# Dislocation kink migration energies and the Frenkel-Kontorowa model

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An analytic solution of the Peierls pinning energy  $E_P$  in the discrete Frenkel-Kontorowa (FK) model is used to obtain estimates of the second-order Peierls stress  $\sigma_{2P}$  controlling dislocation kink motion. From the Dorn-Rajnak model the kink migration energy is shown to be the Peierls pinning energy  $E_P$  in the FK model. The required parameters are related to features of the generalized stacking fault surface. Examples illustrate use of the approach. [S0163-1829(97)12317-7]

#### I. INTRODUCTION

Modern structural (intermetallics, metal silicides) and electronic (silicon, GaAs, CdTe) materials are complex and not readily amenable to the atomistic methods which have been so successful in their description of simpler materials. Although increasingly sophisticated quantum mechanical techniques are currently being developed by a number of workers, there is an immediate need for a rapid, low-cost, and reliable means to estimate the fundamental mechanical properties of these complex materials. In particular, methods which link the quantum mechanical capabilities of modern physics to the continuum mechanical scale are needed.

In recent work the authors have demonstrated, using silicon as an example, that these means are available in some measure for dislocations. For example, it was shown that the Peierls-Nabarro (PN) model<sup>1,2</sup> used with a generalized stacking fault energy surface<sup>3</sup> calculated from first principles<sup>4</sup> can predict a Peierls stress which is in good agreement with the values obtained from atomistic computations.<sup>5–7</sup> The PNbased Dorn-Rajnak (DR) kink pair formalism<sup>8</sup> was shown to provide an explanation for the unexpected preference in silicon for slip on the glide, rather than shuffle planes, and to predict an activation enthalpy for kink pair nucleation in agreement with experiment.<sup>9</sup>

### **II. KINKS IN DISLOCATIONS**

In materials with significant Peierls stress, dislocations do not move by rigid translation, but rather by the nucleation and propagation of kink pairs. A kink pair is created when part of the dislocation line is activated to a neighboring low energy channel (see Fig. 1). The energy barrier to be overcome is called the PN energy  $W_{\rm PN}$ . As is well known,<sup>10</sup> the kink pair mechanism for dislocation motion admits two limiting cases. At high stresses, the rate-controlling mechanism is the nucleation of kink pairs. At low stresses, the periodic potential through which an individual kink must move may be large enough to control the process; this is referred to as the kink migration regime and its resistive stress at 0 K,  $\sigma_{2P}$ , is commonly termed the second-order Peierls stress.

This paper will show that  $\sigma_{2P}$  can be estimated for com-

plex materials by a simple extension of the PN-DR models, provided only that the appropriate cross section of the generalized stacking fault (gsf) energy surface is known.

Let us return to Fig. 1, which shows a kinked dislocation with Burgers vector  $\vec{b}$  in a material with high  $W_{\rm PN}$ . The low energy dislocation line channels are chosen to lie parallel to the *x* axis and are separated by y=h. The shape of the kink is then described by y(x), with  $y(-\infty)=0$  and  $y(\infty)=h$ .  $W_{\rm PN}$  is periodic in *y* with period *h*. The DR formalism makes the assumption that the dislocation line energy  $\Gamma(y)$  is a simple sum of the intrinsic (unperturbed) line energy  $\Gamma_0$  and the PN energy, that is

$$\Gamma(y) = \Gamma_0 + W_{\rm PN}(y). \tag{1}$$

Equation (1) leads to the following Hamiltonian for the DR kink:

$$H = \int_{-\infty}^{\infty} \left[ \Gamma(y) \left\{ 1 + \left(\frac{dy}{dx}\right)^2 \right\}^{1/2} - \Gamma_0 \right] dx.$$
 (2)

In the limit  $dy/dx \ll 1$ , Eq. (2) can be rewritten as

$$H = \frac{1}{2} \Gamma_0 \int_{-\infty}^{\infty} \left(\frac{dy}{dx}\right)^2 dx + \int_{-\infty}^{\infty} W_{\rm PN}[y(x)] dx.$$
(3)

This Hamiltonian can be viewed as a functional of the distinct variables, y(x) and y' = dy/dx:

$$H = \int_{-\infty}^{\infty} F\{y(x), y'(x); x\} dx.$$
(4)

Euler's equation,

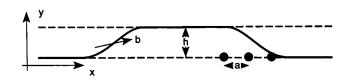


FIG. 1. A kink pair in a dislocation.

