

# The Connes Embedding Problem: from operator algebras to groups and quantum information theory

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The theory of **von Neumann algebras**, introduced by J. von Neumann in 1929-1930 as *rings of operators*, was developed with F. Murray in a series of papers 1936-1943 as mathematical framework for quantum mechanics, where Heisenberg's uncertainty relation is expressed as noncommutativity of certain operators.

**Definition:** A **von Neumann algebra**  $M$  is subalgebra of  $\mathcal{B}(H)$ , the set of all bounded linear operators on a Hilbert space  $H$ , containing the unit, closed under taking adjoints:  $T \in M \implies T^* \in M$ , and closed in the strong operator topology (SOT):  $T_n \rightarrow T$  iff  $T_n\psi \rightarrow T\psi, \psi \in H$ .

**von Neumann's bicommutant theorem:**  $M \subseteq \mathcal{B}(H)$  is a vN alg iff  $M = M^*$  and  $M'' = M$ , where  $M' = \{S \in \mathcal{B}(H) : TS = ST \text{ for all } T \in M\}$ .

**von Neumann algebras  $\sim$  non-commutative measure spaces**

**Definition:** A sep vN alg  $M$  is **finite** if it has a faithful *tracial state*: pos lin functional  $\tau: M \rightarrow \mathbb{C}$  so that  $\tau(ST) = \tau(TS)$ ,  $S, T \in M$ ,  $\tau(I) = 1$ .

- ▶  $M$  is **type II<sub>1</sub>** if it is finite and has no finite dimens representations.
- ▶  $M$  is a **factor** if it has trivial center.

**Examples:** Let  $\Gamma$  **countable infinite group**. Consider its **left-regular representation**  $\lambda: \Gamma \rightarrow \mathcal{U}(\ell^2(\Gamma))$ ,  $\lambda(g)\delta_x = \delta_{gx}$ ,  $g, x \in \Gamma$ , where  $\{\delta_g\}_{g \in \Gamma}$  ONB in  $\ell^2(\Gamma)$ . Set

$$\mathcal{L}(\Gamma) = \overline{\text{span}(\lambda(\Gamma))}^{\text{SOT}} \subseteq \mathcal{B}(\ell^2(\Gamma)).$$

- ▶  $\mathcal{L}(\Gamma)$  finite vN alg with faithf. tracial state  $\tau(T) = \langle T\delta_e, \delta_e \rangle$ ,  $T \in \mathcal{L}(\Gamma)$ . It is a II<sub>1</sub>-factor iff  $\Gamma$  is icc (infinite conjugacy classes).

**Definition:** A vN alg  $M$  is *hyperfinit*e if  $\exists F_1 \subseteq F_2 \subseteq F_3 \subseteq \dots \subseteq M$  s.t. each  $F_j$  is *finite dimensional* and  $\bigcup_{j=1}^{\infty} F_j$  is SOT- dense in  $M$ .

- ▶ (Murray-von Neumann '40): There is **unique hyperfinite** type II<sub>1</sub> factor, denoted  $\mathcal{R}$ . (Can realize  $\mathcal{R}$  as  $\mathcal{L}(S_{\infty})$ ,  $S_{\infty}$  = finitely supported perm on  $\mathbb{N}$ .)

## Constructing finite von Neumann algebras from tracial $C^*$ -algebras:

Let  $A$  be a unital  $C^*$ -alg with a tracial state  $\tau$ , and let  $\pi_\tau: A \rightarrow B(H)$  be the **GNS repres** s.t.  $\tau(a) = \langle \pi_\tau(a)\xi, \xi \rangle$ , for some **cyclic unit vector**  $\xi \in H$ .

