

# Structure and Classification of Real $C^*$ -algebras

(A brief survey and some open questions)

Andrew Dean

# Real $C^*$ -algebras

The natural definition for a real  $C^*$ -algebra is that it is a real Banach  $^*$ -algebra that is isomorphic to a norm closed self adjoint algebra of operators on a real Hilbert space. (By  $^*$ -algebra we mean that it has an involution  $^*$  that is real linear and satisfies  $(ab)^* = b^*a^*$ .) This is then analogous to the definition of complex  $C^*$ -algebra. One would then like to find an abstract set of axioms, like in the complex case. It turns out that one requires one more axiom: One must assume that  $x^*x + 1$  is always invertible in the unitisation. One can then form the complexification  $A \otimes \mathbb{C}$  of a real  $C^*$ -algebra  $A$  and extend the norm of  $A$  to a  $C^*$ -norm on  $A \otimes \mathbb{C}$ . On the complexification we then get a map  $\varphi : A \otimes \mathbb{C} \rightarrow A \otimes \mathbb{C}$  defined by  $\varphi(x + iy) = x^* + iy^*$  (note the  $+$ , which makes it different from just the adjoint).

This map satisfies  $\varphi(a + \lambda b) = \varphi(a) + \lambda\varphi(b)$  for all  $a, b \in A \otimes \mathbb{C}$  and  $\lambda \in \mathbb{C}$ ,  $\varphi(ab) = \varphi(b)\varphi(a)$ ,  $\varphi(a^*) = \varphi(a)^*$ , and  $\varphi^2 = \text{identity}$ . In words, it is an involutive  $*$ -antiautomorphism. We can identify  $A$  inside of  $A \otimes \mathbb{C}$  as  $\{a \in A \otimes \mathbb{C} \mid \varphi(a) = a^*\}$ . Conversely, if we are given a complex  $C^*$ -algebra, and an involutive  $*$ -antiautomorphism  $\varphi$  on it, the subset above is a real  $C^*$ -algebra whose complexification is the given one. We thus have two ways of viewing real  $C^*$ -algebras, as real Banach algebras themselves, or via involutive  $*$ -antiautomorphisms (henceforth called real structures) on complex  $C^*$ -algebras. We shall write  $(A, \tau)$  for a complex  $C^*$ -algebra with real structure  $\tau$ .

## Example: Group $C^*$ -algebras

If  $G$  is a finite group, we get a real structure on  $C^*(G)$  defined by  $\tau(\sum_{g \in G} a_g g) = \sum_{g \in G} a_g g^{-1}$ . The real form may give additional information. For example, for the dihedral group  $D_8$  and quaternion group  $Q_8$  we have  $C^*(D_8) \cong C^*(Q_8) \cong \mathbb{C}^4 \oplus M_2(\mathbb{C})$  but the real form for  $D_8$  is  $\mathbb{R}^4 \oplus M_2(\mathbb{R})$  and the real form for  $Q_8$  is  $\mathbb{R}^4 \oplus \mathbb{H}$ .

# Commutative Real $C^*$ -algebras

If  $A$  is a commutative real  $C^*$ -algebra, then there exists a locally compact Hausdorff space  $X$  and a homeomorphism  $\tau$  of  $X$  with  $\tau^2 = id$  such that

$$A \cong C_0(X, \tau) = \{f \in C_0(X) \mid f(\tau(x)) = \overline{f(x)} \text{ for all } x \in X\}.$$

# Finite Dimensional Real $C^*$ -algebras

The most familiar non-trivial real structure on a  $C^*$ -algebra is probably the transpose operation on  $M_n(\mathbb{C})$ . In this case,  $\{a \in A \otimes \mathbb{C} \mid \varphi(a) = a^*\}$  is just  $M_n(\mathbb{R})$ .

On the  $2 \times 2$  matrices there is another real structure, usually denoted with a  $\#$ :

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{\#} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

In this case,  $\{a \in A \otimes \mathbb{C} \mid \varphi(a) = a^*\}$  is  $\mathbb{H}$ . On  $M_{2n}(\mathbb{C})$ , we get an extension of  $\#$  by  $(x \otimes y)^{\#} = x^{tr} \otimes y^{\#}$ .

Up to unitary equivalence, these are the only real structures on  $M_q(\mathbb{C})$ . On  $M_q(\mathbb{C}) \oplus M_q(\mathbb{C})$  we also have  $\varphi(x, y) = (y^{tr}, x^{tr})$ . In this case,

$$\{a \in A \otimes \mathbb{C} \mid \varphi(a) = a^*\} = \{(x, \bar{x}) \mid x \in M_q(\mathbb{C})\} \cong M_q(\mathbb{C}).$$

Any finite dimensional real  $C^*$ -algebra is isomorphic to a finite direct sum of full matrix algebras, each of which is of the form  $M_n(\mathbb{C})$ ,  $M_n(\mathbb{R})$  or  $M_n(\mathbb{H})$ .

