

# GRID invariants obstruct decomposable Lagrangian cobordisms

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## 1 Background

- Lagrangian cobordisms between Legendrian links
- GRID invariants

## 2 Results

- Statement
- Applications

# Lagrangian cobordisms in $\mathbb{R} \times \mathbb{R}^3$

- Recall:  $\mathbb{R}^3$  with standard contact structure  $\xi_{\text{std}} = \ker(\alpha)$ , where  $\alpha = dz - ydx$
- A link  $\Lambda \subset \mathbb{R}^3$  is *Legendrian* if  $\Lambda$  is tangent to  $\xi_{\text{std}}$
- Focus on the *symplectization*  $(\mathbb{R} \times \mathbb{R}^3, d(e^t\alpha))$
- (Chantraine) An (exact) Lagrangian cobordism from  $\Lambda_{\text{bot}}$  to  $\Lambda_{\text{top}}$  is as follows:
  - An embedded Lagrangian submanifold  $L \subset (\mathbb{R} \times \mathbb{R}^3, d(e^t\alpha))$
  - There is some real  $T > 0$  such that

$$\mathcal{E}_{\text{top}}(L) := L \cap ((T, \infty) \times \mathbb{R}^3) = (T, \infty) \times \Lambda_{\text{top}};$$

$$\mathcal{E}_{\text{bot}}(L) := L \cap ((-\infty, -T) \times \mathbb{R}^3) = (-\infty, -T) \times \Lambda_{\text{bot}};$$

- The rest of  $L$  is compact with boundary  $\Lambda_{\text{top}}$  and  $-\Lambda_{\text{bot}}$
- $e^t\alpha|_L = df$  is exact, with  $f$  constant on  $\mathcal{E}_{\text{top}}(L) \cup \mathcal{E}_{\text{bot}}(L)$

## Some (perhaps unintuitive) properties

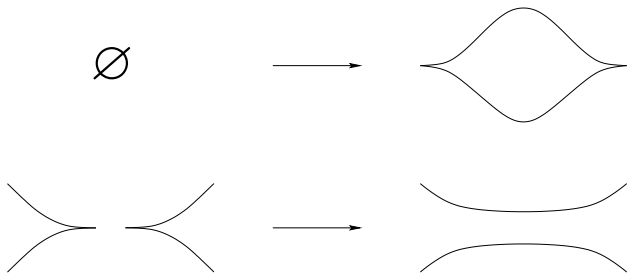
- $\Lambda_{\text{bot}}$  and  $\Lambda_{\text{top}}$  Legendrian isotopic  $\implies$  there exists a *Lagrangian concordance* (i.e.  $L$  is  $\mathbb{R} \times S^1$ )
- Lagrangian concordances are exact
- **Differ** from smooth cobordisms and concordances:
  - (Chantraine) If  $\Lambda_{\text{bot}}$  and  $\Lambda_{\text{top}}$  are knots, then

$$r(\Lambda_{\text{top}}) = r(\Lambda_{\text{bot}}), \quad tb(\Lambda_{\text{top}}) = tb(\Lambda_{\text{bot}}) - \chi(L)$$

- So a Lagrangian cobordism  $L$  cannot be inverted whenever  $g(L) > 0$ ;  
can we invert Lagrangian concordances?
  - (Chantraine) **Not in general!**
  - So Lagrangian cobordism and concordance are **not** equivalence relations
- (Ekholm–Honda–Kálmán; Eliashberg–Ganatra–Lazarev) Can be generalized from cobordisms in  $\mathbb{R} \times \mathbb{R}^3$  to cobordisms in a Weinstein cobordism from some  $(Y_{\text{bot}}, \xi_{\text{bot}})$  to some  $(Y_{\text{top}}, \xi_{\text{top}})$

# Decomposable Lagrangian cobordisms

- (Chantraine; Ekholm–Honda–Kálmán) A Lagrangian cobordism is *decomposable* if it is a product of elementary cobordisms: Legendrian isotopy, births, and saddles
- In front diagrams: Legendrian Reidemeister moves and



- Note the **direction** of arrows!