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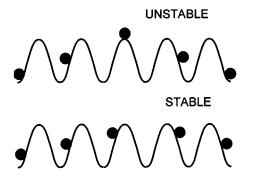


FIG. 2. Stable and unstable soliton configurations.

$$\frac{\partial F}{\partial y} - \frac{d}{dx} \frac{\partial F}{\partial y'} = 0, \qquad (5)$$

determines the kink shape y(x) which minimizes *H*. This can be written as

$$\Gamma_0 \frac{d^2 y}{dx^2} = \frac{dW_{\rm PN}}{dy}.$$
 (6)

Multiplying both sides of Eq. (6) by dy/dx and integrating yields the equation

$$\frac{\Gamma_0}{2} \left(\frac{dy}{dx}\right)^2 = W_{\rm PN}(y),\tag{7}$$

which shows that the approximation  $dy/dx \ll 1$  is equivalent to requiring that  $W_{\rm PN}/\Gamma_0 \ll 1$ .

Equations (2)–(7) describe a continuous mass kink, the energy of which is invariant to translation along the dislocation line. In order to determine the mobility of the kink in a crystal lattice, the discreteness of the lattice must be restored by converting Eq. (3) into a sum over atomic sites. If at the same time we set  $y = \zeta h$ , and x = na, where n is an integer and *a* is the repeat distance of atomic planes along the dislocation line (see Fig. 1), the discretized Hamiltonian becomes

$$\frac{H}{(\Gamma_0 h^2/a)} = \frac{1}{2} \sum_{n=-\infty}^{\infty} \left(\frac{d\zeta}{dn}\right)^2 + \frac{a^2}{\Gamma_0 h^2} \sum_{n=-\infty}^{\infty} W_{\rm PN}[h\zeta(n)].$$
(8)

As is known,<sup>11</sup> when the PN energy is sinusoidal, Eq. (8) is identical with the Hamiltonian of the Frenkel-Kontorowa (FK) model,<sup>12,13</sup> and Eq. (6) reduces to the sine-Gordon equation.

## **III. THE FRENKEL-KONTOROWA MODEL**

The Frenkel-Kontorowa (FK) model<sup>12,13</sup> is perhaps the simplest model of a dislocation, consisting of a string of atoms connected by springs and subjected to a periodic sinusoidal potential (see Fig. 2). It is also representative of solitons or domain walls in one- and two-dimensional lattices.<sup>14,15</sup> An accurate analytic solution is available for the key quantity, the pinning potential of the solitonlike defects in the chain. This energy, known as the Peierls potential  $E_P$ , <sup>16,17</sup> is calculated here by assuming that, as it moves in the lattice, the soliton profile remains undeformed. The solu-

tions for narrow and wide solitons are in excellent agreement with numerical results for relaxed-profile solitons,<sup>16,17</sup> demonstrating the validity of the assumption of a rigid dislocation profile.

The classical Hamiltonian for the FK model is

$$H = \frac{\kappa}{2} \sum_{n = -\infty}^{\infty} (y_{n+1} - y_n)^2 + \sum_{n = -\infty}^{\infty} W'(y_n), \qquad (9)$$

where  $y_n$  is the displacement of the *n*th particle and  $\kappa$  is the spring constant of the interparticle interaction. W' is the periodic potential, which in the classic FK model is sinusoidal with amplitude  $W_0$  and period *h*, as

$$W'(y) = \frac{W'_0}{2} \left( 1 - \cos \frac{2\pi y}{h} \right),$$
 (10)

where h, the period of the substrate energy, is the Burgers vector of the FK kink. Equations (9) and (10) can be combined and rewritten as

$$\frac{H}{\kappa h^2} = \frac{1}{2} \sum_{n=-\infty}^{\infty} (\zeta_{n+1} - \zeta_n)^2 + \frac{1}{4\lambda^2} \sum_{-\infty}^{\infty} (1 - \cos 2\pi \zeta_n),$$
(11)

where we define  $\zeta_n = y_n / h$  and

$$\lambda = \left(\frac{\kappa h^2}{2 W_0'}\right)^{1/2}.$$
 (12)

