

Primary processes underlying the photostability of isolated DNA bases: Adenine

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The UV chromophores in DNA are the nucleic bases themselves, and it is their photophysics and photochemistry that govern the intrinsic photostability of DNA. Because stability is related to the conversion of dangerous electronic to less-dangerous vibrational energy, we study ultrafast electronic relaxation processes in the DNA base adenine. We excite adenine, isolated in a molecular beam, to its $\pi\pi^*$ state and follow its relaxation dynamics using femtosecond time-resolved photoelectron spectroscopy. To discern which processes are important on which timescales, we compare adenine with 9-methyl adenine. Methylation blocks the site of the much-discussed $\pi\sigma^*$ state that had been thought, until now, minor. Time-resolved photoelectron spectroscopy reveals that, although adenine and 9-methyl adenine show almost identical timescales for the processes involved, the decay pathways are quite different. Importantly, we confirm that in adenine at 267-nm excitation, the $\pi\sigma^*$ state plays a major role. We discuss these results in the context of recent experimental and theoretical studies on adenine, proposing a model that accounts for all known results, and consider the relationship between these studies and electron-induced damage in DNA.

dynamics | photochemistry

How did nature protect the genetic code from damage by harmful UV radiation? Presumably, DNA itself must have inherent protection mechanisms that quickly convert dangerous electronic excitation into less-dangerous vibrational energy that subsequently cools rapidly in solution. Unfortunately, the details of these mechanisms remain obscure (1). The main UV chromophores in DNA are the nucleotide bases themselves, and therefore it is their primary photophysics and interactions, both long- and short-range, which underlie DNA photostability. The isolated DNA bases are small enough to attempt detailed quantum chemical calculations, and considerable effort has been devoted to this area (for a recent review, see ref. 1). Focusing on interactions, a study using a model of an isolated DNA base pair shed new light on possible electronic relaxation mechanisms (2). Recently, it was argued that excimer formation due to base stacking could be a major relaxation channel for the double helix in solution (3). Despite this, even the primary photophysical dynamics of a single nucleic base remains controversial and unresolved (1). Adenine is a prominent example. The observed electronic relaxation timescales of isolated adenine do not fit well with prevailing models, which often are based on the proximity effect of close-lying $n\pi^*$ and $\pi\pi^*$ electronic states within the molecule (4). Unless stated otherwise, all excited states discussed here are of singlet character.

In the gas phase, the 9H tautomer of isolated adenine (shown in Fig. 1) is the lowest-energy and most abundant form (1, 5), and therefore gas-phase experiments have focused on this structure. Studies of the UV absorption spectrum showed that the 252-nm band is predominantly a transition to an optically bright $\pi\pi^*$ state (6, 7). However, very close in energy lie at least one other much darker $\pi\pi^*$ state, the optically dark $n\pi^*$ state, and a $\pi\sigma^*$ state that is discussed in more detail below (1, 4, 8–10). A widely accepted mechanism for adenine electronic relaxation involves two sequential steps: $\pi\pi^* \rightarrow n\pi^*$ followed by $n\pi^* \rightarrow S_0$ (ground

state) internal conversion (1). A much-discussed mechanism involves a $\pi\sigma^*$ state centered at the N9–H position[¶] (where the sugar attaches in adenosine; refs. 11 and 12). Large-amplitude motion of this hydrogen atom potentially leads to a very efficient relaxation channel. Recent calculations revealed yet another electronic relaxation channel involving a conical intersection of the $\pi\pi^*$ state directly with the ground state at a “ring-puckering” geometry on the six-membered ring (10, 13–15). The relative importance of these various channels has been a matter of debate and is the subject of the present contribution.

Optically dark repulsive $\pi\sigma^*$ states are a common feature of heteroatom-containing organics (11, 16) and have a conical intersection with the ground state, promoting fast radiationless transitions that can dominate their photochemistry. Unfortunately, $\pi\sigma^*$ states are difficult to detect spectroscopically. Nevertheless, indirect evidence of $\pi\sigma^*$ state participation has been obtained from H-atom loss experiments. Hydrogen atom detection is highly sensitive and can reveal even minor H-atom loss channels. The observation of fast hydrogen atoms after UV excitation of adenine is a compelling argument for the $\pi\sigma^*$ state (17, 18): fast H atoms result from an excited-state potential that is repulsive in the N9–H coordinate. A comparison of the H-atom loss signals for adenine and 9-methyl adenine confirmed that the observed hydrogen atoms preferentially emit from the azine position (N9H). Although this shows that a $\pi\sigma^*$ channel exists, it may play only a minor role, because relative quantum yields remain unknown. To determine the global importance of the $\pi\sigma^*$ channel, a comparison of the primary photophysics of adenine with 9-methyl adenine will be useful, because the latter lacks a $\pi\sigma^*$ channel at the excitation energies of concern here.