# Decomposable Lagrangian cobordisms

- (Chantraine; Ekholm–Honda–Kálmán) A Lagrangian cobordism is *decomposable* if it is a product of elementary cobordisms: Legendrian isotopy, births, and saddles
- (Conway–Etnyre–Tosun) In  $\mathbb{R} \times \mathbb{R}^3$ , decomposable cobordisms are regular; converse unknown
  - *Regular*: tangent to the Liouville vector field
- Every decomposable Lagrangian cobordism is exact
- **Open** question: Is every exact Lagrangian cobordism decomposable?

# Grid homology

- (Manolescu–Ozsváth–Sarkar; Manolescu–Ozsváth–Szabó–Thurston)

$$\text{Knot } K \subset S^3 \rightsquigarrow \text{grid diagram } \mathbb{G} \rightsquigarrow \text{grid complex } \widetilde{GC}(\mathbb{G})$$

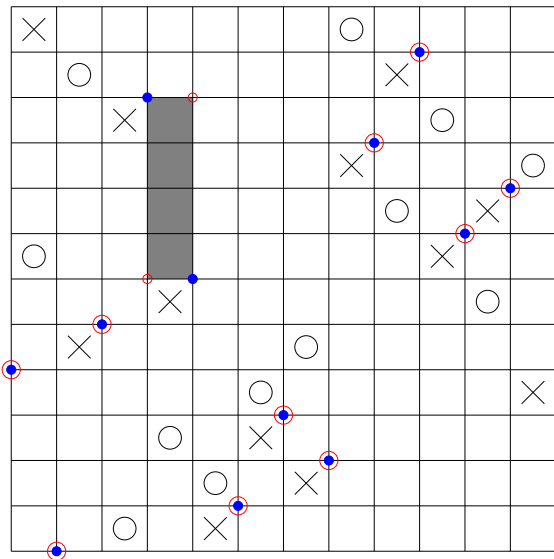
- Computes link Floer homology:

$$\widehat{GH}(\mathbb{G}) := H_*(\widetilde{GC}(\mathbb{G})) \cong \widehat{HFK}(S^3, K) \otimes V^{n-1},$$

where  $n$  is the grid size and  $V$  is 2-dimensional

- Write  $\widehat{GH}(\mathbb{G})$  for the  $\widehat{HFK}(S^3, K)$  part
- (Ozsváth–Szabó–Thurston) In fact,  $\mathbb{G}$  can encode a front diagram of a **Legendrian** knot  $\Lambda(\mathbb{G})$  of smooth type  $m(K)$ !

# Grid homology and Legendrian GRID invariants



$$\mathbb{G} \rightsquigarrow K = 10_{132}$$

Two generators of  $\widetilde{\text{GC}}(\mathbb{G})$ :

