ algebraically closed field: $PE(V) \cong \text{PGL}_n(K)$.

Open problems: low ranks

J Jordan division algebra, e.g. $J = A$, A associative division algebra, $U_a b = aba$

$V = (J, J)$ Jordan pair with fully idempotent root grading of type

$R = A_1$, $R_1 = \{\alpha\}$, $V_\alpha^\sigma = J$

Definition (Steinberg group $\text{St}(J)$)

Notation of above. **Steinberg group $\text{St}(J)$** presented by

- generators $x_+(u)$, $x_-(v)$, $u, v \in J$;
define Weyl $w_b = x_-(b^{-1})x_+(b)x_-(b^{-1})$ for $0 \neq b \in J$,
- relations

$$x_\sigma(u + u') = x_\sigma(u)x_\sigma(u') \quad \text{for } u, u' \in V^\sigma, \quad (\text{StJ1})$$

$$w_b x_-(a) w_b^{-1} = x_+(U(b)a) \quad (a \in J, 0 \neq b \in J.) \quad (\text{StJ2})$$

Question: Is $\text{St}(J)$ centrally closed whenever $J \neq \mathbb{F}_q$ with $q \in \{2, 3, 4, 9\}$?

Answer by Steinberg: Yes, if A is a field.