A time-resolved ion-yield study comparing adenine with 9-methyl adenine (19) shed new light on this issue. The (gas phase) absorption spectra, photoelectron spectra, and calculated geometries for adenine and 9-methyl adenine are all very similar. Methylation, arguably, changes little but should lead to longer lifetimes in 9-methyl adenine compared with adenine, because of the absence of the $\pi\sigma^*$ channel in the former. Indeed, quantum calculations suggest there is no conical intersection between the $\pi\sigma^*$ and ground states in 9-methyl adenine (20). The observed 1-ps time constant in adenine may result from vibronic coupling of the $\pi\pi^*$ and $n\pi^*$ states and a conical intersection of the $n\pi^*$ and ground states (19, 21). A more recent time-resolved ion yield study found two time constants of 0.1 and 1 ps (22), the faster being attributed to relaxation within the $\pi\pi^*$ state (22). Unfortunately, the $\pi\pi^*/n\pi^*$ proximity effect explains neither the methylation nor solvation effects (for details, see ref. 1), and many theoretical studies have sought to explain the discrepancies (4, 9, 10, 13, 15, 20, 23–26). Interestingly, these time-resolved ion

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Abbreviations: TRPES, time-resolved photoelectron spectroscopy; FC, Franck–Condon.

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[¶]Unless stated otherwise, $\pi\sigma^*$ herein always refers to the $\pi\sigma^*$ state centered at the N9–H position.

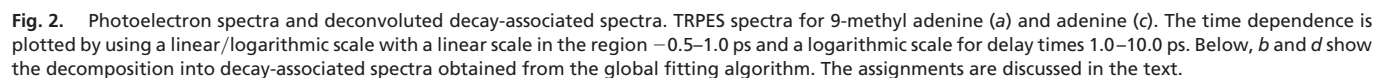
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ulate on the relationship between these results and the observed dissociative electron attachment of low-energy (<3 eV; $1 \text{ eV} = 1.602 \times 10^{-19} \text{ J}$) electrons to nucleobases and DNA. The ultrafast $\pi\pi^* \rightarrow \pi\sigma^*$ relaxation channel may help to explain the observed dominance of sugar-phosphate C—O bond rupture in electron-damaged DNA.

Our molecular-beam TRPES spectra of isolated adenine and 9-methyl adenine, using a femtosecond 267-nm pump and a 200-nm probe, are presented in Fig. 2 and are discussed below. Further experimental details are discussed in supporting information, which is published on the PNAS web site.

In 9-methyl adenine, a broad spectral feature that covers the 7.5- to 10.8-eV electron-binding energy (E_b) range is seen. This spectrum, peaking toward 10.8 eV, decays quickly and, beyond 500 fs, transforms into a second spectral feature spanning the



8.5- to 10.8-eV (E_b) range. This second spectrum grows smoothly between 8.5 and 9.6 eV and is flat between 9.6 and 10.8 eV. This feature decays more slowly, in ≈ 3 ps. Beyond ≈ 6 ps, no remaining photoelectron signal was observed. Global fitting algorithms determined that two exponential time constants, $\tau_1 = 70 \text{ fs} \pm 25 \text{ fs}$ and $\tau_2 = 1.1 \text{ ps} \pm 0.11 \text{ ps}$, were needed to accurately describe these data (further details on the fitting procedure can be found in supporting information). The decay-associated spectra obtained from the fitting algorithm are shown in Fig. 2*b*. The spectrum of the fast (70-fs) component has two features, at 7.7- to 9.3-eV E_b and at >9.8 -eV E_b . The spectrum of the slower (1.1-ps) component begins near 8.8-eV E_b , rising between 8.8 and 9.5 eV, and is flat in the 9.5- to 10.8-eV E_b range.