**w** = red

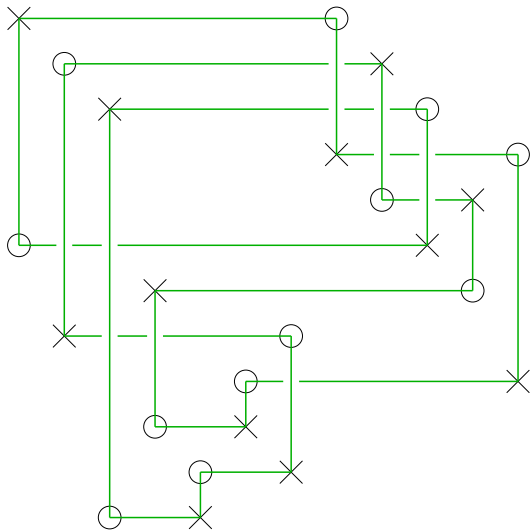
**x** = blue

$\tilde{\partial}$  counts empty rectangles

$$\tilde{\partial} \mathbf{w} = \mathbf{x} + \dots$$



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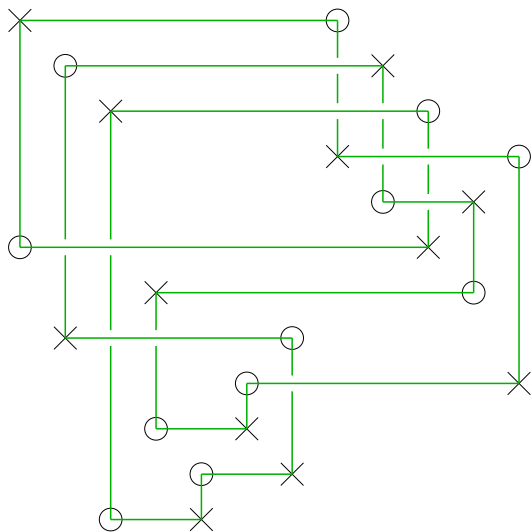
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# Grid homology and Legendrian GRID invariants



$$\mathbb{G} \rightsquigarrow K = 10_{132}$$

$$\mathbb{G} \rightsquigarrow \Lambda(\mathbb{G}) = \Lambda_1$$

$\Lambda_1$  has smooth type

$$m(K) = m(10_{132})$$

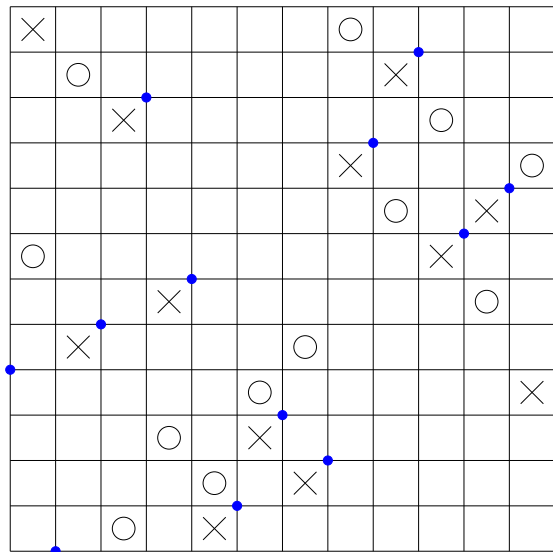
$$\mathbf{x}^+ = \text{blue}$$

$$\mathbf{x}^+ \in \widetilde{\text{GC}}(\mathbb{G})$$

$$\mathbf{x}^- = \text{magenta}$$

$$\mathbf{x}^- \in \widetilde{\text{GC}}(\mathbb{G})$$

# Grid homology and Legendrian GRID invariants



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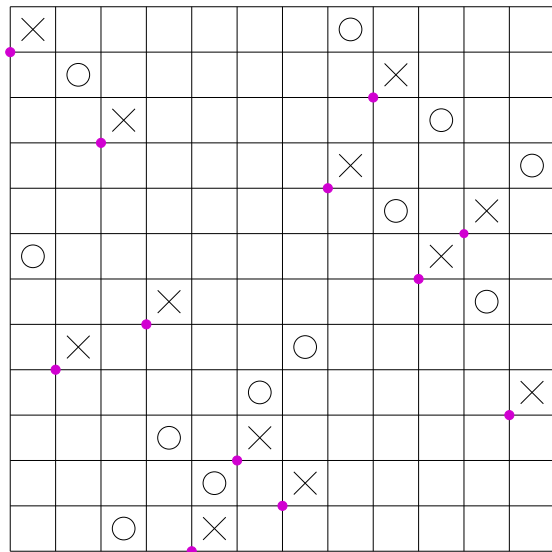
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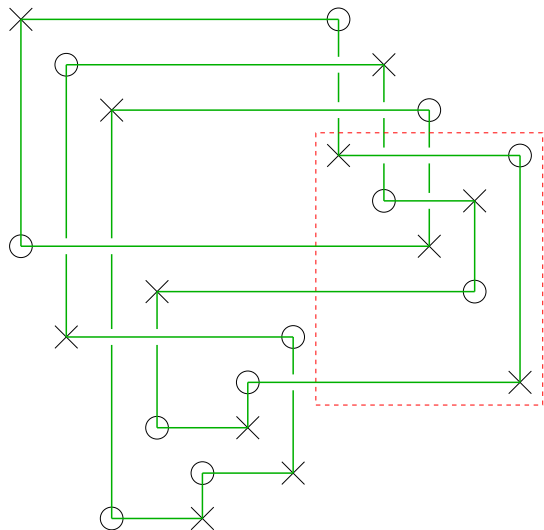
# Legendrian GRID invariants