Then  $\tau$  extends to a *normal* faithful tracial state on  $\pi_\tau(A)'' \subseteq B(H)$ , given by  $\bar{\tau}(x) = \langle x\xi, \xi \rangle$ ,  $x \in \pi_\tau(A)''$ , so  $\pi_\tau(A)''$  is a **finite von Neumann alg.**

- $\pi_\tau(A)''$  is a **factor** iff  $\tau$  is an **extreme point** in  $T(A)$  (e.g., if  $\tau$  is the unique trace on  $A$ ); it's a  **$\text{II}_1$ -factor** if, moreover,  $\pi_\tau(A)''$  inf. dim.
- $\pi_\tau(A)''$  is the **hyperfinite**  $\text{II}_1$ -factor  $\mathcal{R}$  if  $A$  is (any) UHF-algebra with  $\tau =$  the unique trace on  $A$ . E.g.,  $A = \varinjlim (M_2(\mathbb{C}) \rightarrow M_4(\mathbb{C}) \rightarrow M_8(\mathbb{C}) \rightarrow \dots)$

**Proof:**  $A = \overline{\bigcup_{n=1}^{\infty} A_n}$  (norm-closure) with  $A_1 \subseteq A_2 \subseteq \dots \subseteq A$  finite dim subalgebras, so  $\pi_\tau(A)'' = \overline{\bigcup_{n=1}^{\infty} \pi(A_n)}$  (SOT-closure).

► More generally (and much deeper!):  $\pi_\tau(A)''$  is **hyperfinite** whenever  $A$  is **nuclear**, hence  $\pi_\tau(A)'' = \mathcal{R}$  if also  $\tau \in \partial_e T(A)$  and  $\pi_\tau(A)''$  inf. dim.

## Ultrapowers of finite von Neumann algebras

Let  $(M, \tau)$  a vN alg with n.f.t.s.  $\tau$ , and let  $\omega =$  free ultrafilter on  $\mathbb{N}$ . Set

$$I^\omega = \{ \{x_n\}_{n \geq 1} \in \ell^\infty(M) : \lim_{\omega} \|x_n\|_{2,\tau} = 0 \} \triangleleft \ell^\infty(M).$$

Set  $M^\omega = \ell^\infty(M)/I^\omega$ , and let  $\tau_\omega$  be the **tracial state** on  $M^\omega$  given by

$$\tau_\omega(\pi_\omega(\{x_n\}_{n \geq 1})) = \lim_{\omega} \tau(x_n), \quad \{x_n\}_{n \geq 1} \in \ell^\infty(M),$$

where  $\pi_\omega: \ell^\infty(M) \rightarrow M^\omega$  is the quotient mapping.

**Proposition:**  $M^\omega$  is a von Neumann algebra, and  $\tau_\omega$  is a n.f.t.s. on  $M^\omega$ . If  $M$  is a  $\|1\|_1$ -factor, then so is  $M^\omega$ .

This non-trivial fact follows from the two results below:

**Theorem:** Let  $(M, \tau)$  be a vN alg with n.f.t.s.  $\tau$ . Then the unit ball of  $M$  and  $\mathcal{U}(M)$  are both complete wrt  $\| \cdot \|_{2,\tau}$ , where  $\|x\|_{2,\tau} = \tau(x^*x)^{1/2}$ .

Conversely, if  $A$  is a **unital  $C^*$ -alg with faithful tracial state  $\tau$**  s.t. the unit ball in  $A$  is **complete** wrt  $\| \cdot \|_{2,\tau}$ , then  $A$  is a vN alg and  $\tau$  is **normal**.

**Lemma:** The unit ball of  $M^\omega$  is complete wrt  $\| \cdot \|_{2,\tau_\omega}$ .

► One can in a similar way, for any sequence  $\{k_n\}_{n \geq 1}$  of pos. integers, define the ultraproduct  $\prod^\omega M_{k_n}(\mathbb{C})$  of the seq  $(M_{k_n}(\mathbb{C}), \text{tr}_{k_n})$  by

$$\prod^\omega M_{k_n}(\mathbb{C}) := \prod_{n=1}^{\infty} M_{k_n} / I^\omega, \quad I^\omega = \left\{ \{a_n\}_{n \geq 1} \in \prod_{n=1}^{\infty} M_{k_n} : \lim_{\omega} \|a_n\|_2 = 0 \right\},$$

which again is a  $\text{II}_1$ -factor (if  $k_n \rightarrow \infty$ ).

