

## §4. Bruck's examples

We know left alternative and left Moufang are the same in characteristic  $\neq 2$ . In this section we construct examples of left alternative division rings of characteristic 2 which are not left Moufang, much less alternative.

We begin with any unital commutative associative  $\phi$ -algebra  $\Omega$  of characteristic 2, and any  $\phi$ -linear mapping  $s$  of  $\Omega$  into itself. We define an algebra

$$(4.1) \quad \begin{aligned} A(\Omega, s) &= \Omega 1 \oplus \Omega u \\ xy &= (\alpha 1 \oplus \beta u)(\gamma 1 \oplus \delta u) = (\alpha\gamma + s(\beta)\delta)1 \oplus (\alpha\delta + \beta\gamma)u. \end{aligned}$$

This is like the Cayley-Dickson formula, except we use  $\beta\gamma$  instead of the expected  $\beta s(\gamma)$ . For fixed  $\alpha, \beta$  this expression is linear in  $\gamma$  and  $\delta$ , so  $L_x$  is  $\Omega$ -linear (though  $R_y$  is only  $\phi$ -linear, due to the presence of the  $s(\beta)$ ).

4.2 Lemma.  $A(\Omega, s)$  is always left alternative, but is left Moufang iff  $s(q(x)\omega) = q(x)s(\omega)$  for all  $\omega, \alpha, \beta \in \Omega$  where  $q(x) = \alpha^2 + s(\beta)$ . If  $\Omega$  contains no nilpotent elements, the left Moufang condition is that  $s = L_\sigma$  for  $\sigma = s(1)$ .

Proof. To check left alternativity  $L_{x^2} = L_x^2$ , note that for  $x = \alpha 1 + \beta u$  we have  $x^2 = (\alpha^2 + s(\beta)\beta)1 + (2\alpha\beta)u = q(x)1$ , therefore  $L_{x^2} = q(x)I$  since

$$\begin{aligned} L_{\omega 1} &= \omega I \quad (\text{BEWARE: } L_{\omega u} \neq \omega L_u \text{ since } R_y \text{ is not } \Omega\text{-linear!}), \text{ and} \\ L_x^2 &= (\alpha I + L_{\beta u})^2 = \alpha^2 I + L_{\beta u}^2 = q(x)I \text{ since we are in characteristic 2. (Note} \end{aligned}$$

$$L_{\beta u} = \begin{pmatrix} 0 & s(\beta) \\ \beta & 0 \end{pmatrix}, \quad L_{\beta u}^2 = \begin{pmatrix} s(\beta)\beta & 0 \\ 0 & \beta s(\beta) \end{pmatrix} \quad \text{relative to the obvious } \Omega\text{-basis for } A).$$

Thus left alternativity is automatic.

However, the left Moufang axiom  $L_{x(yx)} = L_x L_y L_x$  will only be satisfied for certain kinds of  $s$ . Indeed,  $x^2 = q(x)1$  yields  $x \cdot y = q(x,y)1$  for  $q(x,y) = q(x+y) - q(x) - q(y) = 2\alpha\gamma + s(\beta)\delta + s(\delta)\beta = s(\beta)\delta + s(\delta)\beta$ , so  $L_{x(yx)} = L_{x(xy+yx)} = L_{x^2 y}$  (left alternativity)  $= L_{q(x,y)x}^{-L} L_{q(x)}^y$   
 $= q(x,y)\alpha I + L_{q(x,y)\beta u}^{-q(x)\gamma I - L_{q(x)\delta u}}$ . On the other hand  $L_x L_y L_x = L_x (L_y \circ L_x) = L_x^2 L_y$   
 $= q(x,y)L_x^{-q(x)} L_y = q(x,y)\alpha I + q(x,y)L_{\beta u}^{-q(x)\gamma I - q(x)L_{\delta u}}$ . Thus the axiom reduces to  $L_{q(x)\delta u} = q(x)L_{\delta u}$  (and its linearization  $L_{q(x,y)\beta u} = q(x,y)L_{\beta u}$ ). Since  $L_{\omega u} \cong \begin{pmatrix} 0 & s(\omega) \\ \omega & 0 \end{pmatrix}$  the condition becomes  $s(q(x)\delta) = q(x)s(\delta)$  (and its linearization  $s(q(x,y)\delta) = q(x,y)s(\delta)$ ).

