

# An unoriented skein exact triangle for tangle Floer homology

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# Outline

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  - Skein exact triangles
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# Background: Heegaard and knot Floer homology

- Heegaard Floer homology (Ozsváth–Szabó):

$$Y \text{ 3-manifold} \rightsquigarrow \widehat{\text{HF}}(Y) \text{ abelian group}$$
$$W \text{ s.t. } \partial W = -Y_1 \sqcup Y_2 \rightsquigarrow F_W: \widehat{\text{HF}}(Y_1) \rightarrow \widehat{\text{HF}}(Y_2)$$

- Knot Floer homology (Ozsváth–Szabó, Rasmussen):

$$L \subset Y \text{ link} \rightsquigarrow \widehat{\text{HFK}}(Y, L) \text{ bigraded } \mathbb{F} \text{ module}$$

- Both are defined by counting pseudo-holomorphic curves on a symmetric product of a Heegaard diagram

# Background: Heegaard and knot Floer homology

$\widehat{\text{HF}}(Y)$  and  $\widehat{\text{HFK}}(Y, L)$  have rich applications:

- $\widehat{\text{HF}}(Y)$  detects the Thurston norm of  $Y$  (O–Sz, Juhász)
- $\widehat{\text{HF}}(Y)$  detects the fiberedness of  $Y$  (O–Sz, Ghiggini–Ni, Juhász)
- $\widehat{\text{HFK}}(Y, K)$  detects the genus of a knot  $K$  (O–Sz, Ni)
- $\widehat{\text{HFK}}(Y, K)$  detects the fiberedness of  $K$  (O–Sz, Ghiggini–Ni, Juhász)
- $\widehat{\text{HFK}}(S^3, K)$  categorifies the Alexander polynomial of  $K$  (O–Sz)
- Knot Floer homology gives concordance invariants (O–Sz, Ozsváth–Stipsicz–Szabó, Hom)
- Relations to Khovanov homology (O–Sz, Grigsby–Wehrli)

# Background: Combinatorialization

- $\widehat{HF}(Y)$  and  $\widehat{HFK}(Y, L)$  have been combinatorialized using *nice* Heegaard diagrams (Sarkar–Wang)
- In particular, this has given rise to *grid homology*  $\widehat{GH}(L)$  of a link  $L \subset S^3$ , defined by counting actual empty rectangles in a grid diagram of  $L$  (Manolescu–Ozsváth–Sarkar, Manolescu–Ozsváth–Szabó–Thurston)
- No pseudo-holomorphic curves involved
- Grid diagrams can be thought of as special genus-1 Heegaard diagrams

# Background: Bordered Floer homology

- Bordered Floer homology (Lipshitz–Ozsváth–Thurston):

$F$  surface  $\rightsquigarrow \mathcal{A}(F)$  dg algebra over  $\mathbb{F}_2$

$Y$  bordered 3-manifold  $\rightsquigarrow$  a  $(\mathcal{A}(\partial^0 Y), \mathcal{A}(\partial^1 Y))$ -bimodule  
 $\widehat{\text{CF}}(Y)$

- If  $Y = Y_0 \cup \cdots \cup Y_n$ , then the Heegaard Floer homology of  $Y$  can be recovered by  $\widehat{\text{CF}}(Y_0) \otimes \cdots \otimes \widehat{\text{CF}}(Y_n)$
- Bordered Floer homology turns Heegaard Floer homology into a  $(2+1+1)$ -TQFT

# Background: Tangle Floer homology

- Tangle Floer homology (Petkova–Vértési):

$P$  a sequence of points  $\rightsquigarrow \mathcal{A}(P)$  dg algebra over  $\mathbb{F}_2$