► The theorem on the previous slide also implies the following useful fact:

**Bonus proposition:** Let  $(M, \tau_M)$  and  $(N, \tau_N)$  be two vN algs with n.f.t.s.  $\tau_M$  and  $\tau_N$ , resp. Then any unital **trace-preserving**  $*$ -hom  $\varphi: M \rightarrow N$  is automatically **normal** and  $\varphi(M)$  is a von Neumann algebra.



**The Connes Embedding Problem (CEP)** (Annals of Math, 1976):  
Does every separable finite vN alg  $M$  admit an embedding into

$$\mathcal{R}^\omega = \ell^\infty(\mathcal{R}) / \{(T_n) : \lim_{\omega} \|T_n\|_2 = 0\},$$

$\omega =$  free ultrafilter on  $\mathbb{N}$ ,  $\|T\|_2 = \tau_{\mathcal{R}}(T^*T)^{1/2}$ ,  $\tau_{\mathcal{R}} =$  trace on  $\mathcal{R}$ .

**CEP (Reformulation)**: Does every separable finite vN alg  $(M, \tau)$  admit an “approximate embedding” into a matrix algebra:  $\forall N, k \geq 1 \forall \varepsilon > 0$ ,  $\forall$  unitaries  $u_1, \dots, u_k \in M$ ,  $\exists n \geq 1 \exists$  unitaries  $v_1, \dots, v_k \in M_n(\mathbb{C})$  s.t.

$$\left| \tau(u_{i_1}^{\nu_1} u_{i_2}^{\nu_2} \cdots u_{i_r}^{\nu_r}) - \text{tr}_n(v_{i_1}^{\nu_1} v_{i_2}^{\nu_2} \cdots v_{i_r}^{\nu_r}) \right| < \varepsilon$$

$\forall r \geq 1 \forall i_1, \dots, i_r \in \{1, \dots, k\} \forall \nu_1, \dots, \nu_r \in \mathbb{Z}$  with  $\sum |\nu_j| \leq N$ .

**Theorem:** Let  $(M, \tau)$  be a separable finite vN alg with n.f.t.s.  $\tau$ , and let  $\omega$  be a free ultrafilter on  $\mathbb{N}$ . Then  $\exists$  a trace-preserving  $*$ -hom  $M \rightarrow \mathcal{R}^\omega$  (necessarily normal) iff  $\exists k_n \geq 1$ ,  $\exists$  maps  $\varphi_n: M \rightarrow M_{k_n}(\mathbb{C})$ ,  $n \geq 1$ , s.t.

- (i)  $\varphi_n(1_M) = 1_{k_n}$ ,
- (ii)  $\lim_{n \rightarrow \omega} \|\varphi_n(\alpha x + y) - \alpha \varphi_n(x) - \varphi_n(y)\|_2 = 0$ , for  $x, y \in M$ ,  $\alpha \in \mathbb{C}$ ,
- (iii)  $\lim_{n \rightarrow \omega} \|\varphi_n(xy) - \varphi_n(x)\varphi_n(y)\|_2 = 0$ , for  $x, y \in M$ ,
- (iv)  $\lim_{n \rightarrow \omega} \|\varphi_n(x^*) - \varphi_n(x)^*\|_2 = 0$ , for  $x \in M$ ,
- (v)  $\lim_{n \rightarrow \omega} \text{tr}_{k_n}(\varphi_n(x)) = \tau(x)$ , for  $x \in M$ ,
- (vi)  $\sup_n \|\varphi_n(x)\| < \infty$ , for  $x \in M$ ,

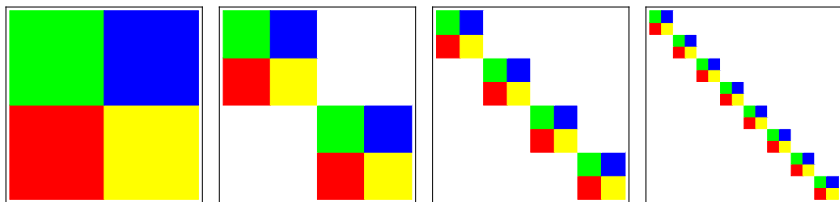
where  $\|a\|_2 = \text{tr}_{k_n}(a^*a)^{1/2}$ , for  $a \in M_{k_n}(\mathbb{C})$ .