- (Ozsváth–Szabó–Thurston)  $\widehat{\lambda}^+(\mathbb{G}) := [\mathbf{x}^+] \in \widehat{\text{GH}}(\mathbb{G})$  and  $\widehat{\lambda}^-(\mathbb{G}) := [\mathbf{x}^-] \in \widehat{\text{GH}}(\mathbb{G})$  are **invariants** of the Legendrian link  $\Lambda(\mathbb{G})$ 
  - In other words, if  $\Lambda(\mathbb{G}_{\text{bot}})$  and  $\Lambda(\mathbb{G}_{\text{top}})$  are related by Legendrian isotopies and Reidemeister moves, then the isomorphism  $\Phi: \widehat{\text{GH}}(\mathbb{G}_{\text{top}}) \rightarrow \widehat{\text{GH}}(\mathbb{G}_{\text{bot}})$  sends  $\widehat{\lambda}^\pm(\mathbb{G}_{\text{top}})$  to  $\widehat{\lambda}^\pm(\mathbb{G}_{\text{bot}})$
- (Ng–Ozsváth–Thurston)  $\widehat{\lambda}^\pm(\mathbb{G})$  are **effective**:
  - For example,  $m(10_{132})$  has two Legendrian representatives with

$$\begin{aligned} tb(\Lambda_1) &= tb(\Lambda_2), & r(\Lambda_1) &= r(\Lambda_2) \\ \widehat{\lambda}^+(\Lambda_1) &= 0, & \widehat{\lambda}^+(\Lambda_2) &\neq 0 \\ \widehat{\lambda}^-(\Lambda_1) &\neq 0, & \widehat{\lambda}^-(\Lambda_2) &= 0 \end{aligned}$$

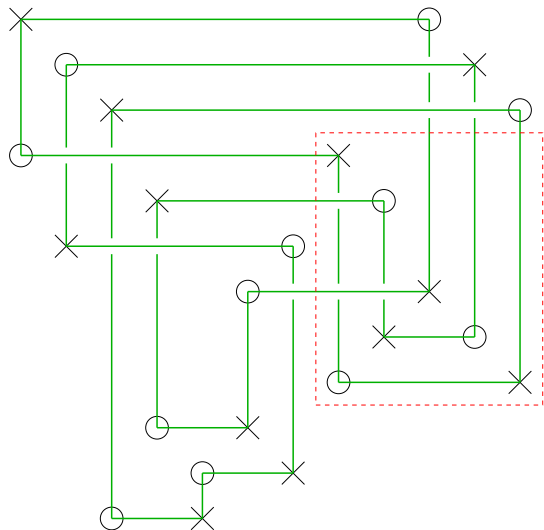
- (Baldwin–Vela-Vick–Vértési) GRID is equivalent to the LOSS invariants:  $\widehat{\lambda}^\pm(\Lambda) \leftrightarrow \widehat{\mathcal{L}}(\pm\Lambda) \in \widehat{\text{HFK}}(-S^3, K)$

# Legendrian GRID invariants



$\Lambda_1$  has smooth type  
 $m(10_{132})$

# Legendrian GRID invariants



$\Lambda_2$  has smooth type  
 $m(10_{132})$

# Statement of main result

Theorem (with Baldwin and Lidman; in preparation)

*Suppose that there exists a decomposable Lagrangian cobordism from  $\Lambda_{\text{bot}}$  to  $\Lambda_{\text{top}}$  in  $\mathbb{R} \times \mathbb{R}^3$ . If  $\Lambda(\mathbb{G}_{\text{bot}}) = \Lambda_{\text{bot}}$  and  $\Lambda(\mathbb{G}_{\text{top}}) = \Lambda_{\text{top}}$ , then there exists a homomorphism*

$$\widehat{F}: \widehat{\text{GH}}(\mathbb{G}_{\text{top}}) \rightarrow \widehat{\text{GH}}(\mathbb{G}_{\text{bot}})$$