# Real AF Algebras

We say a real  $C^*$ -algebra is AF if it is an inductive limit of finite dimensional real  $C^*$ -algebras. Real AF algebras were classified by Giordano using an invariant consisting of  $K_0(A_\varphi)$ ,  $K_2(A_\varphi)$ ,  $K_4(A_\varphi)$ , and an order structure on  $K_0(A_\varphi) \oplus K_2(A_\varphi)$ , and by Stacey using a diagram

$$K_0(A_\varphi) \rightarrow K_0(A) \rightarrow K_0(A_\varphi \otimes \mathbb{H}).$$

The range of invariant problem for this invariant has also been solved. What other kinds of real structures a complex AF algebra can have is open.



# The Real Structure on the CAR Algebra

It was shown by Blackadar, in his paper on symmetries on the CAR algebra, that the K-theory of any real structure on the CAR algebra is completely determined by homological considerations. Stacey has since shown that up to isomorphism there is a unique real structure on the CAR algebra, so the obvious AF one is the only one. (Very different from the case of  $\mathbb{Z}_2$  actions.)

# Inductive limit type actions on AF algebras

Handelman and Rossmann showed that locally representable actions of a compact group  $G$  on an AF algebra  $A$  could be classified by  $K_0(A \rtimes_{\alpha} G)$  viewed as an ordered module over  $K_0(C^*(G))$ , with distinguished elements. An analogous classification for inductive limit type actions on real  $C^*$ -algebras can be given using as invariant a diagram:

$$K_0(A_{\varphi} \rtimes_{\alpha}^{\mathbb{R}} G) \rightarrow K_0(A \rtimes_{\alpha} G) \rightarrow K_0((A_{\varphi} \rtimes_{\alpha}^{\mathbb{R}} G) \otimes_{\mathbb{R}} \mathbb{H})$$

Elliott and Su showed that inductive limit type actions of  $\mathbb{Z}_2$  could be classified by K-theory invariants without the local representability assumption. This result also has a real AF analogue.

# Real Structures on Factors

It was shown by Størmer, and independently by Giordano and Jones, that there is a unique real structure, up to conjugacy, on the hyperfinite  $II_1$  factor  $R$ . There is also a unique real structure on the injective  $II_\infty$  factor. (This in spite of there being two distinct real structures on  $B(H)$ . Notice that  $R_{\mathbb{R}} \otimes \mathbb{H} \cong R_{\mathbb{R}}.$ )

# Purely Infinite Real $C^*$ -algebras

## Theorem (Boersema, Ruiz, Stacey)

*Two real stable Kirchberg algebras  $A$  and  $B$  are isomorphic if, and only if,  $K^{CRT}(A) \cong K^{CRT}(B)$ . Two real unital Kirchberg algebras  $A$  and  $B$  are isomorphic if, and only if,  $(K^{CRT}(A), [1_A]) \cong (K^{CRT}(B), [1_B])$ .*

(Here a real Kirchberg algebra is one whose complexification is a Kirchberg algebra)

# Real Structures on the Jiang-Su Algebra

## Theorem (P. J. Stacey)

*There is a real structure  $\rho$  on the Jiang-Su algebra  $Z$  such that  $K^{CRT}(Z_\rho) \cong K^{CRT}(\mathbb{R})$ , and  $Z_\rho \otimes Z_\rho \cong Z_\rho$ .*

It is not known if the real structure with these properties is unique.

# Real Interval Algebras

There are the following five basic real forms for interval algebras:

$$A(n, \mathbb{R}) = \{f \in C([0, 1], M_n(\mathbb{C})) \mid f(1) \in M_n(\mathbb{R})\}$$

$$A(n, \mathbb{H}) = \{f \in C([0, 1], M_{2n}(\mathbb{C})) \mid f(1) \in M_n(\mathbb{H})\}$$

$$M_n(C_{\mathbb{F}}[0, 1]) = M_n(\{f : [0, 1] \rightarrow \mathbb{F} \mid f \text{ is continuous}\})$$

for  $\mathbb{F} = \mathbb{R}, \mathbb{C}$ , or  $\mathbb{H}$ .