## The Connes Embedding Problem:

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# $\mathcal{R}$ We Living in the Matrix?



*Roy Araiza and Rolando de Santiago*

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Two approximation properties for countable discrete groups  $\Gamma$ :

$\Gamma$  is **sofic** (after Gromov) if it “admits an approximate embedding into the symmetric groups  $S_n$ ”, i.e., if  $\forall F \in \Gamma \forall \varepsilon > 0 \exists n \geq 1 \exists \varphi: \Gamma \rightarrow S_n$  s.t.

- $d_F(\varphi(gh), \varphi(g)\varphi(h)) \leq \varepsilon$ , for all  $g, h \in F$ ,
- $d_F(\varphi(g), \varphi(h)) \geq 1 - \varepsilon$ , for all  $g \neq h \in F$ .

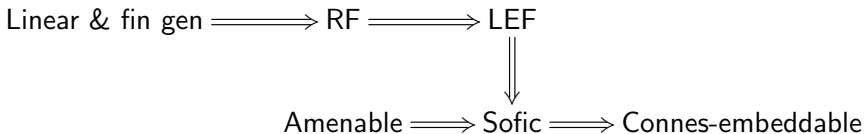
$d_F$  is the *Hamming metric*:

$$d_F(\alpha, \beta) = |\{j \in F : \alpha(j) \neq \beta(j)\}|/|F|, \quad \alpha, \beta \in \text{Sym}(F).$$

$\Gamma$  is **Connes-embeddable** if it “admits an approximate embedding into the unitary groups  $U(n)$  of  $M_n(\mathbb{C})$ ”, i.e., if  $\forall F \in \Gamma \forall \varepsilon > 0 \exists n \geq 1 \exists \varphi: \Gamma \rightarrow U(n)$  s.t.

- $\|\varphi(gh) - \varphi(g)\varphi(h)\|_2 \leq \varepsilon$ , for all  $g, h \in F$ ,
- $\|\varphi(g) - \varphi(h)\|_2 \geq \sqrt{2} - \varepsilon$ , for all  $g \neq h \in F$ .

► Not known if all groups are Connes-embeddable (or sofic).



RF = Residually finite (= separating family of homs. into finite groups)

LEF = Locally Embeddable into Finite groups.

**Theorem** (Radulescu):  $\Gamma$  is Connes-embeddable **iff**  $\mathcal{L}(\Gamma)$  embeds into  $\mathcal{R}^\omega$ .

► **Affirmative** answer to CEP implies that **all** groups are Connes-embeddable (but not necessarily sofic), while a **negative** answer does **not** imply the existence of a non-Connes-embeddable group, nor of a non-sofic one.

**Theorem** (Kirchberg '93): Let  $(M, \tau)$  separable finite von Neumann alg with normal faithful tracial state  $\tau$ . Then  $M$  admits a trace-preserving embedding into  $\mathcal{R}^\omega$  iff  $\forall \varepsilon > 0$  and every set  $u_1, \dots, u_n$  of unitaries in  $M$ ,  $\exists k \geq 1$  and unitaries  $v_1, \dots, v_n$  in  $M_k(\mathbb{C})$  :

$$|\tau(u_j^* u_i) - \text{tr}_k(v_j^* v_i)| < \varepsilon, \quad 1 \leq i, j \leq n.$$

(Dykema-Juschenko): Cons. sets of  $n \times n$  matrices of correlations,  $n \geq 2$ :

$$\begin{aligned} \mathcal{G}_{\text{matr}}(n) &= \bigcup_{k \geq 1} \left\{ [\text{tr}_k(u_j^* u_i)] : u_1, \dots, u_n \text{ unitaries in } M_k(\mathbb{C}) \right\}, \\ \cap \\ \mathcal{G}(n) &= \left\{ [\tau(u_j^* u_i)] : u_1, \dots, u_n \text{ unitaries in arbitrary finite vN alg } (M, \tau) \right\}. \end{aligned}$$

**Theorem** (Kirchberg '93): CEP pos iff  $\mathcal{G}(n) = \text{cl}(\mathcal{G}_{\text{matr}}(n))$ ,  $\forall n \geq 3$ .