This dimensionless parameter measures the relative strength of the springs and the periodic restoring forces. The equilibrium configurations of the chain are obtained by solving for the set of difference equations

$$\zeta_{n+1} - 2\zeta_n + \zeta_{n-1} = \frac{\pi}{2\lambda^2} \operatorname{sin} 2\pi \zeta_n.$$
 (13)

If the variation of  $\zeta_n$  from particle to particle is small, Eq. (13) can be replaced by the familiar sine-Gordon equation,

$$\frac{d^2\zeta}{dn^2} = \frac{\pi}{2\lambda^2} \sin 2\pi\zeta.$$
(14)

Equations (6) and (14) are identical, as are Eqs. (8) and (11), provided that the PN energy  $W_{PN}$  is sinusoidal. All we need to do is substitute  $\kappa$  with  $\Gamma_0/a$ , and  $W'_0$  by  $aW_0$ , where  $W_0$  is the amplitude of the PN energy, so that

$$\lambda = \left(\frac{\Gamma_0 h^2}{2W_0 a^2}\right)^{1/2}.$$
(15)

The one-soliton solution to Eq. (14) is

$$\zeta(n-\alpha) = \frac{2}{\pi} \tan^{-1} e^{\pi(n-\alpha)/\lambda}, \qquad (16)$$

where  $\alpha$  is the location of the center of the soliton. The displacement  $\zeta$  is 0 for  $(n-\alpha) = -\infty$ ,  $\frac{1}{2}$  at  $(n=\alpha)$ , and 1 for  $(n-\alpha) = +\infty$ . From this equation it is clear that  $\lambda$  is the width of the domain wall, or soliton, in the chain measured in units of particle number.  $\lambda$  therefore measures the number of atomic planes over which the kink spreads along the *x* direction, so its width is given by  $\lambda a$ .

# IV. THE PEIERLS PINNING POTENTIAL

Equation (16) defines a continuous mass soliton with no Peierls pinning potential. To obtain  $E_P$  we have to restore discreteness to the chain. We first assume that, in an actual domain wall, the particle displacements follow the profile (16), which actually has been observed to be true to fairly good accuracy (see, for instance, Ref. 18). We make this assumption not only for the equilibrium stable position, but also for the soliton displaced by  $\alpha$ .  $E_P$  is obtained from the energy difference between the stable minimum energy configuration ( $\alpha = 1/2$ ) and the maximum energy saddle point configuration ( $\alpha = 0$ ). The two configurations are shown in Fig. 2.

We calculate the energy variation of the domain wall in two steps. We first consider the contribution of the periodic potential  $W_{per}$ , and then the elastic energy in the chain  $W_{elas}$ , both expressed in units of  $\kappa b^2$ .

(i) *The periodic potential contribution* to the chain energy is

$$W_{\text{per}} = \frac{1}{4\lambda^2} \sum_{n=-\infty}^{\infty} (1 - \cos 2\pi \zeta_n).$$
 (17)

Substituting Eq. (16), we get

$$W_{\text{per}}(\alpha) = \frac{1}{4\lambda^2} \sum_{n=-\infty}^{\infty} \left\{ 1 - \cos[4\tan^{-1}e^{\pi(n-\alpha)/\lambda}] \right\}.$$
(18)

Using the identities  $\cos^2 y = 1/(1 + \tan^2 y)$ , and  $\cos 4y = 8\cos^4 y - 8\cos^2 y + 1$ , Eq. (18) can be rewritten as

$$W_{\rm per}(\alpha) = \frac{1}{2\lambda^2} \sum_{n=-\infty}^{\infty} \frac{1}{\cosh^2 \pi (n-\alpha)/\lambda} .$$
 (19)

This is an even function of period unity, which has a Fourier series of the form

$$W_{\rm per}(\alpha) = \frac{a_0}{2} + \sum_{m=1}^{\infty} a_m \cos 2\pi m \alpha, \qquad (20)$$

where

$$a_{m} = 2 \int_{0}^{1} \sum_{n = -\infty}^{+\infty} \frac{1}{2l^{2}} \frac{1}{\cosh^{2} \pi (n - \alpha) / \lambda} \cos(2\pi m \alpha) d\alpha.$$
(21)