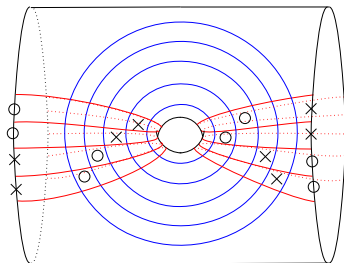
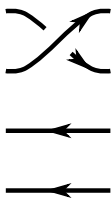
$T$  an  $(m, n)$ -tangle in  $M$ ,  $\rightsquigarrow$  a  $(\mathcal{A}(\partial^0 T), \mathcal{A}(\partial^1 T))$ -bimodule

with  $\partial M = S^2 \sqcup S^2$   $\widetilde{\text{CT}}(T)$

- If  $L = T_0 \circ \cdots \circ T_n$ , then the knot Floer homology of  $L$  can be recovered by  $\widetilde{\text{CT}}(T_0) \otimes \cdots \otimes \widetilde{\text{CT}}(T_n)$
- Tangle Floer homology turns knot Floer homology into an embedded  $(0+1)$ -TQFT
- For  $T \subset S^2 \times I$ , can use nice Heegaard diagrams for each elementary tangle in  $T$ ; these look similar to grid homology
- Decategorifies to the Reshetikhin–Turaev invariant for  $U_q(\mathfrak{gl}(1|1))$  (Ellis–Petkova–Vértési)

# Tangle Floer homology: More details

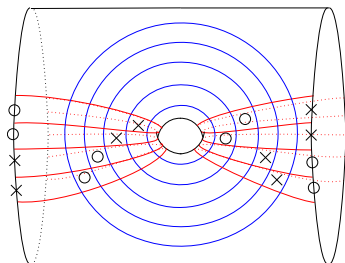
- In our context, an  $(m, n)$ -tangle is a 1-dimensional cobordism in  $S^2 \times I$  between two finite sets of points  $\{p_1, \dots, p_m\} \times \{0\}$  and  $\{q_1, \dots, q_n\} \times \{1\}$



- To a tangle we associate a Heegaard diagram with two boundary components

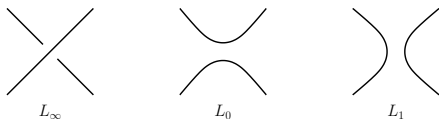


# Tangle Floer homology: More details



- Generators of  $\widetilde{CT}(T)$  are one-to-one correspondences between  $\alpha$  and  $\beta$  curves
- Differential counts empty rectangles; those that touch the boundary contribute to the algebra actions

## Unoriented skein exact triangle for knot Floer homology



## Theorem (Manolescu)

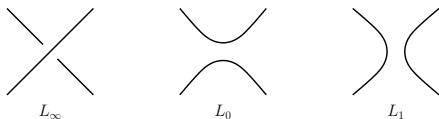
Over  $\mathbb{F}_2$ , if  $L_\infty, L_0, L_1$  are links in  $S^3$  identical except near a point as shown, then there exists a skein exact triangle

$$\widehat{\text{HFK}}(L_\infty) \otimes V^{m-\ell_\infty} \rightarrow \widehat{\text{HFK}}(L_0) \otimes V^{m-\ell_0} \rightarrow \widehat{\text{HFK}}(L_1) \otimes V^{m-\ell_1} \rightarrow \dots$$

where  $\ell_k$  is the number of components of  $L_k$ ,  $m = \max\{\ell_k\}$ , and  $V$  is 2-dimensional.

- Proof by counting pseudo-holomorphic polygons on a special Heegaard diagram

## Unoriented skein exact triangle for knot Floer homology



## Theorem (W)

Over  $\mathbb{Z}$  (and hence any commutative ring), for  $L_\infty, L_0, L_1$  as before, there exists a skein exact triangle

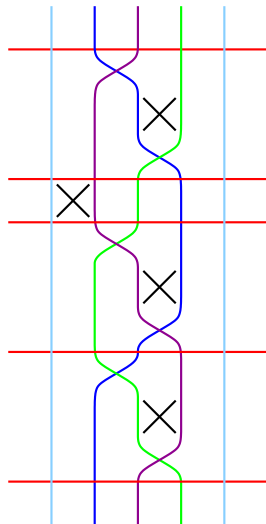
$$\widehat{\text{GH}}(L_\infty) \otimes V^{m-\ell_\infty} \rightarrow \widehat{\text{GH}}(L_0) \otimes V^{m-\ell_0} \rightarrow \widehat{\text{GH}}(L_1) \otimes V^{m-\ell_1} \rightarrow \dots,$$

where  $\ell_k$  and  $V$  are as before and  $m$  is sufficiently large.