In particular  $s$  commutes with  $q(\omega 1) = \omega^2$ , with  $q(\omega u) = \omega s(\omega)$ , with  $q(u) = s(1) = \sigma$ , and with  $q(u, \omega u) = \omega \sigma + s(\omega)$ , so that  $\{\omega \sigma + s(\omega)\}s(\omega) = s(\{\omega \sigma + s(\omega)\}\omega) = s(\{\omega^2 \sigma + \omega s(\omega)\}1) = \{\omega^2 \sigma + \omega s(\omega)\}s(1) = \omega^2 \sigma^2 + \omega s(\omega)$ . Comparing gives  $s(\omega)^2 = \omega^2 \sigma^2$ . In characteristic 2 this implies  $\{s(\omega) - \sigma \omega\}^2 = 0$ , so if  $\Omega$  has no nilpotent elements  $s(\omega) = \sigma \omega$  for all  $\omega$ . ■

4.3 Lemma.  $\Lambda(\Omega, \sigma)$  is a left alternative division algebra iff (i)  $\Omega$  is a field, (ii)  $s = L_{\omega, 2}$  is bijective on  $\Omega$  for all  $\omega$ .

Proof. Certainly  $\Omega$  must be a field: it is a commutative associative subalgebra of  $\Lambda$ , and if  $\alpha + \beta u$  is an inverse in  $\Lambda$  of  $\omega \in \Omega$  then  $\alpha$  is an inverse of  $\omega$  in  $\Omega$ .

Assume from now on  $\Omega$  is a field. "Division algebra" means all  $L_x, R_x$  for  $x \neq 0$  are bijective. Now  $L_x$  is bijective iff  $L_x^2 = q(x)I$  is bijective, so the condition that all  $L_x$  for  $x \neq 0$  be bijective is that  $q(x) \neq 0$  for  $x \neq 0$ . In particular, this is satisfied when (i) and (ii) hold: clearly  $q(x) = \alpha^2 + \beta s(\beta) = 0$  is impossible for  $\beta = 0$  (since then  $\alpha \neq 0$ ), while if  $\beta \neq 0$  it would imply  $s(\beta) = -\alpha^2/\beta = (\alpha/\beta)^2 \beta$  (characteristic 2!) and therefore  $s = L_{\omega, 2}$  is not bijective for  $\omega = \alpha/\beta$  since it kills  $\beta$ .

Turning now to the  $R$ 's, if  $x = \alpha + \beta u$  has  $\beta = 0$  then  $x = \alpha 1$  and  $R_x = \alpha I$  is clearly bijective. If  $\beta \neq 0$  then  $x = \beta(\beta^{-1}\alpha 1 + u) = \beta y$ , so  $R_x = \beta R_y$  is bijective iff  $R_y$  is for  $y = \omega 1 + u$ . But

$$R_y = \omega I + R_u \cong \begin{pmatrix} \omega & s \\ 1 & \omega \end{pmatrix} \quad \text{has} \quad R_y^2 \cong \begin{pmatrix} \omega^2 + s & \omega s + s\omega \\ 2\omega & s + \omega^2 \end{pmatrix} = \begin{pmatrix} s - \omega^2 & \omega s + s\omega \\ 0 & s - \omega^2 \end{pmatrix}$$

in characteristic 2, which is invertible iff  $s - \omega^2$  is invertible. ■

4.4 Theorem. If  $\Omega$  is a field of characteristic 2, with nontrivial involution  $*$ , and  $\alpha = \alpha^*$  is a symmetric nonsquare in  $\Omega$ , then  $A(\Omega, s)$  for  $s = * + L_\alpha$  is a left alternative division algebra which is not left Moufang.