With the change of variable  $t = \alpha - n$ , this becomes

$$a_m = \frac{1}{\lambda^2} \int_{-\infty}^{+\infty} \frac{\cos 2\pi mt}{\cosh^2 \pi t/\lambda} dt,$$
 (22)

yielding the following Fourier series:

$$W_{\rm per}(\alpha) = \frac{1}{\lambda \pi} + \sum_{m=1}^{\infty} \frac{2m}{\sinh \pi m \lambda} \cos 2\pi m \alpha.$$
(23)

(ii) The elastic energy in the chain is given by

$$W_{\text{elas}} = \frac{1}{2} \sum_{n = -\infty}^{\infty} (\zeta_{n+1} - \zeta_n)^2.$$
 (24)

If we assume that  $(\zeta_{n+1} - \zeta_n)$  can be replaced by the leading term in the Taylor expansion of  $\zeta_n$ , which is what is done in the DR model,

$$W_{\text{elas}} = \frac{1}{2} \sum_{n=-\infty}^{\infty} \left( \frac{d\zeta_n}{dn} \right)^2.$$
 (25)

Integration of Eq. (16) gives

$$\left(\frac{d\zeta_n}{dn}\right)^2 = \frac{1}{2\lambda^2} (1 - \cos 2\pi \zeta_n), \qquad (26)$$

and hence  $W_{\text{elas}} = W_{\text{per}}$ , given in Eq. (17), or, within this approximation the total energy of the soliton  $W_T(\alpha) = W_{\text{elas}}(\alpha) + W_{\text{per}}(\alpha)$  is given by

$$W_T(\alpha) = \frac{2}{\lambda \pi} + \sum_{m=1}^{\infty} \frac{4m}{\sinh \pi m \lambda} \cos 2\pi m \alpha.$$
(27)

We see that the average energy of the soliton is  $2/(\lambda \pi)$ , the known continuum approximation value.<sup>13</sup>

The Peierls pinning potential  $E_p$  is the difference between the maximum and minimum energy configurations, so we have

$$E_p = 8 \sum_{m \text{ odd}}^{\infty} \frac{m}{\sinh \pi m \lambda}.$$
 (28)

This is a very rapidly converging series. Keeping only the leading term, which itself can be simplified, we find that

$$E_p = 16e^{-\pi\lambda}.$$
 (29)

The same exponential factor has been obtained previously by different means.<sup>19,20</sup> The predictions of Eq. (29) can be compared with a numerical calculation which has allowed relaxation of the chain as it is placed in the two configurations shown in Fig. 1.<sup>17</sup> As Table I shows, the agreement is good (correct order of magnitude) already for  $\lambda = 2$ , and convergence is rapid. In the same spirit, the second-order Peierls stress can be estimated to leading order, accurate to about 10%. This gives, recalling that the units used since Eq. (11) for the energy are  $\kappa h^2$ , or  $\Gamma_0 h^2/a$ ,

$$\sigma_{2P} = \frac{1}{h^2} \left( \frac{\partial W_T}{\partial \alpha} \right)_{\text{max}} = 16 \pi e^{-\pi \lambda} \kappa.$$
(30)

Interestingly this model predicts the same functional dependence of stress on width as does the Peierls-Nabarro model.<sup>21</sup>

For very narrow kinks ( $\lambda \leq 1$ ),  $E_p$  can be calculated using the observation that only a few particles will contribute to either  $W_{per}$  or  $W_{elas}$ . From Eq. (19), the leading contribution to  $E_p$  from  $W_{per}$  will be

$$W_{\rm per}(0) = \frac{1}{2\lambda^2} \frac{1}{\cosh^2 0} = \frac{1}{2\lambda^2}.$$
 (31)

To get the contribution from  $W_{\text{elas}}$ , we start from Eq. (24), substitute Eq. (16), and use the identity  $\tan^{-1}x - \tan^{-1}y = \tan^{-1}(x-y)/(1+xy)$ , valid for xy > -1, to obtain

TABLE I. Comparison of Peierls pinning potential values from numerical relaxation calculations (columns 2 and 6, from Ref. 17) with the predictions of Eq. (35) (column 3) and Eq. (29) (columns 4 and 7), all in units of  $\kappa h^2$  [for the Frenkel-Kontorowa (FK) model] or  $\Gamma_0 h^2/a$  (for the kink model).