- Over  $\mathbb{F}_2$ , implied by Manolescu's result; extension to over  $\mathbb{Z}$
- Combinatorial proof by counting actual pentagons and triangles on a combined grid diagram

# Unoriented skein exact triangle for knot Floer homology

- Combinatorial proof by counting actual pentagons and triangles on a combined grid diagram



## Statement

- Does an unoriented skein exact triangle exist for tangle Floer homology?

## Theorem (Petkova–W)

*If  $T_\infty, T_0, T_1$  are tangles in  $S^2 \times I$  identical except near a point as shown before, then there exists a module homomorphism  $f_0: \widetilde{\text{CT}}(T_0) \rightarrow \widetilde{\text{CT}}(T_1)$  such that*

$$\widetilde{\text{CT}}(T_\infty) \cong \text{Cone}(f_0: \widetilde{\text{CT}}(T_0) \rightarrow \widetilde{\text{CT}}(T_1)),$$

*where  $\cong$  denotes quasi-isomorphism, and  $\text{Cone}(f)$  the mapping cone of  $f$ .*

- Note: For modules, statement in homology does not make sense

Statement with  $\delta$ -grading

- For both  $\widehat{\text{HFK}}(L)$  and  $\widehat{\text{GH}}(L)$ , Maslov grading  $M$  and Alexander grading  $A$  are not respected by skein triangle
- Skein triangle for  $\widehat{\text{HFK}}(L)$  respects  $\delta$ -grading, where  $\delta = M - A$  (Manolescu–Ozsváth)
- Skein triangle for  $\widehat{\text{GH}}(L)$  respects  $\delta$ -grading (W)

## Theorem (Petkova–W)

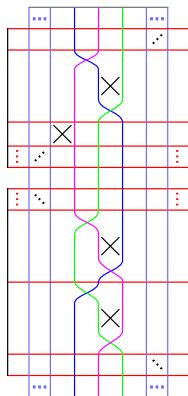
With respect to the  $\delta$ -grading,  $f_0: \widetilde{\text{CT}}(T_0) \rightarrow \widetilde{\text{CT}}(T_1)$  is of degree  $(e_0 - 1)/2$ , and

$$\widetilde{\text{CT}}(T_\infty) \cong \text{Cone}(f_0: \widetilde{\text{CT}}(T_0) \rightarrow \widetilde{\text{CT}}(T_1)) \left[ \frac{e_1 - 1}{2} \right],$$

where  $e_0$  is the difference of the number of negative crossings in  $T_1$  and  $T_0$ , and  $e_1$  that in  $T_\infty$  and  $T_1$ .

## Sketch of proof

- Idea: Combine three Heegaard diagrams into one, and cut open to obtain



- Define homomorphisms between modules by counting pentagons and triangles
- Form the stated mapping cone
- Define homotopy morphisms by counting hexagons, quadrilaterals and heptagons
- Use a homological algebra lemma
- Similar to the closed case, but now have to take care of polygons touching the boundary, interacting with the algebras

## Summary and Outlook

- Combinatorial tangle Floer homology is defined using grid-like Heegaard diagrams
- The proof of an unoriented skein exact triangle for grid homology carries over to tangle Floer homology, with the major difference being the algebra actions
- Skein relation for tangle Floer homology respects  $\delta$ -grading
- In similar spirit:

### Theorem (Petkova–W; work in progress)

*If  $T_+, T_-, T_0$  are oriented tangles in  $S^2 \times I$  identical except near a point, then there exists a module homomorphism*

*$P_{+,-}: \text{CT}^-(T_+) \rightarrow \text{CT}^-(T_-)$  such that*

$$\text{Cone}(P_{+,-}) \cong \text{Cone}(U_1 - U_2: \text{CT}^-(T_0) \rightarrow \text{CT}^-(T_0)),$$