► It's non-trivial that  $\mathcal{G}_{\text{matr}}(n)$  is not closed, when  $n \geq 3$  (Rørdam-M '19).

**Proof** of  $\Leftarrow$  in Kirchberg's thm: uses **Jordan homs** between  $C^*$ -algebras.

**Definition:** Let  $A, B$  be  $C^*$ -algs. A linear map  $\varphi: A \rightarrow B$  is a **Jordan  $*$ -homomorphism** if it is self-adjoint and  $\varphi(a \circ b) = \varphi(a) \circ \varphi(b)$ ,  $a, b \in A$ , where  $a \circ b := \frac{1}{2}(ab + ba)$ .

**Remarks/Definitions:** If  $a, b \in A$  are self-adj, then so is  $a \circ b$ . Restricting a Jordan  $*$ -hom  $\varphi: A \rightarrow B$  to  $A_{\text{sa}}$  gives an  $\mathbb{R}$ -linear map  $\varphi': A_{\text{sa}} \rightarrow B_{\text{sa}}$ , which preserves the Jordan product. We call such map a **Jordan hom**.

Conversely, any Jordan hom  $\varphi': A_{\text{sa}} \rightarrow B_{\text{sa}}$  can uniquely be extended to a  $\mathbb{C}$ -linear map  $\varphi: A \rightarrow B$ , and (can check)  $\varphi$  is a Jordan  $*$ -hom.

An **anti- $*$ -homomorphism**  $\varphi: A \rightarrow B$  is a linear self-adj map satisfying  $\varphi(ab) = \varphi(b)\varphi(a)$ , for all  $a, b \in A$  (i.e., an *anti- $*$ -hom*  $A \rightarrow B$  is a  $*$ -hom  $A \rightarrow B^{\text{op}}$ ).

Any  $*$ -hom and any anti- $*$ -hom is a Jordan  $*$ -hom. Størmer proved that **any Jordan  $*$ -hom between unital  $C^*$ -algs is a sum of a  $*$ -hom and an anti- $*$ -hom.**

**Theorem** (Størmer): Let  $A, B$  be unital  $C^*$ -algs with  $B \subseteq \mathcal{B}(H)$ , and  $\varphi: A \rightarrow B$  a unital Jordan  $*$ -hom. Then  $\exists p \in B'' \cap \varphi(A)'$  projection s.t.  $a \in A \mapsto \varphi(a)p \in \mathcal{B}(H)$  is a  $*$ -hom and  $a \in A \mapsto \varphi(a)(I_H - p) \in \mathcal{B}(H)$  is an anti- $*$ -hom.

**Definition:** Let  $A, B$  unital  $C^*$ -algs,  $\varphi: A \rightarrow B$  unital pos contraction. Set

$$\text{J-Mult}(\varphi) = \{a \in A_{\text{sa}} : \varphi(a^2) = \varphi(a)^2\}.$$

**Proposition:** Let  $A, B$  unital  $C^*$ -algs,  $\varphi: A \rightarrow B$  unital pos contraction. If  $a \in \text{J-Mult}(\varphi)$ , then  $\varphi(a \circ b) = \varphi(a) \circ \varphi(b)$ , for all  $b \in A_{\text{sa}}$ . Furthermore,  $\text{J-Mult}(\varphi)$  is a Jordan subalgebra of  $A_{\text{sa}}$  (= closed  $\mathbb{R}$ -linear subset of  $A_{\text{sa}}$ , closed under the Jordan product  $\circ$ ).

**Cor:** A self-adj lin  $\varphi: A \rightarrow B$  is Jordan  $*$ -hom iff  $\varphi(a^2) = \varphi(a)^2, \forall a \in A_{\text{sa}}$ .

**Lemma:** Let  $A, B$  unital  $C^*$ -algs,  $\varphi: A \rightarrow B$  unital pos contr. Assume that the linear span of projections is dense in  $A$  (e.g., if  $A$  is a vN alg). TFAE:

- (1)  $\varphi$  is a Jordan  $*$ -hom.
- (2)  $\varphi$  maps unitaries in  $A$  to unitaries in  $B$ .
- (3)  $\varphi$  maps projections in  $A$  to projections in  $B$ .