As in 9-methyl adenine, the TRPES spectrum of adenine (Fig. 2*c*) exhibits a broad feature ranging from 7.5 to 10.8 eV and peaking toward 10.8-eV E_b . There appear to be shoulders ≈ 9.2 - and 9.8-eV E_b . Beyond 500 fs, this evolves into a second spectral feature that covers the 8.5- to 10.8-eV E_b range. Again, this spectrum rises smoothly between 8.5 and 9.6 eV and is flat between 9.6- and 10.8-eV E_b . Once again, this feature decays in ≈ 3 ps. The global fitting algorithm yields $\tau_1 = 40 \pm 20 \text{ fs}$ and $\tau_2 = 1.2 \pm 0.18 \text{ ps}$. The decay-associated spectra are shown in Fig. 2*d*.

In a previous study on adenine, we reported three time constants: $<50 \text{ fs}$, 750 fs, and a nanosecond component at 267-nm excitation (27, 28). The adenine data presented here are in good basic agreement with these earlier results, but, because of much higher signal-to-noise ratios, we are now able to precisely fit the data using only two time constants. For the fastest process, we determined a time constant of 40 fs. Importantly, only a single time constant of $\tau_2 = 1.2 \text{ ps}$ is required to describe the slower decay rate.

Methylation, it was argued, should make the N9-H $\pi\sigma^*$ channel inaccessible and therefore considerably slow down the dynamics in 9-methyl adenine. Earlier studies comparing adenine with 9-methyl adenine (19, 22) determined time constants from integrated ion yield data, finding no differences between the two molecules. At first glance, the present results appear to confirm this finding, with the extracted time constants for adenine (40 fs and 1.2 ps) and 9-methyl adenine (70 fs and 1.1 ps) being the same within our confidence intervals. Central to the present paper is that, although the time constants for adenine and 9-methyl adenine are similar, the associated photoelectron spectra reveal important differences that are obscured in ion-yield measurements. As can be seen from the raw data in Fig. 2*a* and *c* and especially from the decay-associated spectra in Fig. 2*b* and *d*, the overall decay of adenine is dominated by the faster process, but in 9-methyl adenine, the fast and slow processes have similar amplitudes. Importantly, the decay-associated spectra of the fast component are clearly different in the two molecules, with adenine having significant amplitude in the 9.3- to 9.8-eV E_b region, where 9-methyl adenine exhibits a gap.

Insight into the differences between 9-methyl adenine and adenine may be obtained from Fig. 3. We call the electronic states of the cation D_0 , D_1 , D_2 , ... and label them according to which electron is removed (e.g., π^{-1} , n^{-1}). We compare the associated spectrum of the fast component in 9-methyl adenine (70 fs; dashed line in Fig. 3*a*) with calculated Franck-Condon (FC) structures for the $\pi\pi^* \rightarrow D_0(\pi^{-1}) + e^-$ (solid line) and $\pi\pi^* \rightarrow D_2(\pi^{-1}) + e^-$ (dotted line) ionizing transitions. The associated spectrum of the 70-fs time constant represents the (transient) photoelectron spectrum of the initially populated state. The two separated peaks agree well with the FC calculations. Note that the FC structure has been calculated from the zero-point level of the excited singlet electronic state. Incorporating the excess energy of the 267-nm photon will lead to additional broadening of the FC structures. In the 9.3- to 9.8-eV E_b range, we might expect to see contributions from the $n\pi^* \rightarrow D_1(n^{-1}) + e^-$ transition. Applying a Koopmans' corre-