# Simple Real AI algebras

## Theorem (P. J. Stacey)

*Let  $A$  and  $B$  be two unital real  $C^*$ -algebras each arising as an inductive limit of finite direct sums of real interval algebras.*

*Suppose there exist isomorphisms  $\phi_T : T(B \otimes_{\mathbb{R}} \mathbb{C}) \rightarrow T(A \otimes_{\mathbb{R}} \mathbb{C})$  and  $(\phi_K^1, \phi_K^2, \phi_K^3)$  of*

*$(K_0(A), [1]) \rightarrow (K_0(A \otimes_{\mathbb{R}} \mathbb{C}), [1]) \rightarrow (K_0(A \otimes_{\mathbb{R}} \mathbb{H}), [1])$  with  $(K_0(B), [1]) \rightarrow (K_0(B \otimes_{\mathbb{R}} \mathbb{C}), [1]) \rightarrow (K_0(B \otimes_{\mathbb{R}} \mathbb{H}), [1])$  such that  $\phi_T$  is compatible with  $\phi_K^2$  in the usual way. Then there exists a  $*$ -isomorphism  $\varphi : A \rightarrow B$  giving rise to these maps on the invariant.*

# Cuntz Equivalence

## Definition

Let  $A$  be a  $C^*$ -algebra, either real or complex, and let  $a, b$  be positive elements of  $A$ . We say that  $a$  is Cuntz sub-equivalent to  $b$ , and write  $a \preceq b$  if there exists a sequence  $d_n \in A$  such that  $d_n b d_n^* \rightarrow a$ . We write  $a \sim b$  if  $a \preceq b$  and  $b \preceq a$ . Then  $\sim$  is an equivalence relation on the set of positive elements of  $A$ , called Cuntz equivalence.



# The Cuntz Semigroup

## Definition

Let  $A$  be a separable  $C^*$ -algebra, either real or complex. Let  $Cu(A)$  denote the set of Cuntz equivalence classes of positive elements of  $A \otimes_{\mathbb{R}} K_{\mathbb{R}}$ , where  $K_{\mathbb{R}}$  is the real  $C^*$ -algebra of compact operators on a separable real Hilbert space. Fix an isomorphism of  $K_{\mathbb{R}}$  with  $M_2(K_{\mathbb{R}})$ , and define addition on  $Cu(A)$  by  $[a] + [b] = [\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}]$  (this does not depend on the choice of isomorphism). Define a partial order on  $Cu(A)$  by  $[a] \leq [b]$  if, and only if,  $a \preceq b$  (this does not depend on choice of representatives). With these definitions,  $Cu(A)$  becomes a partially ordered abelian semigroup with neutral element.

# An Invariant for Nonsimple Real AI algebras

Given a unital real  $C^*$ -algebra  $A$ , our invariant, denoted  $Inv(A)$ , consists of the triple  $(Cu(A), [1]) \rightarrow (Cu(A \otimes_{\mathbb{R}} \mathbb{C}), [1]) \rightarrow (Cu(A \otimes_{\mathbb{R}} \mathbb{H}), [1])$  of Cuntz semigroups with distinguished elements, where the connecting maps are induced by the inclusions. A morphism of invariants  $\eta : Inv(A) \rightarrow Inv(B)$  consists of a triple  $(\eta_r, \eta_c, \eta_h)$  of unital homomorphisms of ordered abelian partial semigroups preserving suprema of increasing sequences, zero elements, and compact containment such that the following diagram commutes:

$$\begin{array}{ccccc} (Cu(A), [1]) & \longrightarrow & (Cu(A \otimes_{\mathbb{R}} \mathbb{C}), [1]) & \longrightarrow & (Cu(A \otimes_{\mathbb{R}} \mathbb{H}), [1]) \\ \downarrow \eta_r & & \downarrow \eta_c & & \downarrow \eta_h \\ (Cu(B), [1]) & \longrightarrow & (Cu(B \otimes_{\mathbb{R}} \mathbb{C}), [1]) & \longrightarrow & (Cu(B \otimes_{\mathbb{R}} \mathbb{H}), [1]). \end{array}$$

# Existence and Uniqueness for Interval Algebras

## Theorem (A.D. and Luis Santiago)

*Let  $A$  be a real interval algebra and let  $B$  be a unital real AI algebra. Then if  $\eta$  is a morphism of invariants from  $\text{Inv}(A)$  to  $\text{Inv}(B)$ , there exists a unital  $*$ -homomorphism  $\varphi : A \rightarrow B$  such that  $\eta = \text{Inv}(\varphi)$ .*

## Theorem (A.D. and Luis Santiago)

*Let  $A$  be a real interval algebra and let  $B$  be a real AI algebra. If  $\varphi, \psi : A \rightarrow B$  are two unital  $*$ -homomorphisms with  $\text{Inv}(\varphi) = \text{Inv}(\psi)$ , then  $\varphi$  and  $\psi$  are approximately unitarily equivalent (via unitaries in the real  $C^*$ -algebra  $B$ ).*