λ	$E_p$	$1/(2\lambda^2) - 1/4$	$16\exp(-\pi\lambda)$	λ	$E_p$	$16\exp(-\pi\lambda)$	
0.5	1.76756	1.7500	3.33	6.0	$0.95528 \times 10^{-7}$	$1.042 \times 10^{-7}$	
1.0	0.30810	0.2500	0.691	7.0	$0.42462 \times 10^{-8}$	$0.450 \times 10^{-8}$	
1.5	$0.75965 \times 10^{-1}$	-	$1.44 \times 10^{-1}$	8.0	$0.18748 \times 10^{-9}$	$0.195 \times 10^{-9}$	
2.0	$0.18898 \times 10^{-1}$	-	$0.299 \times 10^{-1}$	9.0	$0.82391 \times 10^{-11}$	$0.841 \times 10^{-11}$	
2.5	$0.44179 \times 10^{-2}$	-	$0.621 \times 10^{-2}$	10.0	$0.36096 \times 10^{-12}$	$0.363 \times 10^{-12}$	
3.0	$0.98679 \times 10^{-3}$	-	$1.291 \times 10^{-3}$	11.0	$0.15807 \times 10^{-13}$	$0.157 \times 10^{-13}$	
4.0	$0.46564 \times 10^{-4}$	-	$0.558 \times 10^{-3}$	12.0	$0.722 \times 10^{-15}$	$0.680 \times 10^{-15}$	
5.0	$0.21271 \times 10^{-5}$	-	$0.241 \times 10^{-5}$				

$$W_{\text{elas}}(\alpha) = \frac{2}{\pi^2} \sum_{n=-\infty}^{+\infty} \left[ \tan^{-1} \frac{\sinh(\pi/2\lambda)}{\cosh(\pi/2\lambda) [1+2(n-\alpha)]} \right]^2.$$
(32)

For the unstable configuration ( $\alpha = 0$ ), in the limit  $\lambda \rightarrow 0$ , two terms will contribute significantly and equally, n = 0 and n = -1, to yield

$$W_{\text{elas}}(0) = 2\left(\frac{2}{\pi^2}\right) \left(\tan^{-1} \tanh\frac{\pi}{2\lambda}\right)^2 \approx \frac{1}{4}.$$
 (33)

For the stable configuration ( $\alpha = 1/2$ ), in the same limit, only one term will contribute significantly, n = 0, corresponding to the main stretched bond straddling the soliton core:

$$W_{\text{elas}}\left(\frac{1}{2}\right) = \frac{2}{\pi^2} \left(\tan^{-1}\sinh\frac{\pi}{2\lambda}\right)^2 \approx \frac{1}{2}.$$
 (34)

Or, the Peierls pinning potential  $E_p$  is given by

$$E_p = \frac{1}{2\lambda^2} - \frac{1}{4},\tag{35}$$

again in agreement with the numerical results of Ref. 17 as seen in Table I.

We can conclude from the above results that the Peierls potentials obtained with the assumptions of a rigid kink profile are in good agreement for all widths with the relaxation results. Equations (29) and (30) are expected to give good results for  $\lambda > 2$ . In these formulas the relative displacement of two neighboring particles is replaced by the first term in the Taylor series of the displacement.

This derivation neglects the entropic effects which are part of the thermodynamic free energy. Calculation of the entropy would require a knowledge of the vibrational modes in the stable and unstable configurations. The vibrational modes of the FK kinks have been studied earlier.<sup>22,23</sup> The entropy could then be obtained by an application of the transition-state theory of Vineyard,<sup>24</sup> as has been done recently on related defects.<sup>4,25</sup>

## V. APPLICATION TO KINK MIGRATION

In this section we examine the application of the FK model to kink migration. For the line energy  $\Gamma_0$  of the dislocations we will approximate the elastic expression for the self-energy of a dislocation  $Jb^2 \ln(R/b)$  (where *R* is the screening length) with the conventional value of  $Jb^2/2$  for metals. For silicon, which has a much lower dislocation density and therefore a much larger screening length, we adopt the value of  $Jb^2$  for the line energy.<sup>9</sup> For most purposes, we shall retain the assumption of the FK model that the PN energy is sinusoidal.  $\lambda$ , the kink width, is then given by Eq. (15), the kink migration energies  $E_M$  from Table I. For  $\lambda > 2$ ,  $E_M$  and the second-order PS  $\sigma_{2P}$  are given, respectively, by Eqs. (29) and (30).