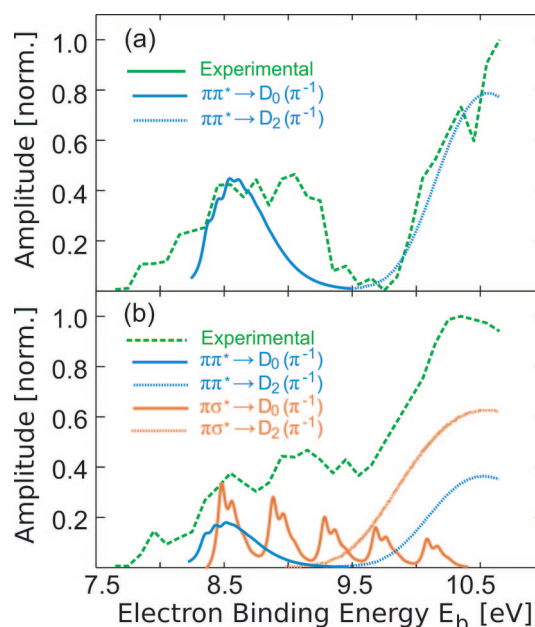


Fig. 3. Decay-associated spectrum of the initially populated state compared with calculated FC spectra for (a) 9-methyl adenine and (b) adenine. Because of the excess energy at 267-nm excitation, the FC spectra should be broader than shown, especially for the diffuse $\pi\sigma^*$ state. The $\pi\sigma^*$ channel fills in the FC gap in adenine; its absence leaves a gap in 9-methyl adenine.

lation analysis (31), we expect that the $\pi\pi^*$ state should preferentially ionize into a π^{-1} cation state and, similarly, that the $n\pi^*$ state should preferentially ionize into a n^{-1} cation state. Because we do not see amplitude in the D_1 energy range, we conclude that the initially excited state is predominantly $\pi\pi^*$, with little $n\pi^*$ character.

In adenine, calculations (11, 16) suggest that the $\pi\sigma^*$ channel is rapidly populated from the initial $\pi\pi^*$ state. In this case, we expect that the associated spectrum of the 40-fs component in adenine contains an additional contribution beyond the $\pi\pi^* \rightarrow D_0(\pi^{-1}) + e^-$ (blue solid line in Fig. 3*b*) and $\pi\pi^* \rightarrow D_2(\pi^{-1}) + e^-$ (blue dotted line) transitions seen in 9-methyl adenine. Again, the excess energy of the 267-nm photon has not been taken into account and would lead to broadened FC spectra, especially for the $\pi\sigma^* \rightarrow D_0(\pi^{-1}) + e^-$ transition. Large-amplitude H-atom motion should smear out the sharp peaks, leading to a broad spectral signature. The experimentally observed spectrum shows distinctly nonzero amplitude in the energy region 9.3 to 9.8 eV. In adenine, this region is filled from the left by transitions due to $\pi\sigma^* \rightarrow D_0(\pi^{-1}) + e^-$ and from the right by $\pi\sigma^* \rightarrow D_2(\pi^{-1}) + e^-$. These calculated FC structures provide strong evidence that the $\pi\sigma^*$ is present in adenine and absent in 9-methyl adenine. Accordingly, adenine has two fast relaxation channels from the $\pi\pi^*$ state, whereas 9-methyl adenine has only one. This is consistent with our observation that, despite the large error bars, the extracted time constants seem faster for adenine (40 fs) than for 9-methyl adenine (70 fs). [Experiments in solution also found slightly faster dynamics for adenine than for 9-methyl adenine (33).] Assuming this difference to be due to the $\pi\sigma^*$ channel operating only in adenine, we can use the $\pi\pi^*$ lifetimes in a simple kinetic model to crudely estimate the lifetime of the $\pi\sigma^*$ state as being $\approx 90 \text{ fs}$.

We now consider the global importance of the $\pi\sigma^*$ channel in isolated adenine. To compare the relative amplitudes of competing channels, we scaled the data in Fig. 2*b* and *d* such that the time-integrated spectra are normalized to unit ionization yield for each molecule. We see that the relative amplitude of the

involved in both cases. The situation is of course more complex for DNA. The present work shows that attachment of a methyl group at the N9 position of adenine effectively removes the N9–H $\pi\sigma^*$ state. Inside DNA, however, adenine is incorporated via a sugar group that attaches at the N9 position. The sugar acts as an electron-withdrawing group that might lower the $\pi\sigma^*$ state. This could be tested in future TPRES experiments by attaching electron-withdrawing substituents to adenine at the N9 position. Work on low-energy electron attachment suggests that electrons attach either to the phosphate P = O π^* orbital or directly to a π^* orbital of the nucleobase (39, 43). Theoretical considerations show that electron attachment to a π^* orbital at the nucleobase can lead to rupture of the C–O bond between the sugar and the phosphate (39, 44–46). We therefore speculate that an electron attached to the π^* orbital of adenine couples rapidly and efficiently to σ^* at the N9 position in a manner similar to that demonstrated in the present communication for the case of photoexcitation. Subsequent steps involving through-bond electron transfer to the base-sugar σ^* , and the sugar's various σ^* orbitals lead eventually to the electron being localized at the sugar-phosphate C–O σ^* orbital, where bond cleavage may occur (47).