**Proof** of Kirchberg's theorem:

$\Leftarrow$ : May assume that the unitaries  $v_1, \dots, v_n$  belong to  $\mathcal{R}$  (as there exist unital trace-preserv embeddings  $M_k(\mathbb{C}) \rightarrow \mathcal{R}$ ,  $k \geq 1$ ).

Let  $u_1 := 1, u_2, u_3, \dots$   $\|\cdot\|_2$ -dense sequence of unitaries in  $M$  (by sep).

By hypothesis,  $\forall n \geq 1, \exists$  unitaries  $v_1^{(n)}, \dots, v_n^{(n)} \in \mathcal{R}$  with  $v_1^{(n)} = 1$  and

$$|\tau(u_j^* u_i) - \tau_{\mathcal{R}}((v_j^{(n)})^* v_i^{(n)})| < 1/n, \quad 1 \leq i, j \leq n.$$

Set  $v_j^{(n)} = 1$ , when  $j > n$ , and  $v_j = \pi_{\omega}(\{v_j^{(n)}\}_{n \geq 1}) \in \mathcal{R}^{\omega}$ , else. Then  $v_1 = 1, v_2, v_3, \dots$  are unitaries in  $\mathcal{R}^{\omega}$  satisfying

$$\tau_{\mathcal{R}^{\omega}}(v_j^* v_i) = \lim_{\omega} \tau_{\mathcal{R}}((v_j^{(n)})^* v_i^{(n)}) = \tau(u_j^* u_i), \quad i, j \geq 1. \quad (*)$$

Since  $u_1 = 1 = v_1$ , deduce  $\tau(u_i) = \tau_{\mathcal{R}^{\omega}}(v_i)$ ,  $i \geq 1$ , by taking  $j = 1$  in (\*).

View  $M, \mathcal{R}^{\omega}$  as **Euclidean spaces** wrt inner product given by  $\tau$ , resp,  $\tau_{\mathcal{R}^{\omega}}$ .

Then (\*) becomes  $\langle v_i, v_j \rangle_{\mathcal{R}^{\omega}} = \langle u_i, u_j \rangle_{\tau_{\mathcal{R}}}$ ,  $i, j \geq 1$ . For each fin supported seq  $(\alpha_j)_{j \geq 1}$  in  $\mathbb{C}$ , we get  $\|\sum_{j=1}^{\infty} \alpha_j u_j\|_2 = \|\sum_{j=1}^{\infty} \alpha_j v_j\|_2$ . Thus  $\exists$  **unique  $\|\cdot\|_2$ -isometric map**  $\varphi_0: \text{span}\{u_1, u_2, \dots\} \rightarrow \text{span}\{v_1, v_2, \dots\}$ , satisfying

$$\varphi_0(u_j) = v_j, \quad j \geq 1.$$

Since  $\forall r > 0$ , the closed balls  $(M)_r$ ,  $(\mathcal{R}^\omega)_r$  of  $M$ ,  $\mathcal{R}^\omega$  are  $\|\cdot\|_2$ -complete,  $\varphi_0$  extends to an  $\|\cdot\|_2$ -isometric linear map  $\varphi: M \rightarrow \mathcal{R}^\omega$ .

Note  $\varphi(1_M) = \varphi(u_1) = v_1 = 1_{\mathcal{R}^\omega}$ . As  $\mathcal{U}(M)$ ,  $\mathcal{U}(\mathcal{R}^\omega)$  are closed in  $\|\cdot\|_2$ , it follows that  $\varphi$  maps **unitaries in  $M$**  to **unitaries in  $\mathcal{R}^\omega$** . An application of the **Russo-Dye theorem** (the closed convex hull of unitaries in any unital  $C^*$ -alg is dense in its closed unit ball) gives

$$\|\varphi\| = \sup\{\|\varphi(u)\| : u \in \mathcal{U}(M)\} = 1 = \|\varphi(1_M)\|.$$

This shows  $\varphi$  **unital positive contraction**, hence **Jordan  $*$ -hom**, by Lemma. Moreover,  $\tau_{\mathcal{R}^\omega}(\varphi(x)) = \tau_M(x)$ , whenever  $x \in \{u_1, u_2, \dots\}$ . By continuity of traces, this holds  $\forall x \in \mathcal{U}(M)$ , hence  $\forall x \in M$ , so  $\varphi$  is **trace-preserving**.