# Classification of Real AI Algebras

## Theorem (A.D. and Luis Santiago)

*Let  $A$  and  $B$  be unital real AI algebras. Then if  $(\eta_r, \eta_c, \eta_h) : \text{Inv}(A) \rightarrow \text{Inv}(B)$  is a morphism of invariants, there exists a unital  $*$ -homomorphism  $\varphi : A \rightarrow B$  such that  $\text{Cu}(\varphi) = \eta_r$ ,  $\text{Cu}(\varphi \otimes_{\mathbb{R}} \text{id}_{\mathbb{C}}) = \eta_c$ , and  $\text{Cu}(\varphi \otimes_{\mathbb{R}} \text{id}_{\mathbb{H}}) = \eta_h$ . Moreover, if  $\varphi, \psi : A \rightarrow B$  are two unital  $*$ -homomorphisms with  $\text{Inv}(\varphi) = \text{Inv}(\psi)$ , then  $\varphi$  and  $\psi$  are approximately unitarily equivalent.*

# Stable Rank for Real $C^*$ -Algebras

For the real interval algebras we have  $tsr(A(n, \mathbb{R})) = tsr(A(n, \mathbb{H})) = tst(M_n(C_{\mathbb{C}}[0, 1])) = tsr(M_n(C_{\mathbb{H}}[0, 1])) = 1$ , but  $tsr((M_n(C_{\mathbb{R}}[0, 1]))) = 2$ . In the commutative case, we have the familiar formulas

$$tsr(C_{\mathbb{C}}(X)) = \lfloor \dim(X)/2 \rfloor + 1$$

and

$$tsr(C_{\mathbb{R}}(X)) = \dim(X) + 1.$$

Question: What pairs  $(n, m)$  arise as  $(tsr(A), tsr(A \otimes \mathbb{C}))$  for a simple real  $C^*$ -algebra  $A$ ?

# References

- [1] J. L. Boersema, *Real  $C^*$ -algebras, united  $K$ -theory, and the Künneth formula*, *K-Theory* (4) **26** (2002), 345–402.
- [2] J. L. Boersema, *Real  $C^*$ -algebras, united  $KK$ -theory, and the universal coefficient theorem*, *K-Theory* (2) **33** (2004), 107–149.
- [3] J. L. Boersema, T. A. Loring,  *$K$ -Theory for real  $C^*$ -algebras via unitary elements with symmetries*, *New York J. Math.* **22** (2016) 1139–1220.
- [4] J. L. Boersema, E. Ruiz, P. J. Stacey, *The classification of real purely infinite simple  $C^*$ -algebras* *Doc. Math.* **16** (2011), 619–655.

- [5] A. K. Bousfield, *A classification of  $K$ -local spectra*, J. Pure and App. Algebra **66** (1990), 121–163.
- [6] A. J. Dean, *Classification of actions of compact groups on real approximately finite dimensional  $C^*$ -algebras*, Houston J. of Math. (4) **42** (2016), 1227–1243.
- [7] A. J. Dean, *Classification of inductive limits of actions of  $Z_2$  on real AF  $C^*$ -algebras*, J. Ramanujan Math. Soc. (2) **30** (2015), 161–178.
- [8] A. J. Dean, D. Kucerovsky, and A. Sarraf, *On the classification of certain inductive limits of real circle algebras*, New York J. Math. **22** (2016), 1393–1438.

- [9] A. J. Dean and Luis Santiago Moreno, *Classification of real approximate interval  $C^*$ -algebras*, to appear.
- [10] T. Giordanno, *A classification of approximately finite real  $C^*$ -algebras* J. Reine Angew. Math. **385** (1988), 161–194.
- [11] K. R. Goodearl and D. E. Handelman, *Classification of ring and  $C^*$ -algebra direct limits of finite dimensional semi-simple real algebras*, Memoirs Amer. Math. Soc. **69** (1987) # 372, 147pp.
- [12] T. Schick, *Real versus complex  $K$ -theory using Kasparov's bivariant  $KK$ -theory*, Algebraic and Geometric Topology, **4** (2004) 333–346.



- [17] P. J. Stacey, *Real structure in direct limits of finite dimensional  $C^*$ -algebras*, J. London Math. Soc. (2) **35** (1987), 339–352.
- [18] P. J. Stacey, *A classification result for simple real approximate interval algebras*, New York J. Math. **10** (2004), 209–229.
- [19] P. J. Stacey, (appendix by J.L. Boersema, N.C. Phillips) *Antisymmetries of the CAR algebra*, Trans. Am. Math. Soc. (12) **363** (2011), 6439–6452.
- [20] P.J. Stacey, *A real Jiang-Su algebra*, Münster J. Math. **10** (2017), no. 2, 383–407.