In conclusion, we have used TRPES to study the primary electronic relaxation processes in the isolated DNA base adenine and its 9-methyl analogue. By comparing our experimental results with calculated FC progressions for each molecule, we confirmed that the $\pi\sigma^*$ state plays a major role in the deactivation dynamics of adenine. These fast processes are a key to the inherent photostability of DNA itself. The ability of TRPES to observe both bright and dark states and to provide dispersed (photoelectron) spectra of the excited configurations involved was key to determining the rate and yields of the processes involved. We expect TRPES studies to shed new light on the fast dynamics of a broad range of biologically relevant molecules.

Materials and Methods

Experimental. Adenine was purchased from Sigma-Aldrich, and 9-methyl adenine was purchased from Fischer Scientific. All samples were used without further purification.

The TRPES spectra were collected in a magnetic bottle spectrometer (for details, see refs. 28 and 48). The samples were brought into the gas phase by using a high-temperature glass nozzle with an orifice of 500- μm diameter and by heating the whole assembly to 180–230°C. Preheated argon at a stagnation pressure of 100–120 torr (1 torr = 133 Pa) was used as carrier gas for the sublimed sample molecules. No signs of cluster formation were observed.

The laser system consists of a Ti:sapphire oscillator and regenerative amplifier, yielding ≈ 2.7 mJ at a central wavelength

of 800 nm. The pump (267 nm, ≈ 1 μJ) and probe (200 nm, ≈ 0.3 μJ) pulses were produced by generating the third and fourth harmonic of the 800-nm output. The two pulses were combined on a dichroic mirror and focused (f/80) into the interaction region using a concave aluminum mirror. The pump-probe crosscorrelation was ≈ 160 fs, measured by nonresonant two-color multiphoton ionization of both NO and NH₃.

TRPES were recorded in the -1 - to 10-ps range by using 5×10^5 laser shots per time step. Dynamic background subtraction of one-laser signals was implemented. The time of flight to energy calibration was obtained by using photoelectron spectra from NO. Time constants were extracted from the data by using a global fitting algorithm (Levenberg–Marquardt). Confidence intervals for time constants obtained have been estimated by using an exhaustive search algorithm, as reported in refs. 49 and 50. The visualizations in Fig. 1 were created by using VMD (51).

Quantum Chemical Calculations. The geometries and force fields of the S_0 , S_n , D_0 , and D_2 states of neutral adenine and its radical cation were obtained with the Hartree–Fock and configuration interaction singles and correlation-consistent valence double- ξ methods with the 6-31++G** and cc-pVDZ basis sets. Additionally, they were obtained with the three-parameter Becke–Lee–Yang–Parr Density Functional Theory method for the ground states of the neutral molecule and its cation. Vertical electronic excitation energies were calculated with the time-dependent method at the optimized geometries. The calculated geometries and force fields allow for the calculation of the FC structure of the electronic transitions. These structures have been obtained for the $S(\pi\pi^*) \rightarrow D_0 + e^-$, $S(\pi\sigma^*) \rightarrow D_0$, $D_2 + e^-$ ionization processes from the zero-point level of the excited singlet electronic states.

The FC structure of the $S(\pi\sigma^*) \rightarrow D_0, D_2 + e^-$ transitions was obtained for a N9–H distance of 1.2 Å and a stretching frequency in the $\pi\sigma^*$ state of $\approx 1,500$ cm^{-1} . The long progression belongs to the N9–H stretch mode (see Fig. 3). If the $\pi\sigma^*$ state is dissociative, as suggested by time-dependent Density Functional Theory calculations (8), then the FC structure due to photoionization from this state will be even more diffuse and wider than is shown here. Calculating the FC structure for transitions to higher cationic states was not possible due to ultrafast relaxation into the cationic ground state, D_0 . The excess energy of the 267-nm probe photon (≈ 0.2 eV) has not been taken into account in the calculations; this would additionally broaden the calculated spectra.

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