We apply first the FK model to kinks in silicon, for which the  $\gamma$  surface is known,<sup>4</sup> and therefore a realistic PN energy can be calculated.<sup>5</sup> In addition, comparative atomistic work

TABLE II. The kink migration energies  $E_M$  and second-order Peierls stresses  $\sigma_{2P}$  for selected kinks in glide and shuffle dislocations in silicon, with a dislocation line along  $\langle 110 \rangle$  (see Sec. V). Shown in order of appearance are the Peierls energies  $W_0$ , obtained from the appropriate  $\gamma$  surface section (Refs. 4 and 5); *J*, the anisotropic elastic dislocation energy factors; *b*, the Burgers vectors; *h*, distances between equivalent dislocation line channels; *a*, distances between glide planes intersecting the dislocation line;  $\Gamma_0$ , dislocation line energies, equal in this case to  $Jb^2$  (Ref. 9);  $\lambda$ , kink widths given by Eq. (15);  $E_{nuc}$ , the kink pair nucleation energies from Ref. 9;  $E_M$ , the kink migration energy; the first-order Peierls stresses  $\sigma_{1P}$  from the PN model from Ref. 5; and the second-order Peierls stresses  $\sigma_{2P}$ .

	$(\text{eV Å}^{-1})$	J (eV Å <sup>-3</sup> )	b (Å)	<i>h</i> (Å)	a (Å)	$\frac{\Gamma_0}{(eV \text{ Å}^{-1})}$	λ	$E_{\rm nuc}$ (eV)	$E_M$ (eV)	$\sigma_{1P} \ (J)$	$\sigma_{2P} \ (J)$
30° glide partial	0.343	0.433	2.22	3.33	3.84	2.13	1.53	2.10	0.628	0.561	0.471
90° glide partial	0.323	0.536	2.22	3.33	3.84	2.64	1.75	-	0.509	0.450	0.358
Shuffle screw	0.148	0.400	3.84	3.33	3.84	5.90	3.87	2.39	$1.43 \times 10^{-3}$	0.103	$3.05 \times 10^{-4}$
Shuffle 60°	0.116	0.501	3.84	3.33	3.84	7.39	4.89	-	$7.27 \times 10^{-5}$	0.076	$1.55 \times 10^{-6}$

11 165

TABLE III. The kink migration energies  $E_M$  and second-order Peierls stresses  $\sigma_{2P}$  for kinks in (100) (110) dislocations in B2 NiAl. The quantities shown are the same as in Table II, but in this case Peierls energies  $W_0$ , first-order Peierls stresses  $\sigma_{1P}$ , and kink pair nucleation energies  $E_{nuc}$  are taken from Ref. 35, and  $\Gamma_0 = Jb^2/2$ ; and  $E_M$  and  $\sigma_{2P}$  are from Eqs. (29) and (30), respectively.

		$\frac{J}{(\text{eV Å}^{-3})}$						$E_{\rm nuc}$ (eV)	$E_M$ (eV)	$\sigma_{1P} \ (J)$	$\sigma_{2P} \ (J)$
$\langle 100 \rangle$ line	0.013	0.064	2.88	4.07	2.88	0.27	4.55	1.56	$1.0 \times 10^{-5}$	0.161	$3.1 \times 10^{-5}$
$\langle 110 \rangle$ line	0.013	0.050	2.88	2.88	4.07	0.21	2.00	0.87	0.03	0.280	5.9×10 <sup>-2</sup>
$\langle 111 \rangle$ line	0.0087	0.045	2.88	2.35	2.49	0.19	3.09	0.63	$1.10 \times 10^{-3}$	0.251	$3.2 \times 10^{-3}$