*that sends  $\widehat{\lambda}^{\pm}(\mathbb{G}_{\text{top}})$  to  $\widehat{\lambda}^{\pm}(\mathbb{G}_{\text{bot}})$ .*

## Corollary

*Suppose that  $\widehat{\lambda}^{\pm}(\mathbb{G}_{\text{bot}}) \neq 0$  and  $\widehat{\lambda}^{\pm}(\mathbb{G}_{\text{top}}) = 0$ . Then there is no decomposable Lagrangian cobordism from  $\Lambda_{\text{bot}}$  to  $\Lambda_{\text{top}}$ .*

## Proof.

By explicit construction/computation on the band move and death. □



## Comparison with similar results

- (Golla–Juhász '18) The LOSS invariant obstructs **regular** Lagrangian **concordances** in a **Weinstein cobordism** from  $(Y_{\text{bot}}, \xi_{\text{bot}})$  to  $(Y_{\text{top}}, \xi_{\text{top}})$ 
  - The **functorial** map from  $\widehat{\text{HFK}}(-Y_{\text{top}}, K_{\text{top}})$  to  $\widehat{\text{HFK}}(-Y_{\text{bot}}, K_{\text{bot}})$
  - We expect (but do not prove) our map **also** to be the functorial map
- (Baldwin–Sivek '14) The monopole analogue of the LOSS invariant obstructs Lagrangian **concordances** in the symplectization  $\mathbb{R} \times Y$ 
  - **No assumption on decomposability or regularity!**
  - (Baldwin–Sivek '16) The monopole LOSS invariant is equivalent to the LOSS invariant

# First example

- For  $\Lambda_1, \Lambda_2$  as before, with smooth type  $m(10_{132})$ :

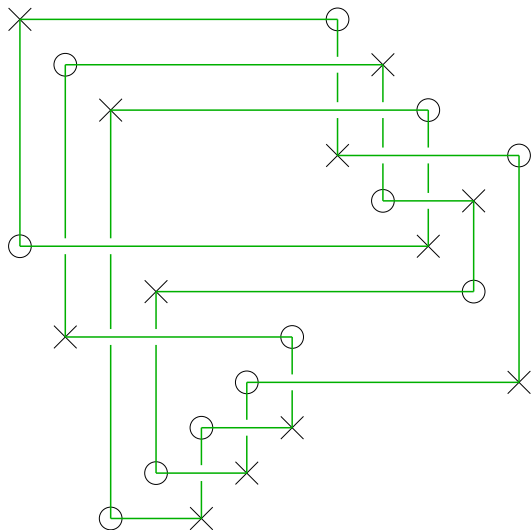
$$tb(\Lambda_1) = tb(\Lambda_2), \quad r(\Lambda_1) = r(\Lambda_2)$$

$$\widehat{\lambda}^+(\Lambda_1) = 0, \quad \widehat{\lambda}^+(\Lambda_2) \neq 0$$

$$\widehat{\lambda}^-(\Lambda_1) \neq 0, \quad \widehat{\lambda}^-(\Lambda_2) = 0$$

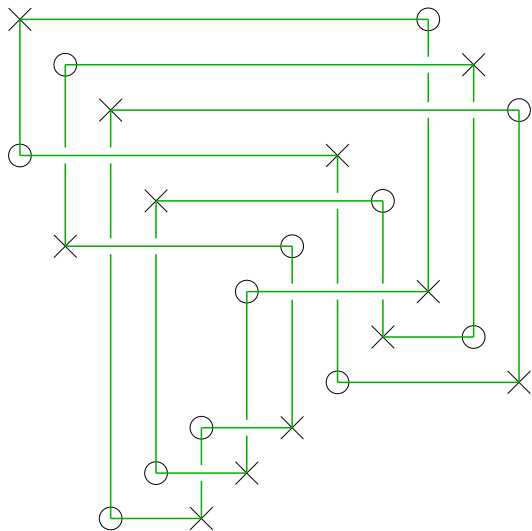
- There is no decomposable Lagrangian concordance
  - from  $\Lambda_2$  to  $\Lambda_1$  (obstructed by  $\widehat{\lambda}^+(\Lambda_i)$ )
  - from  $\Lambda_1$  to  $\Lambda_2$  (obstructed by  $\widehat{\lambda}^-(\Lambda_i)$ )
- First half of statement originally proven by Golla–Juhász
  - Obstructed by  $\widehat{\mathcal{L}}(\Lambda_i) \leftrightarrow \widehat{\lambda}^+(\Lambda_i)$