By **Størmer's theorem**,  $\exists$  projection  $p \in \mathcal{R}^\omega \cap \varphi(M)'$  s.t. if  $\varphi_1(x) = \varphi(x)p$  and  $\varphi_2(x) = \varphi(x)(1-p)$ , for  $x \in M$ , then  $\varphi_1: M \rightarrow p\mathcal{R}^\omega p$  is a unital  $*$ -hom, while  $\varphi_2: M \rightarrow (1-p)\mathcal{R}^\omega(1-p)$  is a unital anti- $*$ -hom.

It is (well)-known that  $\mathcal{R}$  is isomorphic to its opposite vN alg  $\mathcal{R}^{\text{op}}$  (holds for any group vN alg). An isomorphism  $\mathcal{R} \rightarrow \mathcal{R}^{\text{op}}$  induces naturally an isomorph  $\rho: \mathcal{R}^\omega \rightarrow (\mathcal{R}^\omega)^{\text{op}}$ . Then the map  $x \in M \mapsto \varphi_1(x) + (\rho \circ \varphi_2)(x)$  defines a **unital trace-preserving  $*$ -hom  $M \rightarrow \mathcal{R}^\omega$** , as desired.  $\square$



To prove the reverse implication  $\Leftarrow$ , it suffices to show:

$\forall u_1, \dots, u_n \in \mathcal{U}(\mathcal{R}^\omega) \forall \varepsilon > 0, \exists k \geq 1 \exists v_1, \dots, v_n \in \mathcal{U}(M_k(\mathbb{C}))$  s.t.

$$|\tau(u_j^* u_i) - \text{tr}_k(v_j^* v_i)| < \varepsilon, \quad \forall 1 \leq i, j \leq n.$$

**Step 1:** Unitaries lift (to unitaries) from any quotient of a finite vN alg, so  $\exists w_1, \dots, w_n \in \mathcal{U}(\ell^\infty(\mathcal{R}))$ :  $\pi(w_j) = u_j$ ,  $\pi: \ell^\infty(\mathcal{R}) \rightarrow \mathcal{R}^\omega$  quotient map.

**Step 2:** Write  $w_j = \{w_j(m)\}_{m \geq 1}$  with  $w_j(m) \in \mathcal{U}(\mathcal{R})$ . Note that

$$\tau(u_j^* u_i) = \lim_{m \rightarrow \omega} \tau_{\mathcal{R}}(w_j(m)^* w_i(m)).$$

Hence  $\exists m \geq 1$  s.t.  $|\tau(u_j^* u_i) - \tau_{\mathcal{R}}(w_j(m)^* w_i(m))| < \varepsilon/2$ , for  $1 \leq i, j \leq n$ .

**Step 3:**  $\mathcal{R}$  hyperfinite, so  $\exists A_1 \subseteq A_2 \subseteq \dots \subseteq \mathcal{R}$  s.t.  $A_r \cong M_{k_r}(\mathbb{C})$  and  $\bigcup_{r \geq 1} A_r$  is SOT-dense in  $\mathcal{R}$ .

**Step 4:** By **Kaplanski's density thm**,  $\exists r \geq 1$  and  $v_1, \dots, v_n \in \mathcal{U}(A_r)$  s.t.  $\|w_j(m) - v_j\|_2 < \varepsilon/4$ , so  $|\tau_{\mathcal{R}}(w_j(m)^* w_i(m)) - \tau_{\mathcal{R}}(v_j^* v_i)| \leq \varepsilon/2$ .

**Step 5:** Set  $k = k_r$  and identify  $(A_r, \tau_{\mathcal{R}})$  with  $(M_k(\mathbb{C}), \text{tr}_k)$ . □