is available.<sup>6</sup> Silicon is also of particular interest because it is the simplest directionally bonded material, and because there is extensive experimental information on its deformation properties. The results are shown in Table II. For completeness, the Peierls energies  $W_0$  and first-order Peierls stresses  $\sigma_{1P}$  from Ref. 5 and the kink pair nucleation energies  $E_{nuc}$ from Ref. 9 are also included.  $\lambda$  for the glide partials is smaller than two. The predictions of Eqs. (29) and (30) for  $E_M$  and  $\sigma_{2P}$ , respectively, are therefore not the values to retain for these dislocations. Focusing, in this discussion, on the 30° partial with the smallest  $\lambda = 1.53$  (similar results apply to the 90° partial), we see, comparing columns 2 and 4 in Table I, that corrections of the order of a factor of 2 apply, reducing  $E_M$  from 0.804 eV to 0.430 eV. There is a similar effect for  $\sigma_{2P}$ , obtained by applying stress to the relaxed kinks until they move.  $\sigma_{2P}$  is found to decrease from 0.514J to 0.27J. There is another source of correction to consider, which in large part compensates for these decreases, the departure of the PN energy from a sinusoidal function. The impact of this change on the solutions for kink profiles in the FK model has been discussed by Peyrard and Remoissenet.<sup>26</sup> Repeating our calculations with their kink solutions, and including relaxation, we find that the deviations, which are present in silicon, cause  $E_M$  and  $\sigma_{2P}$  for the  $30^{\circ}$  partial to increase (from the relaxed sinusoidal case) to 0.628 eV and 0.471J, respectively. We intend to give more details on the effects of these approximations in a future publication.

The atomistic work of Bulatov, Yip, and Argon,<sup>27</sup> using an empirical potential model of Si, yields very similar values for the  $E_M$  of the 30° glide partial; 0.74 eV for the right kink, and 0.82 eV for the left kink. Considering that the gsf surface obtained in the Stillinger-Weber potential model of Si used in Ref. 27 has for the relevant  $\langle 211 \rangle$  direction, a maximum noticeably larger than in the corresponding local-density approximation (LDA) result,<sup>28</sup> we have good agreement between the two predictions.

Measurements indicate that the activation enthalpy for dislocation motion in silicon is about 2.2 eV.<sup>29</sup> Conventional thinking is that the kink migration energy may be responsible for as much as a half of this figure, 1.1-1.2 eV.<sup>30,31</sup> The values in Table II are consistent with the total activation energy for glide, bearing in mind that the calculation takes into account only the most important features of the mechanical aspects of kink migration, and does not consider possible electromechanical interaction terms. Our migration energies represent about a third of the nucleation energies for glide set dislocations, instead of a half as usually assumed.

The migration energies are negligible for shuffle set dislocations. The small values for these kinks are due to the large Burgers vector, which makes the dislocation stiffer and the kink wider.

Second, we consider kink migration in B2 ordered NiAl. In contrast with covalent materials, the kink migration energy in metals is expected to be small (Schottky, using a semiempirical elastic calculation, estimates a migration energy of order 0.5 K;<sup>32</sup> Duesbery, with an atomistic model, finds a migration stress of less than  $10^{-7}\mu$  in potassium<sup>33</sup>). The  $\gamma$  surface for NiAl has been calculated from first principles<sup>34</sup> and PN-DR model results are available.<sup>35</sup> Dislocations with the Burgers vector  $\langle 100 \rangle$  lying in  $\{110\}$  planes are responsible for plastic deformation except for some restricted orientations of the applied stress. For reasons which are not clearly understood, most observed dislocations have mixed character, with  $\langle 111 \rangle$  line directions rather than the  $\langle 100 \rangle$  screw or  $\langle 110 \rangle$  edge directions. We have calculated for these dislocations the kink widths  $\lambda$  and migration energies  $E_M$ , and the second order Peierls stresses  $\sigma_{2P}$ . These are shown in Table III, along with the PN energies  $W_0$ , the kink nucleation energies  $E_{nuc}$ , and the first-order Peierls stresses  $\sigma_{1P}$  from Ref. 35. It is clear from Table III that, although the  $\langle 100 \rangle$  screw dislocation has the smallest firstand second-order Peierls stresses, the  $\langle 110 \rangle$  edge and  $\langle 111 \rangle$ mixed dislocations have much smaller kink pair nucleation energies, and of these latter two the  $\langle 111 \rangle$  mixed dislocation has a much smaller second-order Peierls stress.

#### VI. SUMMARY AND CONCLUSIONS

Recent work by the authors on the estimation of dislocation properties in complex materials by the use of approximate mechanical models combined with first-principles generalized stacking fault energy surfaces has been extended to cover the calculation of dislocation kink migration energies and stresses. The results are compatible with experimental work on silicon and explain unusual features of plastic deformation in NiAl.

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