# An example with positive genus



$\Lambda'_1$  has smooth type  
 $m(12n_{199})$

# An example with positive genus



$\Lambda'_2$  has smooth type  
 $m(12n_{199})$

## An example with positive genus

- Changing one of the new crossings reverts  $\Lambda'_i$  back to  $\Lambda_i$
- So there exists a **smooth cobordism of genus one** between  $m(10_{132})$  and  $m(12n_{199})$
- For  $i, j \in \{1, 2\}$ ,

$$\begin{aligned}
 &tb(\Lambda'_j) = tb(\Lambda_i) + 2, & r(\Lambda'_j) &= r(\Lambda_i) \\
 &\widehat{\lambda}^+(\Lambda_1) = 0, & \widehat{\lambda}^+(\Lambda_2) \neq 0; & & \widehat{\lambda}^+(\Lambda'_1) = 0, & \widehat{\lambda}^+(\Lambda'_2) \neq 0 \\
 &\widehat{\lambda}^-(\Lambda_1) \neq 0, & \widehat{\lambda}^-(\Lambda_2) = 0; & & \widehat{\lambda}^-(\Lambda'_1) \neq 0, & \widehat{\lambda}^-(\Lambda'_2) = 0
 \end{aligned}$$

- Any Lagrangian cobordism from  $\Lambda_i$  to  $\Lambda'_j$  has **genus one**
- There is no decomposable Lagrangian **cobordism of genus one**
  - from  $\Lambda_2$  to  $\Lambda'_1$  (obstructed by  $\widehat{\lambda}^+$ )
  - from  $\Lambda_1$  to  $\Lambda'_2$  (obstructed by  $\widehat{\lambda}^-$ )

## An infinite family

- (Chongchitmate–Ng) In the smooth knot types  $m(10_{145})$ ,  $m(10_{161})$ , and  $12n_{591}$ , there exist Legendrian representatives  $\Lambda_3, \Lambda_4$ , such that

$$tb(\Lambda_3) = tb(\Lambda_4) + 2, \quad r(\Lambda_3) = r(\Lambda_4)$$

$$\widehat{\lambda}^+(\Lambda_4) \neq 0, \quad \widehat{\lambda}^-(\Lambda_4) \neq 0$$

- The double stabilization  $S_+(S_-(\Lambda_3))$  has same  $tb$  and  $r$  as  $\Lambda_4$ , but

$$\widehat{\lambda}^+(S_+(S_-(\Lambda_3))) = 0, \quad \widehat{\lambda}^-(S_+(S_-(\Lambda_3))) = 0,$$

so there is no decomposable Lagrangian concordance from  $\Lambda_4$  to  $S_+(S_-(\Lambda_3))$

- Combining with the “clasp” trick before, we get an infinite family of examples with arbitrary genus

# Summary and Outlook

- Lagrangian cobordisms are heavily restricted by  $tb$  and  $r$ , but these classical invariants are not complete
- The GRID invariants provide further obstructions for **decomposable** Lagrangian cobordisms **in  $\mathbb{R} \times \mathbb{R}^3$**
- Questions:
  - Does grid homology satisfy **naturality**? If so, can we harness it in the context of Lagrangian cobordisms?
  - Could the GRID invariants provide an example of a non-decomposable Lagrangian cobordism?
  - Do the branched GRID invariants (joint with Vela-Vick) provide more obstructions?

Thank you!