Proof. Such an algebra is not left Moufang by 3.2 because  $s \neq L_\sigma : \sigma = s(1) = 1 + \alpha = 1 + \alpha, L_\sigma = I + L_\sigma \neq * + L_\sigma = s$  since  $*$   $\neq$   $I$  is nontrivial by hypothesis.

Since  $\Omega$  is a field,  $A(\Omega, s)$  will be a division algebra by 4.3 as soon as all  $s - L_{\omega^2} = * + L_{\alpha - \omega^2}$  are bijective, i.e.,  $* + L_\delta$  is bijective for all  $\delta = \alpha - \omega^2$ . Now  $(* + L_\delta)(* - L_{\delta^*}) = (* - L_{\delta^*})(* + L_\delta) = I - L_{\delta\delta^*} = L_{1 - \delta\delta^*}$  (recall  $*L_\omega = L_{\omega^*}$ ) is invertible since  $1 - \delta\delta^*$  is nonzero in  $\Omega$  no matter what  $\delta = \alpha - \omega^2$  we choose: if  $\omega$  is symmetric,  $\omega^* = \omega$ , then  $\delta^* = \delta$  since  $\alpha^* = \alpha$  by hypothesis, so  $\delta\delta^* = 1 \Rightarrow \delta^2 = 1 \Rightarrow \delta = 1 \Rightarrow 1 = \alpha - \omega^2 \Rightarrow \alpha = 1 + \omega^2 = (1 + \omega)^2 \in \Omega^2$  (heavily using characteristic 2), contrary to our choice of  $\alpha$  as a nonsquare, while if  $\Omega$  is nonsymmetric,  $\omega + \omega^* \neq 0$ , then  $1 = \delta\delta^* = (\alpha - \omega^2)(\alpha - \omega^{*2}) = \alpha^2 + (\omega\omega^*)^2 - \alpha(\omega + \omega^*)^2$  would imply  $\alpha(\omega + \omega^*)^2 \in \Omega^2$ , hence  $\alpha \in \Omega^2$  (recall  $\omega + \omega^* \neq 0$ ), again contrary to choice. Thus  $1 - \delta\delta^* \neq 0$  is invertible in all cases, so all  $s - L_{\omega^2} = * + L_\delta$  are bijective. ■

4.5 Example. As a specific example, take  $\Omega = \phi(x)$  for any field  $\phi$  of characteristic 2. Then  $f(x)^* = [(\frac{1}{x})]$  is a nontrivial involution on  $\Omega, \alpha = x + 1/x$  is symmetric but a nonsquare (since  $\alpha = x(1 + 1/x)^2$  and  $x$  is a nonsquare by  $\Omega^2 = \phi^2(x^2)$ ).

This particular  $\Omega$  and  $\sigma = * + L_\alpha$  lead to a left alternative division ring  $A(\Omega, \sigma)$  which is not left Moufang. ■

## AIV.4 Exercises

- 4.1 Show  $\Omega \xrightarrow{s} \Omega$  satisfies  $s(q(x)\omega) = q(x)s(\omega)$  for all  $q(x) = \alpha^2 + \beta s(\beta)$  iff (i)  $s(\alpha^2\omega) = \alpha^2 s(\omega)$ , (ii)  $s(s(\omega)) = \alpha^2 \omega$ , (iii)  $\{s(\omega) - \alpha\omega\}^2 = 0$ .
- 4.2 Show directly  $R_x$  is invertible for  $x = \beta u$  iff  $s$  is bijective; if  $\alpha \neq 0$  show  $x = \alpha y$ , where  $R_y = I + R_{\gamma u}$  is bijective iff  $s + L_{\gamma}^{-2}$  is bijective.